

(21 May 2009)

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* Section 2 - Input Description *  
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This section of the manual describes the input to GAMESS. The section is written in a reference, rather than tutorial fashion. However, there are frequent reminders that more information can be found on a particular input group, or type of calculation, in the 'Further Information' section of this manual. There are also a number of examples shown in the 'Input Examples' section.

Note that this chapter of the manual can be searched online by means of the "gms help" command, if your computer runs Unix. A command such as

```
gms help scf
```

will display the \$SCF input group. With no arguments, the gms help command will show you all of the input group names. Type "<return>" to see the next screen, "b" to back up to the previous screen, and "q" to exit the pager. If gms help does not work, ask the person who installed GAMESS to fix the 'gms help' script, as it is extremely useful.

The order of this section is chosen to approximate the order in which most people prepare their input (\$CONTRL, \$BASIS/\$DATA, \$GUESS, and so on). The next few pages contain a list of all possible input groups, grouped in this way. The PDF version of this file contains an index of all group names in alphabetical order.

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name	function	module:routine
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Molecule, basis set, wavefunction specification:

\$CONTRL	chemical control data	INPUTA:START
\$SYSTEM	computer related options	INPUTA:START
\$BASIS	basis set	INPUTB:BAISS
\$DATA	molecule, geometry, basis set	INPUTB:MOLE
\$ZMAT	internal coordinates	ZMATRX:ZMATIN
\$LIBE	linear bend coordinates	ZMATRX:LIBE
\$SCF	HF-SCF wavefunction control	SCFLIB:SCFIN
\$SCFMI	SCF-MI input control data	SCFMI :MIINP
\$DFT	density functional theory	DFT :DFTINP
\$TDDFT	time-dependent DFT	TDDFT :TDDINP
\$CIS	singly excited CI	CISGRD:CISINP
\$CISVEC	vectors for CIS	CISGRD:CISVRD
\$MP2	2nd order Moller-Plesset	MP2 :MP2INP
\$CCINP	coupled cluster input	CCSDT :CCINP
\$EOMINP	equation of motion CC	EOMCC :EOMINP
\$MOPAC	semi-empirical specification	MPCMOL:MOLDAT
\$GUESS	initial orbital selection	GUESS :GUESMO
\$VEC	orbitals (formatted)	GUESS :READMO
\$MOFRZ	freezes MOs during SCF runs	EFPCOV:MFRZIN

Note that MCSCF and CI input is listed below.

Potential energy surface options:

\$STATPT	geometry search control	STATPT:SETSIG
\$TRUDGE	nongradient optimization	TRUDGE:TRUINP
\$TRURST	restart data for TRUDGE	TRUDGE:TRUDGX
\$FORCE	hessian, normal coordinates	HESS :HESSX
\$CPHF	coupled-Hartree-Fock options	CPHF :CPINP
\$MASS	isotope selection	VIBANL:RAMS
\$HESS	force constant matrix (formatted)	HESS :FCMIN
\$GRAD	gradient vector (formatted)	HESS :EGIN
\$DIPDR	dipole deriv. matrix (formatted)	HESS :DDMIN
\$VIB	HESSIAN restart data (formatted)	HESS :HSSNUM
\$VIB2	num GRAD/HESS restart (formatted)	HESS :HSSFUL
\$VSCF	vibrational anharmonicity	VSCF :VSCFIN
\$VIBSCF	VSCF restart data (formatted)	VSCF :VGRID
\$GAMMA	3rd nuclear derivatives	HESS :GAMMXX
\$EQGEOM	equilibrium geometry data	HESS :FFCARX
\$HLOWT	hessian data from equilibrium	HESS :FFCARX
\$GLOWT	3rd derivatives at equilibrium	HESS :FFCARX
\$IRC	intrinsic reaction coordinate	RXNCRD:IRCX
\$DRC	dynamic reaction path	DRC :DRCDRV
\$MEX	minimum energy crossing point	MEXING:MEXINP
\$MD	molecular dynamics trajectory	MDEFP :MDX

<u>\$RDF</u>	radial dist. functions for MD	MDEFP :RDFX
<u>\$GLOBOP</u>	Monte Carlo global optimization	GLOBOP:GLOPDR
<u>\$GRADEX</u>	gradient extremal path	GRADEX:GRXSET
<u>\$SURF</u>	potential surface scan	SURF :SRFINP

Interpretation, properties:

<u>\$LOCAL</u>	localized molecular orbitals	LOCAL :LMOINP
<u>\$TWOEI</u>	J,K integrals (formatted)	LOCCD :TWEIIN
<u>\$TRUNCN</u>	localized orbital truncations	EFPCOV:TRNCIN
<u>\$ELMOM</u>	electrostatic moments	PRPLIB:INPELM
<u>\$ELPOT</u>	electrostatic potential	PRPLIB:INPELP
<u>\$ELDENS</u>	electron density	PRPLIB:INPELD
<u>\$ELFLDG</u>	electric field/gradient	PRPLIB:INPELF
<u>\$POINTS</u>	property calculation points	PRPLIB:INPPGS
<u>\$GRID</u>	property calculation mesh	PRPLIB:INPPGS
<u>\$PDC</u>	MEP fitting mesh	PRPLIB:INPPDC
<u>\$RADIAL</u>	atomic orbital radial data	PRRPOP:RADWFN
<u>\$MOLGRF</u>	orbital plots	PARLEY:PLTMEM
<u>\$STONE</u>	distributed multipole analysis	PRRPOP:STNRD
<u>\$RAMAN</u>	Raman intensity	RAMAN :RAMANX
<u>\$ALPDR</u>	alpha polar. der. (formatted)	RAMAN :ADMIN
<u>\$NMR</u>	NMR shielding tensors	NMR :NMRX
<u>\$MOROKM</u>	Morokuma energy decomposition	MOROKM:MOROIN
<u>\$LMOEDA</u>	LMO-based energy decomposition	MOROKM:MMOEDIN
<u>\$FFCALC</u>	finite field polarizabilities	FFIELD:FFLDX
<u>\$TDHF</u>	time dependent HF of NLO props	TDHF :TDHFX
<u>\$TDHFX</u>	TDHF for NLO, Raman, hyperRaman	TDX:FINDTDHFX

Solvation models:

<u>\$EFRAG</u>	use effective fragment potential	EFINP :EFINP
<u>\$FRAGNAME</u>	specifically named fragment pot.	EFINP :RDSTFR
<u>\$FRGRPL</u>	inter-fragment repulsion	EFINP :RDDFRL
<u>\$EWALD</u>	Ewald sums for EFP electrostatics	EWALD :EWALDX
<u>\$MAKEFP</u>	generate effective fragment pot.	EFINP :EFPX
<u>\$PRTEFP</u>	simplified EFP generation	EFINP :PREFIN
<u>\$DAMP</u>	EFP multipole screening fit	CHGPEN:CGPINP
<u>\$DAMPGS</u>	initial guess screening params	CHGPEN:CGPINP
<u>\$PCM</u>	polarizable continuum model	PCM :PCMINP
<u>\$PCMGRD</u>	PCM gradient control	PCMCV2:PCMGIN
<u>\$PCMCAV</u>	PCM cavity generation	PCM :MAKCAV
<u>\$TESCAV</u>	PCM cavity tessellation	PCMCV2:TESIN
<u>\$NEWCAV</u>	PCM escaped charge cavity	PCM :DISREP
<u>\$IEFPCM</u>	PCM integral equation form. data	PCM :IEFDAT
<u>\$PCMITR</u>	PCM iterative IEF input	PCMIEF:ITIEFIN
<u>\$DISBS</u>	PCM dispersion basis set	PCMDIS:ENLBS
<u>\$DISREP</u>	PCM dispersion/repulsion	PCMVCH:MORETS
<u>\$SVP</u>	Surface Volume Polarization model	SVPINP:SVPINP

<u>\$SVPIRF</u>	reaction field points (formatted)	SVPINP:SVPIRF
<u>\$COSGMS</u>	conductor-like screening model	COSMO :COSMIN
<u>\$SCRf</u>	self consistent reaction field	SCRf :ZRFINP

Integral, and integral modification options:

<u>\$ECP</u>	effective core potentials	ECPLIB:ECPPAR
<u>\$MCP</u>	model core potentials	MCPINP:MMPRED
<u>\$RELWFN</u>	scalar relativistic integrals	INPUTB:RWFINP
<u>\$FIELD</u>	external electric field	PRPLIB:INPEF
<u>\$INTGRL</u>	2e- integrals	INT2A :INTIN
<u>\$FMM</u>	fast multipole method	QMFM :QFMMIN
<u>\$TRANS</u>	integral transformation	TRANS :TRFIN

Fragment Molecular Orbital method:

<u>\$FMO</u>	define FMO fragments	FMOIO :FMOMIN
<u>\$FMOPRP</u>	FMO properties and convergers	FMOIO :FMOPIN
<u>\$FMOXYZ</u>	atomic coordinates for FMO	FMOIO :FMOXYZ
<u>\$OPTFMO</u>	input for special FMO optimizer	FMOGRD:OPTFMO
<u>\$FMOHYB</u>	localized MO for FMO boundaries	FMOIO :FMOLMO
<u>\$FMOBND</u>	FMO bond cleavage definition	FMOIO :FMOBON
<u>\$FMOENM</u>	monomer energies for FMO restart	FMOIO :EMINOU
<u>\$FMOEND</u>	dimer energies for FMO restart	FMOIO :EDIN
<u>\$OPTRST</u>	OPTFMO restart data	FMOGRD:RSTOPT
<u>\$GDDI</u>	group DDI definition	INPUTA:GDDINP

Polymer model:

<u>\$ELG</u>	polymer elongation method	ELGLIB:ELGINP
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Divide and conquer model:

<u>\$DANDC</u>	DC SCF input	DCLIB :DCINP
<u>\$DCCORR</u>	DC correlation method input	DCLIB :DCCRIN
<u>\$SUBSCF</u>	subsystem definition for SCF	DCLIB :DFLCST
<u>\$SUBCOR</u>	subsystem definition for MP2/CC	DCLIB :DFLCST
<u>\$MP2RES</u>	restart data for DC-MP2	DCMP2 :RDMPDC
<u>\$CCRES</u>	restart data for DC-CC	DCCC :RDCCDC

MCSCF and CI wavefunctions, and their properties:

<u>\$CIINP</u>	control over CI calculation	GAMESS:WFNCI
<u>\$DET</u>	determinant full CI for MCSCF	ALDECI:DETINP
<u>\$CIDET</u>	determinant full CI	ALDECI:DETINP
<u>\$GEN</u>	determinant general CI for MCSCF	ALGNCI:GCIINP
<u>\$CIGEN</u>	determinant general CI	ALGNCI:GCIINP
<u>\$ORMAS</u>	determinant multiple active space	ORMAS :FCINPT
<u>\$CEEIS</u>	CI energy extrapolation	CEEIS :CEEISIN

\$CEDATA	restart data for CEEIS	CEEIS :RDCEEIS
\$GCILST	general MCSCF/CI determinant list	ALGNCI:GCIGEN
\$GMCPT	general MCSCF/CI determinant list	GMCPT :OSRDDAT
\$PDET	parent determinant list	GMCPT :OSMKREF
\$ADDDDET	add determinants to reference	GMCPT :OSMKREF
\$REMDDET	remove determinants from ref.	GMCPT :OSMKREF
\$SODET	determinant second order CI	FSODCI:SOCINP
\$DRT	GUGA distinct row table for MCSCF	GUGDRT:ORDORB
\$CIDRT	GUGA CI (CSF) distinct row table	GUGDRT:ORDORB
\$MCSCF	control over MCSCF calculation	MCSCF :MCSCF
\$MRMP	MRPT selection	MP2 :MRMPIN
\$DETPT	det. multireference pert. theory	DEMPT:DMRINP
\$MCQDPT	CSF multireference pert. theory	MCQDPT:MQREAD
\$CASC	IVO-CASCI input	IVOCAS:IVODRV
\$IVOORB	fine tuning of IVO-CASCI	IVOCAS:ORBREAD
\$CISORT	GUGA CI integral sorting	GUGSRT:GUGSRT
\$GUGEM	GUGA CI Hamiltonian matrix	GUGEM :GUGAEM
\$GUGDIA	GUGA CI diagonalization	GUGDGA:GUGADG
\$GUGDM	GUGA CI 1e- density matrix	GUGDM :GUGADM
\$GUGDM2	GUGA CI 2e- density matrix	GUGDM2:GUG2DM
\$LAGRAN	GUGA CI Lagrangian	LAGRAN:CILGRN
\$TRFDM2	GUGA CI 2e- density backtransform	TRFDM2:TRF2DM
\$TRANST	transition moments, spin-orbit	TRNSTN:TRNSTX

* this column is more useful to programmers than to users.

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\$CONTRL group (note: only one "oh"!)

This group specifies the type of wavefunction, the type of calculation, use of core potentials, spherical harmonics, coordinate choices, and similar fundamental job options.

SCFTYP	specifies the self-consistent field wavefunction. You may choose from
= RHF	Restricted Hartree Fock calculation (default)
= UHF	Unrestricted Hartree Fock calculation
= ROHF	Restricted open shell Hartree-Fock. (high spin, see GVB for low spin)
= GVB	Generalized valence bond wavefunction, or low spin ROHF. (needs \$SCF input)
= MCSCF	Multiconfigurational SCF wavefunction (this requires \$DET or \$DRT input)
= NONE	indicates a single point computation, rereading a converged SCF function. This option requires that you select CITYP=ALDET, ORMAS, FSOCI, GENCI, or GUGA, requesting only RUNTYP=ENERGY or TRANSITN, and using GUESS=MOREAD.

The treatment of electron correlation for the above SCF wavefunctions is controlled by the keywords DFTTYP, MPLEVL, CITYP, and CCTYP contained in this group. Obviously, at most only one of these may be chosen in a run. Scalar relativistic effects may be incorporated using RELWFN for any of these wavefunction choices, correlated or not.

DFTTYP = NONE ab initio computation (default)
 = XXXXXX perform density functional theory run,
 using the functional specified. Many
 choices for XXXXXX are listed in the
 \$DFT and \$TDDFT input groups.

TDDFT = NONE no excited states (default)
 = EXCITE generate time-dependent DFT excitation
 energies, using the DFTTYP= functional,
 for RHF or UHF references. Analytic
 nuclear gradients are available for RHF.
 See \$TDDFT.

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MPLEVEL = chooses Moller-Plesset perturbation
 theory level, after the SCF. See the
 \$MP2 group (or \$MRMP for MCSCF).
 = 0 skip the MP computation (default)
 = 2 perform second order energy correction.

MP2 (a.k.a. MBPT(2)) is implemented for RHF, UHF, ROHF, and MCSCF wavefunctions, but not GVB. Gradients are available for RHF, UHF, or ROHF based MP2, but for MCSCF, you must choose numerical derivatives to use any RUNTYP other than ENERGY, TRUDGE, SURFACE, or FFIELD.

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CITYP = chooses CI computation after the SCF,
 for any SCFTYP except UHF.
 = NONE skips the CI. (default)
 = CIS single excitations from a SCFTYP=RHF
 reference, only. This is for excited
 states, with analytic nuclear gradients
 available. See the \$CIS input group.
 = ALDET runs the Ames Laboratory determinant
 full CI package, requiring \$CIDET.
 = ORMAS runs an Occupation Restricted Multiple
 Active Space determinant CI. The input
 is \$CIDET and \$ORMAS.
 = FSOCI runs a full second order CI using
 determinants, see \$CIDET and \$SOSET.
 = GENCI runs a determinant CI program that

permits arbitrary specification of the determinants, requiring \$CIGEN.
 = GUGA runs the Unitary Group CI package, which requires \$CIDRT input. Analytic gradients are available only for RHF, so for other SCFTYPs, you may choose only RUNTYP=ENERGY, TRUDGE, SURFACE, FFIELD, TRANSITN.

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CCTYP chooses a Coupled-Cluster (CC calculation for the ground state and, optionally, Equation of Motion Coupled-Cluster (EOMCC) computation for excited states, both performed after the SCF (RHF or ROHF). See also \$CCINP and \$EOMINP. Only CCSD and CCSD(T) for RHF can run in parallel. For ROHF, you may choose only CCSD and CR-CCL.

= NONE skips CC computation (default).
 = LCCD perform a coupled-cluster calculation using the linearized coupled-cluster method with double excitations.
 = CCD perform a CC calculation using the coupled-cluster method with doubles.
 = CCSD perform a CC calculation with both single and double excitations.
 = CCSD(T) in addition to CCSD, the non-iterative triples corrections are computed, giving standard CCSD[T] and CCSD(T) energies.
 = R-CC in addition to all CCSD(T) calculations, compute the renormalized R-CCSD[T] and R-CCSD(T) energies.
 = CR-CC in addition to all R-CC calculations, the completely renormalized CR-CCSD[T] and CR-CCSD(T) energies are computed.
 = CR-CCL in addition to a CCSD ground state, the non-iterative triples energy correction defining the rigorously size extensive completely renormalized CR-CC(2,3), also called CR-CCSD(T)_L theory, is computed. Ground state only (zero NSTATE vector) CCTYP=CR-EOM type CR-EOMCCSD(T) energies and CCSD properties are also generated. For further information about accuracy,

- and A to D CR-CC(2,3) energy types, see REFS.DOC.
- = CCSD(TQ) in addition to all R-CC calculations, non-iterative triple and quadruple corrections are used, to give CCSD(TQ) and various R-CCSD(TQ) energies.
 - = CR-CC(Q) in addition to all CR-CC and CCSD(TQ) calculations, the CR-CCSD(TQ) energies are obtained.
 - = EOM-CCSD in addition to a CCSD ground state, excited states are calculated using the equation of motion coupled-cluster method with singles and doubles.
 - = CR-EOM in addition to the CCSD and EOM-CCSD, noniterative triples corrections to CCSD ground-state and EOM-CCSD excited-state energies are found, using completely renormalized CR-EOMCCSD(T) approaches.

Any publication describing the results of CC calculations obtained using GAMESS should reference the appropriate papers, which are listed on the output of every run, and in chapter 4 of this manual.

Analytic gradients are not available, so use CCTYP only for RUNTYP=ENERGY, TRUDGE, SURFACE, or maybe FFIELD, or request numerical derivatives.

Generally speaking, the Renormalized energies are obtained at similar cost to the standard values, while Completely Renormalized energies cost twice the time. For usage tips and more information about resources on the various Coupled Cluster methods, see Section 4, 'Further Information'.

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- RELWFN = NONE (default) See also the \$RELWFN input group.
- = DK Douglas-Kroll transformation, available at the 1st, 2nd, or 3rd order.
- = RESC relativistic elimination of small component, the method of T. Nakajima and K. Hirao, available at 2nd order only.
- = NESL normalised elimination of small component, the method of K. Dyal, 2nd order only.

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RUNTYP specifies the type of computation, for example at a single geometry point:

- = ENERGY Molecular energy. (default)
- = GRADIENT Molecular energy plus gradient.
- = HESSIAN Molecular energy plus gradient plus second derivatives, including harmonic vibrational analysis. See the \$FORCE and \$CPHF input groups.
- = GAMMA Evaluate up to 3rd nuclear derivatives, by finite differencing of Hessians. See \$GAMMA, and also NFFLVL in \$CONTRL.

multiple geometry options:

- = OPTIMIZE Optimize the molecular geometry using analytic energy gradients. See \$STATPT.
- = TRUDGE Non-gradient total energy minimization. See \$TRUDGE and \$TRURST.
- = SADPOINT Locate saddle point (transition state). See \$STATPT.
- = MEX Locate minimum energy crossing point on the intersection seam of two potential energy surfaces. See \$MEX.
- = IRC Follow intrinsic reaction coordinate. See \$IRC.
- = VSCF anharmonic vibrational corrections. See \$VSCF.
- = DRC Follow dynamic reaction coordinate. See \$DRC.
- = MD molecular dynamics trajectory, see \$MD.
- = GLOBOP Monte Carlo-type global optimization. See \$GLOBOP.
- = OPTFMO genuine FMO geometry optimization using nearly analytic gradient. See \$OPTFMO.
- = GRADEXTR Trace gradient extremal. See \$GRADEX.
- = SURFACE Scan linear cross sections of the potential energy surface. See \$SURF.

single geometry property options:

- = G3MP2 evaluate heat of formation using the

G3(MP2,CCSD(T)) methodology. See test example exam43.inp for more information. Properties will be calculated. A \$DATA deck and converged \$VEC deck should be input. Optionally, orbital localization can be done. See \$ELPOT, etc.

= PROP

= RAMAN computes Raman intensities, see \$RAMAN.

= NACME non-adiabatic coupling matrix element between two or more state averaged MCSCF wavefunctions, of FORS/CAS type. The calculation has no special input group, but must use determinants.

= NMR NMR shielding tensors for closed shell molecules by the GIAO method. See \$NMR.

= EDA Perform energy decomposition analysis. Give one of \$MOROKM or \$LMOEDA inputs.

= TRANSITN Compute radiative transition moment or spin-orbit coupling. See \$TRANST.

= FFIELD applies finite electric fields, most commonly to extract polarizabilities. See \$FFCALC.

= TDHF analytic computation of time dependent polarizabilities. See \$TDHF.

= TDHFX extended TDHF package, including nuclear polarizability derivatives, and Raman and Hyper-Raman spectra. See \$TDHFX.

= MAKEFP creates an effective fragment potential, for SCFTYP=RHF or ROHF only. See \$MAKEFP, \$DAMP, \$DAMPGS, \$STONE, ...

= FMOO performs the free state FMO calculation. See \$FMO.

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Note that RUNTYPs which require the nuclear gradient are
 GRADIENT, HESSIAN, OPTIMIZE, SADPOINT,
 GLOBOP, IRC, GRADEXTR, DRC, and RAMAN

These are efficient with analytic gradients, which are available only for certain CI or MP2 calculations, but no CC calculations, as indicated above. See NUMGRD.

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NUMGRD

Flag to allow numerical differentiation of the energy. Each gradient requires the energy be computed twice (forward and backward displacements) along each

totally symmetric modes. It is thus recommended only for systems with just a few symmetry unique atoms in \$DATA. The default is .FALSE.

EXETYP = RUN Actually do the run. (default)
 = CHECK Wavefunction and energy will not be
 evaluated. This lets you speedily
 check input and memory requirements.
 See the overview section for details.
 Note that you must set PARALL=.TRUE.
 in \$SYSTEM to test distributed memory
 allocations.
 = DEBUG Massive amounts of output are printed,
 useful only if you hate trees.
 = routine Maximum output is generated by the
 routine named. Check the source for
 the routines this applies to.

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ICHARG = Molecular charge. (default=0, neutral)

MULT = Multiplicity of the electronic state
 = 1 singlet (default)
 = 2,3,... doublet, triplet, and so on.

ICHARG and MULT are used directly for RHF, UHF, ROHF.
 For GVB, these are implicit in the \$SCF input, while
 for MCSCF or CI, these are implicit in \$DRT/\$CIDRT or
 \$DET/\$CIDET input. You must still give them correctly.

* * * the next three control molecular geometry * * *

COORD = choice for molecular geometry in \$DATA.
 = UNIQUE only the symmetry unique atoms will be
 given, in Cartesian coords (default).
 = HINT only the symmetry unique atoms will be
 given, in Hilderbrandt style internals.
 = PRINAXIS Cartesian coordinates will be input,
 and transformed to principal axes.
 Please read the warning just below!!!
 = ZMT GAUSSIAN style internals will be input.
 = ZMTMPC MOPAC style internals will be input.
 = FRAGONLY means no part of the system is treated

by ab initio means, hence \$DATA is not given. The system is defined by \$EFRAG.

Note: the choices PRINAXIS, ZMT, ZMTMPC require input of all atoms in the molecule. They also orient the molecule, and then determine which atoms are unique. The reorientation is likely to change the order of the atoms from what you input. When the point group contains a 3-fold or higher rotation axis, the degenerate moments of inertia often cause problems choosing correct symmetry unique axes, in which case you must use COORD=UNIQUE rather than Z-matrices.

Warning: The reorientation into principal axes is done only for atomic coordinates, and is not applied to the axis dependent data in the following groups: \$VEC, \$HESS, \$GRAD, \$DIPDR, \$VIB, nor Cartesian coords of effective fragments in \$EFRAG. COORD=UNIQUE avoids reorientation, and thus is the safest way to read these.

Note: the choices PRINAXIS, ZMT, ZMTMPC require the use of a group named \$BASIS to define the basis set. The first two choices might or might not use \$BASIS, as you wish.

UNITS = distance units, any angles must be in degrees.
= ANGS Angstroms (default)
= BOHR Bohr atomic units

NZVAR = 0 Use Cartesian coordinates (default).
= M If COORD=ZMT or ZMTMPC, and \$ZMAT is not given: the internal coordinates will be those defining the molecule in \$DATA. In this case, \$DATA may not contain any dummy atoms. M is usually 3N-6, or 3N-5 for linear.
= M For other COORD choices, or if \$ZMAT is given: the internal coordinates will be those defined in \$ZMAT. This allows more sophisticated internal coordinate choices. M is ordinarily 3N-6 (3N-5), unless \$ZMAT has linear bends.

NZVAR refers mainly to the coordinates used by OPTIMIZE or SADPOINT runs, but may also print the internal's values for other run types. You can use internals to define the molecule, but Cartesians during optimizations!

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Pseudopotentials may be of two types: ECP (effective core potentials) which generate nodeless valence orbitals, and MCP (model core potentials) producing valence orbitals with the correct radial nodal structure. At present, ECPs have analytic nuclear gradients and Hessians, while MCPs have analytic nuclear gradients.

PP = pseudopotential selection.
= NONE all electron calculation (default).
= READ read ECP potentials in the \$ECP group.
= SBKJC use Stevens, Basch, Krauss, Jasien, Cundari ECP potentials for all heavy atoms (Li-Rn are available).
= HW use Hay, Wadt ECP potentials for heavy atoms (Na-Xe are available).
= MCP use Huzinaga's Model Core Potentials. The correct MCP potential will be chosen to match the requested MCP valence basis set (see \$BASIS).

* * * * *

LOCAL = controls orbital localization.
= NONE Skip localization (default).
= BOYS Do Foster-Boys localization.
= RUEDNBRG Do Edmiston-Ruedenberg localization.
= POP Do Pipek-Mezey population localization. See the \$LOCAL group. Localization does not work for SCFTYP=GVB or CITYP.

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ISPHER = Spherical Harmonics option
= -1 Use Cartesian basis functions to construct symmetry-adapted linear combination (SALC) of basis functions. The SALC space is the linear variation space used. (default)
= 0 Use spherical harmonic functions to create SALC functions, which are then expressed in terms of Cartesian functions. The contaminants are not dropped, hence this option has EXACTLY the same variational space as ISPHER=-1. The only benefit to

obtain from this is a population analysis in terms of pure s,p,d,f,g functions.
= +1 Same as ISPHER=0, but the function space is truncated to eliminate all contaminant Cartesian functions [3S(D), 3P(F), 4S(G), and 3D(G)] before constructing the SALC functions. The computation corresponds to the use of a spherical harmonic basis.

QMTTOL = linear dependence threshold
Any functions in the SALC variational space whose eigenvalue of the overlap matrix is below this tolerance is considered to be linearly dependent. Such functions are dropped from the variational space. What is dropped is not individual basis functions, but rather some linear combination(s) of the entire basis set that represent the linear dependent part of the function space. The default is a reasonable value for most purposes, 1.0E-6.

When many diffuse functions are used, it is common to see the program drop some combinations. On occasion, in multi-ring molecules, we have raised QMTTOL to 3.0E-6 to obtain SCF convergence, at the cost of some energy.

MAXIT = Maximum number of SCF iteration cycles. This pertains only to RHF, UHF, ROHF, or GVB runs. See also MAXIT in \$MCSCF. (default = 30)

* * * interfaces to other programs * * *

MOLPLT = flag that produces an input deck for a molecule drawing program distributed with GAMESS. (default is .FALSE.)

PLTORB = flag that produces an input deck for an orbital plotting program distributed with GAMESS. (default is .FALSE.)

AIMPAC = flag to create an input deck for Bader's Atoms In Molecules properties code. (default=.FALSE.)
For information about this program, see the URL <http://www.chemistry.mcmaster.ca/faculty/bader/aim>

FRIEND = string to prepare input to other quantum programs, choose from
= HONDO for HONDO 8.2
= MELDF for MELDF
= GAMESSUK for GAMESS (UK Daresbury version)
= GAUSSIAN for Gaussian 9x
= ALL for all of the above

PLTORB, MOLPLT, and AIMPAC decks are written to file PUNCH at the end of the job. Thus all of these correspond to the final geometry encountered during jobs such as OPTIMIZE, SAPDOINT, IRC...

In contrast, selecting FRIEND turns the job into a CHECK run only, no matter how you set EXETYP. Thus the geometry is that encountered in \$DATA. The input is added to the PUNCH file, and may require some (usually minimal) massaging.

PLTORB and MOLPLT are written even for EXETYP=CHECK. AIMPAC requires at least RUNTYP=PROP.

* * *

NFFLVL used to determine energies and gradients away from equilibrium structures, at the coordinates given in \$DATA. The method will use a Taylor expansion of the potential surface around the stationary point. See \$EQGEOM, \$HLOWT, \$GLOWT. This may be used with RUNTYP=ENERGY or GRADIENT.
= 2 uses only Hessian information, which gives a reasonable energy, but not such a good gradient.
= 3 uses Hessian and 3rd nuclear derivatives in the Taylor expansion, producing more accurate values for the energy and for the gradient.

* * * computation control switches * * *

For the most part, the default is the only sensible value, and unless you are sure of what you are doing, these probably should not be touched.

NPRINT = Print/punch control flag

- See also EXETYP for debug info.
 (options -7 to 5 are primarily debug)
- = -7 Extra printing from Boys localization.
 - = -6 debug for geometry searches
 - = -5 minimal output
 - = -4 print 2e-contribution to gradient.
 - = -3 print 1e-contribution to gradient.
 - = -2 normal printing, no punch file
 - = 1 extra printing for basis,symmetry,ZMAT
 - = 2 extra printing for MO guess routines
 - = 3 print out property and 1e- integrals
 - = 4 print out 2e- integrals
 - = 5 print out SCF data for each cycle.
 (Fock and density matrices, current MOs
 same as 7, but wider 132 columns output.
 This option isn't perfect.
 - = 6 normal printing and punching (default)
 - = 7 more printout than 7. The extra output
 is (AO) Mulliken and overlap population
 analysis, eigenvalues, Lagrangians, ...
 - = 8 everything in 8 plus Lowdin population
 analysis, final density matrix.
- NOSYM = 0 the symmetry specified in \$DATA is used
 as much as possible in integrals, SCF,
 gradients, etc. (this is the default)
- = 1 the symmetry specified in the \$DATA group
 is used to build the molecule, then
 symmetry is not used again. Some GVB
 or MCSCF runs (those without a totally
 symmetric charge density) require you
 request no symmetry.
- ETOLLZ = threshold to label molecular orbitals by Lz
 values. Small matrices of the Lz operator are
 diagonalized for the sets of MOs whose orbital
 energies are degenerate to within ETOLLZ. This
 option may be used in molecules with distorted
 linear symmetry for approximate labelling.
 Default: 1.0d-6 for linear, 0 (disable) if not.
- INTTYP selects the integral package(s) used, all of which
 produce equally accurate results. This is therefore
 used only for debugging purposes.
- = BEST use the fastest integral code available for

any particular shell quartet (default):
 s,p,L or s,p,d,L rotated axis code first.
 ERIC s,p,d,f,g precursor transfer equation
 code second, up to 5 units total ang. mom.
 Rys quadrature for general s,p,d,f,g,L,
 or for uncontracted quartets.

= ROTAXIS means don't use ERIC at all, e.g. rotated
 axis codes, or else Rys quadrature.

= ERIC means don't use rotated axis codes, e.g.
 ERIC code, or else Rys quadrature.

= RYSQUAD means use Rys quadrature for everything.

GRDTYP = BEST use the Schlegel routines for sp gradient
 blocks, and HONDO/Rys polynomial code for
 all other gradient integrals. (default)

= HONDO use HONDO/Rys for all integral derivatives.
 This option produces very slightly more
 accurate gradients but is rather slower.

NORMF = 0 normalize the basis functions (default)
 = 1 no normalization

NORMP = 0 input contraction coefficients refer to
 normalized Gaussian primitives. (default)
 = 1 the opposite.

ITOL = primitive cutoff factor (default=20)
 = n products of primitives whose exponential
 factor is less than $10^{**(-n)}$ are skipped.

ICUT = n integrals less than $10.0^{**(-n)}$ are not
 saved on disk. (default = 9). Direct
 SCF will calculate to a cutoff 1.0d-10
 or 5.0d-11 depending on FDIFF=.F. or .T.

ISKPRP = 0 proceed as usual
 1 skip computation of some properties which
 are not well parallelised. This includes
 bond orders and virial theorem, and can help
 parallel scalability if many CPUs are used.
 Note that NPRINT=-5 disables most property
 computations as well, so ISKPRP=1 has no
 effect in that case. (default: 0)

* * * restart options * * *

IREST = restart control options
(for OPTIMIZE run restarts, see \$STATPT)
Note that this option is unreliable!
= -1 reuse dictionary file from previous run,
useful with GEOM=DAF and/or GUESS=MOSAVED.
Otherwise, this option is the same as 0.
= 0 normal run (default)
= 1 2e restart (1-e integrals and MOs saved)
= 2 SCF restart (1-,2-e integrals and MOs saved)
= 3 1e gradient restart
= 4 2e gradient restart

GEOM = select where to obtain molecular geometry
= INPUT from \$DATA input (default for IREST=0)
= DAF read from DICTNRY file (default otherwise)

As noted in the first chapter, binary file restart is
not a well tested option!

=====

=====

\$\$SYSTEM group (optional)

This group provides global control information for your computer's operation. This is system related input, and will not seem particularly chemical to you!

MWORDS = the maximum replicated memory which your job can use, on every node. This is given in units of 1,000,000 words (as opposed to 1024*1024 words), where a word is defined as 64 bits. (default=1) (In case finer control over the memory is needed, this value can be given in units of words with the old keyword MEMORY instead of MWORDS.)

MEMDDI = the grand total memory needed for the distributed data interface (DDI) storage, given in units of 1,000,000 words. See Chapter 5 of this manual for an extended explanation of running with MEMDDI.

note: the memory required on each processor for a run using p processors is therefore MEMDDI/p + MWORDS.

The parallel runs that currently require MEMDDI are:

SCFTYP=RHF MPLEVL=2 energy or gradient
 SCFTYP=UHF MPLEVL=2 energy or gradient
 SCFTYP=ROHF MPLEVL=2 OSPT=ZAPT energy or gradient
 SCFTYP=MCSCF MPLEVL=2 energy
 SCFTYP=MCSCF using the FULLNR or JACOBI convergers
 SCFTYP=MCSCF analytic hessian
 SCFTYP=any CITYP=ALDET, ORMAS, GUGA
 SCFTYP=any energy localization
 SCFTYP=RHF CCTYP=CCSD or CCSD(T)

All other parallel runs should enter MEMDDI=0, for they use only replicated memory.

Some serial runs execute the parallel code (on just 1 CPU), for there is only a parallel code. These serial runs must give MEMDDI as a result:

SCFTYP=ROHF MPLEVL=2 OSPT=ZAPT gradient/property run
 SCFTYP=MCSCF analytic hessian

TIMLIM = time limit, in minutes. Set to about 95 percent of the time limit given to the batch job (if you use a queueing system) so that GAMESS can stop

itself gently. (default=525600.0 minutes)

PARALL = a flag to cause the distributed data parallel MP2 program to execute the parallel algorithm, even if you are running on only one node. The main purpose of this is to allow you to do EXETYP=CHECK runs to learn what the correct value of MEMDDI needs to be.

KDIAG = diagonalization control switch
= 0 use a vectorized diagonalization routine if one is available on your machine, else use EVVRSP. (default)
= 1 use EVVRSP diagonalization. This may be more accurate than KDIAG=0.
= 2 use GIVEIS diagonalization (not as fast or reliable as EVVRSP)
= 3 use JACOBI diagonalization (this is the slowest method)

COREFL = a flag to indicate whether or not GAMESS should produce a "core" file for debugging when subroutine ABRT is called to kill a job. This variable pertains only to UNIX operating systems. (default=.FALSE.)

BALTYP = Parallel load balance scheme:
= SLB uses static load balancing.
= DLB uses dynamic load balancing (default).
Dynamic load balancing attempts to spread out possibly unequal work assignments based on the rate at which different nodes complete tasks.
For historical reasons, it is permissible to spell SLB as LOOP, and DLB as NXTVAL.

NODEXT = array specifying node extensions in GDDI for each file. Non-zero values force no extension. E.g., NODEXT(40)=1 forces file 40 (file numbers are unit numbers used in GAMESS, see "rungms" or PROG.DOC) to have the name of \$JOB.F40 on all nodes, rather than \$JOB.F40, \$JOB.F40.001, \$JOB.F40.002 etc. This is convenient for FMO restart jobs, so that the file name need not be changed for each node, when copying the restart file. Note that on machines when several CPUs use

the same directory (e.g., SMP) NODEXT should be zero. (default: all zeros)

IOSMP = Parallelise I/O on SMP machines with multiple hard disks. Two parameters are specified, whose meaning should be clear from the example.

iosmp(1)=2,6

2 refers to the number of HDDs per SMP box.
6 is the location of the character in the file names that switches HDDs, i.e. if HDDs are mounted as /work1 and /work2, then 6 refers to the position of the number 1 in /work1. The file system should permit disks attached with directory names differing by one symbol.
(default: 0,0, disable the feature)

=====

=====

\$BASIS group (optional)

This group allows certain standard basis sets to be easily requested. If this group is omitted, the basis set must be given in the \$DATA group input.

GBASIS requests various Gaussian basis sets.

* * * segmented contractions * * *

GBASIS = MINI - Huzinaga's 3 gaussian minimal basis set.
Available H-Rn.

= MIDI - Huzinaga's 21 split valence basis set.
Available H-Rn.

= STO - Pople's STO-NG minimal basis set.
Available H-Xe, for NGAUSS=2,3,4,5,6.

= N21 - Pople's N-21G split valence basis set.
Available H-Xe, for NGAUSS=3.
Available H-Ar, for NGAUSS=6.

= N31 - Pople's N-31G split valence basis set.
Available H-Ne,P-Cl for NGAUSS=4.
Available H-He,C-F for NGAUSS=5.
Available H-Kr, for NGAUSS=6, note that the
bases for K,Ca,Ga-Kr were changed 9/2006.

= N311 - Pople's "triple split" N-311G basis set.
Available H-Ne, for NGAUSS=6.
Selecting N311 implies MC for Na-Ar.

= DZV - "double zeta valence" basis set.
a synonym for DH for H,Li,Be-Ne,Al-Cl.
(14s,9p,3d)/[5s,3p,1d] for K-Ca.
(14s,11p,5d)/[6s,4p,1d] for Ga-Kr.

= DH - Dunning/Hay "double zeta" basis set.
(3s)/[2s] for H.
(9s,4p)/[3s,2p] for Li.
(9s,5p)/[3s,2p] for Be-Ne.
(11s,7p)/[6s,4p] for Al-Cl.

= TZV - "triple zeta valence" basis set.
(5s)/[3s] for H.
(10s,3p)/[4s,3p] for Li.
(10s,6p)/[5s,3p] for Be-Ne.
a synonym for MC for Na-Ar.
(14s,9p)/[8s,4p] for K-Ca.

(14s,11p,6d)/[10s,8p,3d] for Sc-Zn.
 = MC - McLean/Chandler "triple split" basis.
 (12s,9p)/[6s,5p] for Na-Ar.
 Selecting MC implies 6-311G for H-Ne.

NGAUSS = the number of Gaussians (N). This parameter
 pertains only to GBASIS=STO, N21, N31, or N311.

Note: Polarization functions and/or diffuse functions are
 to be added separately to these GBASIS values, which define
 only the atom's occupied orbitals, with keywords such as
 NDFUNC and DIFFSP. Pople GBASIS keywords require NGAUSS.

* * * systematic basis set families * * *

GBASIS = CCn - Dunning-type Correlation Consistent basis
 sets, officially called cc-pVnZ.
 Use n = D,T,Q,5,6 to indicate the level of
 polarization. These provide a hierarchy of
 basis sets suitable for recovering the
 correlation energy.
 Available for H-He, Li-Ne, Na-Ar, Ca, Ga-Kr
 and for Sc-Zn for n=T,Q.
 = ACCn - As CCn, but augmented with a set of diffuse
 functions, e.g. aug-cc-pVnZ.
 = CCnC - As CCn, but augmented with tight functions
 for recovering core and core-valence
 correlation, e.g. cc-pCVnZ.
 = ACCnC- As CCn, but augmented with both tight and
 diffuse functions, e.g. aug-cc-pCVnZ.
 = PCn - Jensen Polarization Consistent basis sets.
 n = 0,1,2,3,4 indicates the level of
 polarization. (n=0 is unpolarized, n=1 is
 DZP, n=2 is TZ2P, etc.). These provide a
 hierarchy of basis sets suitable for DFT and
 HF calculations. Available H-Ar.
 = APCn - As PCn, but augmented with a set of diffuse
 functions.

Notes:

1. Normally these basis sets are used only as spherical harmonics, see ISPHER=1 in \$CONTRL.
2. The CC5, CC6, and PC4 basis sets (and corresponding augmented versions) contain h-functions, and CC6 contains i-functions. As GAMESS' integral codes are currently

restricted to g-functions, these basis sets presently omit these functions, and therefore are not the standard sets.
 3. The implementation of the cc-pVnZ basis sets for Al-Ar include one additional tight d-function, producing the so-called cc-pV(n+d)Z sets, which is found (J.Chem.Phys. 114, 9244(2001)) to improve the results. The same is true of the "aug-" counterpart. Note that the "core" versions of these elements (Al-Ar) don't have the extra d and should be regarded as inaccurate.

4. Note that both the CC and PC basis sets are generally contracted, which GAMESS can only handle by replicating the primitive basis functions, leading to a less than optimum performance in AO integral evaluation.

5. In case you are interested in scalar relativistic effects, the CCT-DK and CCQ-DK sets optimized for use with Douglas/Kroll are available for Sc-Kr. These will be used if you type GBASIS=CCT or CCQ along with RELWFN=DK, using NR sets for elements lighter than Sc. DK versions of ACCD or ACCT are available for Sc-Zn (but not Ga-Kr).

* * * Effective Core Potential (ECP) bases * * *

GBASIS = SBKJC- Stevens/Basch/Krauss/Jasien/Cundari
 valence basis set, for Li-Rn. This choice
 implies an unscaled -31G basis for H-He.
 = HW - Hay/Wadt valence basis.
 This is a -21 split, available Na-Xe,
 except for the transition metals.
 This implies a 3-21G basis for H-Ne.

* * * Model Core Potential (MCP) bases * * *

GBASIS = MCP-DZP, MCP-TZP, MCP-QZP -
 a family of double, triple, and quadruple zeta
 quality valence basis sets, which are akin to the
 correlation consistent sets, in that these include
 increasing levels of polarization (and so do not
 require "supplements" like NDFUNC or DIFFSP) and
 must be used as spherical harmonics (see ISPHER).
 The data file provided with GAMESS has all three
 basis sets for the main group atoms Li-Rn, and
 the MCP-TZP set for all elements Li-Rn plus Sc-Zn.
 To obtain "medium core" potentials and basis sets
 for other transition metals or lanthanides, see
<http://setani.sci.hokudai.ac.jp/sapporo/welcome.do>

- = IMCP-SR1 and IMCP-SR2 -
valence basis sets to be used with the improved
MCPs with scalar relativistic effects.
These are available for transition metals except
La, and the main group elements B-Ne, P-Ar, Ge,
Kr, Sb, Xe, Rn.
The 1 and 2 refer to addition of first and second
polarization shells, so again don't use any of the
"supplements" and do use spherical harmonics.
- = IMCP-NR1 and IMCP-NR2 -
closely related valence basis sets, but with
nonrelativistic model core potentials.

Notes: Select PP=MCP in \$CONTRL to automatically use the
model core potential matching your basis choice. Note that
references for these bases, and other information about
MCPs can be found in the REFS.DOC chapter. Another family
covering almost all elements is available in \$DATA only.

* * * semiempirical basis sets * * *

GBASIS = MNDO - selects MNDO model hamiltonian
= AM1 - selects AM1 model hamiltonian
= PM3 - selects PM3 model hamiltonian

Note: The elements for which these exist can be found in
the 'further information' section of this manual. If you
pick one of these, all other data in this group is ignored.
Semi-empirical runs actually use valence-only Slater type
orbitals (STOs), not Gaussian GTOs, but the keyword remains
GBASIS. NDFUNC, etc. will be ignored for these.

--- supplementary functions ---

NDFUNC = number of heavy atom polarization functions to
be used. These are usually d functions, except
for MINI/MIDI. The term "heavy" means Na on up
when GBASIS=STO, HW, or N21, and from Li on up
otherwise. The value may not exceed 3. The
variable POLAR selects the actual exponents to
be used, see also SPLIT2 and SPLIT3. (default=0)

NFFUNC = number of heavy atom f type polarization
functions to be used on Li-Cl. This may only
be input as 0 or 1. (default=0)

NPFUNC = number of light atom, p type polarization functions to be used on H-He. This may not exceed 3, see also POLAR. (default=0)

DIFFSP = flag to add diffuse sp (L) shell to heavy atoms. Heavy means Li-F, Na-Cl, Ga-Br, In-I, Tl-At. The default is .FALSE.

DIFFS = flag to add diffuse s shell to hydrogens. The default is .FALSE.

Warning: if you use diffuse functions, please read QMTTOL in the \$CONTRL group for numerical concerns.

POLAR = exponent of polarization functions
= COMMON (default for GBASIS=STO,N21,HW,SBKJC)
= POPN31 (default for GBASIS=N31)
= POPN311 (default for GBASIS=N311, MC)
= DUNNING (default for GBASIS=DH, DZV)
= HUZINAGA (default for GBASIS=MINI, MIDI)
= HONDO7 (default for GBASIS=TZV)

SPLIT2 = an array of splitting factors used when NDFUNC or NPFUNC is 2. Default=2.0,0.5

SPLIT3 = an array of splitting factors used when NDFUNC or NPFUNC is 3. Default=4.00,1.00,0.25

EXTFIL = a flag to read basis sets from an external file, defined by EXTBAS, rather than from a \$DATA group. (default=.false.)

Except for MCP basis sets, no external file is provided with GAMESS, thus you must create your own. The GBASIS keyword must give an 8 or less character string, obviously not using any internally stored names. Every atom must be defined in the external file by a line giving the chemical symbol, and this chosen string. Following this header line, give the basis in free format \$DATA style, containing only S, P, D, F, G, and L shells, and terminating each atom by the usual blank line. The external file may have several families of bases in the same file, identified by different GBASIS strings.

=====

The splitting factors are from the Pople school, and are probably too far apart. See for example the Binning and Curtiss paper. For example, the SPLIT2 value will usually cause an INCREASE over the 1d energy at the HF level for hydrocarbons.

The actual exponents used for polarization functions, as well as for diffuse sp or s shells, are described in the 'Further References' section of this manual. This section also describes the sp part of the basis set chosen by GBASIS fully, with all references cited.

Note that GAMESS always punches a full \$DATA group. Thus, if \$BASIS does not quite cover the basis you want, you can obtain this full \$DATA group from EXETYP=CHECK, and then change polarization exponents, add Rydbergs, etc.

=====
\$DATA group (required)
\$DATAS group (if NESC chosen, for small component basis)
\$DATAL group (if NESC chosen, for large component basis)

This group describes the global molecular data such as point group symmetry, nuclear coordinates, and possibly the basis set. It consists of a series of free format card images. See \$RELWFN for more information on large and small component basis sets. The input structure of \$DATAS and \$DATAL is identical to the COORD=UNIQUE \$DATA input.

-1- TITLE a single descriptive title card.

-2- GROUP, NAXIS

GROUP is the Schoenflies symbol of the symmetry group, you may choose from
C1, Cs, Ci, Cn, S2n, Cnh, Cnv, Dn, Dnh, Dnd,
T, Th, Td, O, Oh.

NAXIS is the order of the highest rotation axis, and must be given when the name of the group contains an N. For example, "Cnv 2" is C2v. "S2n 3" means S6. Use of NAXIS up to 8 is supported in each axial groups.

For linear molecules, choose either Cnv or Dnh, and enter NAXIS as 4. Enter atoms as Dnh with NAXIS=2. If the electronic state of either is degenerate, check the note about the effect of symmetry in the electronic state in the SCF section of REFS.DOC.

In order to use GAMESS effectively, you must be able to recognize the point group name for your molecule. This presupposes a knowledge of group theory at about the level of Cotton's "Group Theory", Chapter 3.

Armed with only the name of the group, GAMESS is able to exploit the molecular symmetry throughout almost all of the program, and thus save a great deal of computer time. GAMESS does not require that you know very much else about group theory, although a deeper knowledge (character tables, irreducible representations, term symbols, and so on) is useful when dealing with the more sophisticated wavefunctions.

Cards -3- and -4- are quite complicated, and are rarely given. A *SINGLE* blank card may replace both cards -3- and -4-, to select the 'master frame', which is defined on the next page. If you choose to enter a blank line, skip to one of the -5- input sequences.

Note!

If the point group is C1 (no symmetry), skip over cards -3- and -4- (which means no blank card).

-3- X1, Y1, Z1, X2, Y2, Z2

For C1 group, there is no card -3- or -4-.
For CI group, give one point, the center of inversion.
For CS group, any two points in the symmetry plane.
For axial groups, any two points on the principal axis.
For tetrahedral groups, any two points on a two-fold axis.
For octahedral groups, any two points on a four-fold axis.

-4- X3, Y3, Z3, DIRECT

third point, and a directional parameter.
For CS group, one point of the symmetry plane,
noncollinear with points 1 and 2.
For CI group, there is no card -4-.

For other groups, a generator sigma-v plane (if any) is the (x,z) plane of the local frame (CNV point groups).

A generator sigma-h plane (if any) is the (x,y) plane of the local frame (CNH and dihedral groups).

A generator C2 axis (if any) is the x-axis of the local frame (dihedral groups).

The perpendicular to the principal axis passing through the third point defines a direction called D1. If DIRECT='PARALLEL', the x-axis of the local frame coincides with the direction D1. If DIRECT='NORMAL', the x-axis of the local frame is the common perpendicular to D1 and the principal axis, passing through the intersection point of these two lines. Thus D1 coincides in this case with the negative y axis.

The 'master frame' is just a standard orientation for the molecule. By default, the 'master frame' assumes that

1. z is the principal rotation axis (if any),
2. x is a perpendicular two-fold axis (if any),
3. xz is the sigma-v plane (if any), and
4. xy is the sigma-h plane (if any).

Use the lowest number rule that applies to your molecule.

Some examples of these rules:

Ammonia (C3v): the unique H lies in the XZ plane (R1,R3).

Ethane (D3d): the unique H lies in the YZ plane (R1,R2).

Methane (Td): the H lies in the XYZ direction (R2). Since there is more than one 3-fold, R1 does not apply.

HP=0 (Cs): the mirror plane is the XY plane (R4).

In general, it is a poor idea to try to reorient the molecule. Certain sections of the program, such as the orbital symmetry assignment, do not know how to deal with cases where the 'master frame' has been changed.

Linear molecules (C4v or D4h) must lie along the z axis, so do not try to reorient linear molecules.

You can use EXETYP=CHECK to quickly find what atoms are generated, and in what order. This is typically necessary in order to use the general \$ZMAT coordinates.

* * * *

Depending on your choice for COORD in \$CONTROL,

```

if COORD=UNIQUE, follow card sequence U
if COORD=HINT, follow card sequence U
if COORD=CART, follow card sequence C
if COORD=ZMT, follow card sequence G
if COORD=ZMTMPC, follow card sequence M

```

Card sequence U is the only one which allows you to define a completely general basis here in \$DATA.

Recall that UNIT in \$CONTRL determines the distance units.

-5U- Atom input. Only the symmetry unique atoms are input, GAMESS will generate the symmetry equivalent atoms according to the point group selected above.

```

if COORD=UNIQUE NAME, ZNUC, X, Y, Z
*****

```

NAME = 10 character atomic name, used only for printout.
 Thus you can enter H or Hydrogen, or whatever.
 ZNUC = nuclear charge. It is the nuclear charge which actually defines the atom's identity.
 X,Y,Z = Cartesian coordinates.

```

if COORD=HINT
*****

```

```

NAME, ZNUC, CONX, R, ALPHA, BETA, SIGN, POINT1, POINT2, POINT3

```

NAME = 10 character atomic name (used only for print out).
 ZNUC = nuclear charge.
 CONX = connection type, choose from

'LC'	linear conn.	'CCPA'	central conn.
'PCC'	planar central conn.		with polar atom
'NPCC'	non-planar central conn.	'TCT'	terminal conn.
'PTC'	planar terminal conn.		with torsion

R = connection distance.
 ALPHA = first connection angle
 BETA = second connection angle
 SIGN = connection sign, '+' or '-'
 POINT1, POINT2, POINT3 =
 connection points, a serial number of a previously input atom, or one of 4 standard points: 0,I,J,K

(origin and unit points on axes of master frame).
defaults: POINT1='O', POINT2='I', POINT3='J'

ref- R.L. Hilderbrandt, J.Chem.Phys. 51, 1654 (1969).
You cannot understand HINT input without reading this.

Note that if ZNUC is negative, the internally stored basis for ABS(ZNUC) is placed on this center, but the calculation uses ZNUC=0 after this. This is useful for basis set superposition error (BSSE) calculations.

* * * If you gave \$BASIS, continue entering cards -5U- until all the unique atoms have been specified. When you are done, enter a " \$END " card.
* * * If you did not, enter cards -6U-, -7U-, -8U-.

-6U- GBASIS, NGAUSS, (SCALF(i),i=1,4)

GBASIS has exactly the same meaning as in \$BASIS. You may choose from MINI, MIDI, STO, N21, N31, N311, DZV, DH, BC, TZV, MC, SBKJC, or HW. In addition, you may choose S, P, D, F, G, or L to enter an explicit basis set. Here, L means both an s and p shell with a shared exponent.

In addition, GBASIS may be defined as MCP, to indicate that the current atom is represented by a model core potential, and valence basis set. An internally stored basis and potential will be applied (see REFS.DOC for the details). The MCP basis supplies only the occupied atomic orbitals, e.g. sp for a main group element, so please supplement with any desired polarization. In case the keyword MCP is followed by the keyword READ, everything will be taken from the input file, namely the basis functions are read using the sequence -6U-, -7U-, and -8U-, from lines following the "MCP READ" line. In addition, "MCP READ" implies that the parameters of the model core potentials, together with core basis functions are in the input stream, in a \$MCP group. Other MCP bases are available in the \$BASIS group, but note that to locate the MCP, the atom name must be a chemical symbol, that is "P" instead of "Phosphorus".

NGAUSS is the number of Gaussians (N) in the Pople style basis, or user input general basis. It has meaning only for GBASIS=STO, N21, N31, or N311, and S,P,D,F,G, or L.

Up to 4 scale factors may be entered. If omitted, standard values are used. They are not documented as every GBASIS treats these differently. Read the source code if you need to know more. They are seldom given.

* * * If GBASIS is not S,P,D,F,G, or L, either add more shells by repeating card -6U-, or go on to -8U-.
* * * If GBASIS=S,P,D,F,G, or L, enter NGAUSS cards -7U-.

-7U- IG, ZETA, C1, C2

IG = a counter, IG takes values 1, 2, ..., NGAUSS.
ZETA = Gaussian exponent of the IG'th primitive.
C1 = Contraction coefficient for S,P,D,F,G shells,
and for the s function of L shells.
C2 = Contraction coefficient for the p in L shells.

* * * For more shells on this atom, go back to card -6U-.
* * * If there are no more shells, go on to card -8U-.

-8U- A blank card ends the basis set for this atom.

Continue entering atoms with -5U- through -8U- until all are given, then terminate the group with a " \$END " card.

--- this is the end of card sequence U ---

COORD=CART input:

-5C- Atom input.

Cartesian coordinates for all atoms must be entered. They may be arbitrarily rotated or translated, but must possess the actual point group symmetry. GAMESS will reorient the

molecule into the 'master frame', and determine which atoms are the unique ones. Thus, the final order of the atoms may be different from what you enter here.

NAME, ZNUC, X, Y, Z

NAME = 10 character atomic name, used only for printout.
Thus you can enter H or Hydrogen, or whatever.
ZNUC = nuclear charge. It is the nuclear charge which actually defines the atom's identity.
X,Y,Z = Cartesian coordinates.

Continue entering atoms with card -5C- until all are given, and then terminate the group with a " \$END " card.

--- this is the end of card sequence C ---

COORD=ZMT input: (GAUSSIAN style internals)

-5G- ATOM

Only the name of the first atom is required.
See -8G- for a description of this information.

-6G- ATOM i1 BLENGTH

Only a name and a bond distance is required for atom 2.
See -8G- for a description of this information.

-7G- ATOM i1 BLENGTH i2 ALPHA

Only a name, distance, and angle are required for atom 3.
See -8G- for a description of this information.

-8G- ATOM i1 BLENGTH i2 ALPHA i3 BETA i4

ATOM is the chemical symbol of this atom. It can be followed by numbers, if desired, for example Si3.

The chemical symbol implies the nuclear charge.
i1 defines the connectivity of the following bond.
BLENGTH is the bond length "this atom-atom i1".
i2 defines the connectivity of the following angle.
ALPHA is the angle "this atom-atom i1-atom i2".
i3 defines the connectivity of the following angle.
BETA is either the dihedral angle "this atom-atom i1-atom i2-atom i3", or perhaps a second bond angle "this atom-atom i1-atom i3".
i4 defines the nature of BETA,
If BETA is a dihedral angle, i4=0 (default).
If BETA is a second bond angle, i4=+/-1.
(sign specifies one of two possible directions).

- o Repeat -8G- for atoms 4, 5, ...
 - o The use of ghost atoms is possible, by using X or BQ for the chemical symbol. Ghost atoms preclude the option of an automatic generation of \$ZMAT.
 - o The connectivity i1, i2, i3 may be given as integers, 1, 2, 3, 4, 5,... or as strings which match one of the ATOMs. In this case, numbers must be added to the ATOM strings to ensure uniqueness!
 - o In -6G- to -8G-, symbolic strings may be given in place of numeric values for BLENGTH, ALPHA, and BETA. The same string may be repeated, which is handy in enforcing symmetry. If the string is preceded by a minus sign, the numeric value which will be used is the opposite, of course. Any mixture of numeric data and symbols may be given. If any strings were given in -6G- to -8G-, you must provide cards -9G- and -10G-, otherwise you may terminate the group now with a " \$END " card.
-

-9G- A blank line terminates the Z-matrix section.

-10G- STRING VALUE

STRING is a symbolic string used in the Z-matrix.
VALUE is the numeric value to substitute for that string.

Continue entering -10G- until all STRINGS are defined. Note that any blank card encountered while reading -10G- will be ignored. GAMESS regards all STRINGS as variables (constraints are sometimes applied in \$STATPT). It is not necessary to place constraints to preserve point group symmetry, as GAMESS will never lower the symmetry from that given at -2-. When you have given all STRINGS a VALUE, terminate the group with a " \$END " card.

--- this is the end of card sequence G ---

* * * *

The documentation for sequence G above and sequence M below presumes you are reasonably familiar with the input to GAUSSIAN or MOPAC. It is probably too terse to be understood very well if you are unfamiliar with these. A good tutorial on both styles of Z-matrix input can be found in Tim Clark's book "A Handbook of Computational Chemistry", published by John Wiley & Sons, 1985.

Both Z-matrix input styles must generate a molecule which possesses the symmetry you requested at -2-. If not, your job will be terminated automatically.

COORD=ZMTMPC input: (MOPAC style internals)

-5M- ATOM

Only the name of the first atom is required.
See -8M- for a description of this information.

-6M- ATOM BLENGTH

Only a name and a bond distance is required for atom 2.
See -8M- for a description of this information.

-7M- ATOM BLENGTH j1 ALPHA j2

Only a bond distance from atom 2, and an angle with respect to atom 1 is required for atom 3. If you prefer to hook atom 3 to atom 1, you must give connectivity as in -8M-. See -8M- for a description of this information.

-8M- ATOM BLENGTH j1 ALPHA j2 BETA j3 i1 i2 i3

ATOM, BLENGTH, ALPHA, BETA, i1, i2 and i3 are as described at -8G-. However, BLENGTH, ALPHA, and BETA must be given as numerical values only. In addition, BETA is always a dihedral angle. i1, i2, i3 must be integers only.

The j1, j2 and j3 integers, used in MOPAC to signal optimization of parameters, must be supplied but are ignored here. You may give them as 0, for example.

Continue entering atoms 3, 4, 5, ... with -8M- cards until all are given, and then terminate the group by giving a " \$END " card.

--- this is the end of card sequence M ---

=====
 This is the end of \$DATA!

If you have any doubt about what molecule and basis set you are defining, or what order the atoms will be generated in, simply execute an EXETYP=CHECK job to find out!

=====

\$ZMAT group (required if NZVAR is nonzero in \$CONTRL)

This group lets you define the internal coordinates in which the gradient geometry search is carried out. These need not be the same as the internal coordinates used in \$DATA. The coordinates may be simple Z-matrix types, delocalized coordinates, or natural internal coordinates.

You must input a total of $M=3N-6$ internal coordinates ($M=3N-5$ for linear molecules). NZVAR in \$CONTRL can be less than M IF AND ONLY IF you are using linear bends. It is also possible to input more than M coordinates if they are used to form exactly M linear combinations for new internals. These may be symmetry coordinates or natural internal coordinates. If $NZVAR > M$, you must input IJS and SIJ below to form M new coordinates. See DECOMP in \$FORCE for the only circumstance in which you may enter a larger NZVAR without giving SIJ and IJS.

**** IZMAT defines simple internal coordinates ****

IZMAT is an array of integers defining each coordinate. The general form for each internal coordinate is
code number, I, J, K, L, M, N

IZMAT =1 followed by two atom numbers. (I-J bond length)
 =2 followed by three numbers. (I-J-K bond angle)
 =3 followed by four numbers. (dihedral angle)
 Torsion angle between planes I-J-K and J-K-L.
 =4 followed by four atom numbers. (atom-plane)
 Out-of-plane angle from bond I-J to plane J-K-L.
 =5 followed by three numbers. (I-J-K linear bend)
 Counts as 2 coordinates for the degenerate bend, normally J is the center atom. See \$LIBE.
 =6 followed by five atom numbers. (dihedral angle)
 Dihedral angle between planes I-J-K and K-L-M.
 =7 followed by six atom numbers. (ghost torsion)
 Let A be the midpoint between atoms I and J, and B be the midpoint between atoms M and N. This coordinate is the dihedral angle A-K-L-B. The atoms I, J and/or M, N may be the same atom number. (If I=J AND M=N, this is a conventional torsion).
 Examples: N₂H₄, or, with one common pair, H₂POH.

Example - a nonlinear triatomic, atom 2 in the middle:

```
$ZMAT IZMAT(1)=1,1,2, 2,1,2,3, 1,2,3 $END
```

This sets up two bonds and the angle between them. The blanks between each coordinate definition are not necessary, but improve readability mightily.

**** the next define delocalized coordinates ****

DLC is a flag to request delocalized coordinates. (default is .FALSE.)

AUTO is a flag to generate all redundant coordinates, automatically. The DLC space will consist of all non-redundant combinations of these which can be found. The list of redundant coordinates will consist of bonds, angles, and torsions only. (default is .FALSE.)

NONVDW is an array of atom pairs which are to be joined by a bond, but might be skipped by the routine that automatically includes all distances shorter than the sum of van der Waals radii. Any angles and torsions associated with the new bond(s) are also automatically included.

The format for IXZMAT, IRZMAT, IFZMAT is that of IZMAT:

IXZMAT is an extra array of simple internal coordinates which you want to have added to the list generated by AUTO. Unlike NONVDW, IXZMAT will add only the coordinate(s) you specify.

IRZMAT is an array of simple internal coordinates which you would like to remove from the AUTO list of redundant coordinates. It is sometimes necessary to remove a torsion if other torsions around a bond are being frozen, to obtain a nonsingular G matrix.

IFZMAT is an array of simple internal coordinates which you would like to freeze. See also FVALUE below. IFZMAT/FVALUE work with ordinary coordinate input using IZMAT, as well as with DLC, but in the former case be careful that IFZMAT specifies coordinates

that were already given in IZMAT. In addition, IFZMAT works only for IZMAT=1,2,3 type coordinates. See IFREEZ in \$STATPT you wish to freeze regular or natural internal coordinates.

FVALUE is an array of values to which the internal coordinates should be constrained. It is not necessary to input \$DATA such that the initial values match these desired final values, but it is helpful if the initial values are not too far away.

**** SIJ,IJS define natural internal coordinates ****

SIJ is a transformation matrix of dimension NZVAR x M, used to transform the NZVAR internal coordinates in IZMAT into M new internal coordinates. SIJ is a sparse matrix, so only the non-zero elements are given, by using the IJS array described below. The columns of SIJ will be normalized by GAMESS. (Default: SIJ = I, unit matrix)

IJS is an array of pairs of indices, giving the row and column index of the entries in SIJ.

example - if the above triatomic is water, using

```
IJS(1) = 1,1, 3,1, 1,2, 3,2, 2,3  
SIJ(1) = 1.0, 1.0, 1.0,-1.0, 1.0
```

```
gives the matrix S=  1.0  1.0  0.0  
                   0.0  0.0  1.0  
                   1.0 -1.0  0.0
```

which defines the symmetric stretch, asymmetric stretch, and bend of water.

references for natural internal coordinates:

P.Pulay, G.Fogarasi, F.Pang, J.E.Boggs
J.Am.Chem.Soc. 101, 2550-2560(1979)

G.Fogarasi, X.Zhou, P.W.Taylor, P.Pulay
J.Am.Chem.Soc. 114, 8191-8201(1992)

reference for delocalized coordinates:

J.Baker, A. Kessi, B.Delley
J.Chem.Phys. 105, 192-212(1996)

=====

=====
\$LIBE group (required if linear bends are used in \$ZMAT)

A degenerate linear bend occurs in two orthogonal planes, which are specified with the help of a point A. The first bend occurs in a plane containing the atoms I,J,K and the user input point A. The second bend is in the plane perpendicular to this, and containing I,J,K. One such point must be given for each pair of bends used.

APTS(1)= x1,y1,z1,x2,y2,z2,... for linear bends 1,2,...

Note that each linear bend serves as two coordinates, so that if you enter 2 linear bends (HCCH, for example), the correct value of NZVAR is M-2, where M=3N-6 or 3N-5, as appropriate.

=====

=====
\$\$SCF group relevant if SCFTYP = RHF, UHF, or ROHF,
 required if SCFTYP = GVB)

This group of parameters provides additional control over the RHF, UHF, ROHF, or GVB SCF steps. It must be given to define GVB open shell or perfect pairing wavefunctions. See \$MCSCF for multireference inputs.

DIRSCF = a flag to activate a direct SCF calculation, which is implemented for all the Hartree-Fock type wavefunctions: RHF, ROHF, UHF, and GVB. This keyword also selects direct MP2 computation. The default of .FALSE. stores integrals on disk storage for a conventional SCF calculation.

FDIFF = a flag to compute only the change in the Fock matrices since the previous iteration, rather than recomputing all two electron contributions. This saves much CPU time in the later iterations. This pertains only to direct SCF, and has a default of .TRUE. This option is implemented only for the RHF, ROHF, UHF cases. Cases with many diffuse functions in the basis set sometimes oscillate at the end, rather than converging. Turning this parameter off will normally give convergence.

---- The next flags affect convergence rates.

NOCONV = .TRUE. means neither SOSCF nor DIIS will be used. The default is .FALSE., making the choice of the primary converger as follows:

 for RHF, GVB, UHF, or ROHF (if Abelian): SOSCF
 for any DFT, or for non-Abelian groups: DIIS.

DIIS = selects Pulay's DIIS interpolation.

SOSCF = selects second order SCF orbital optimization.

Once either DIIS or SOSCF are initiated, the following less important accelerators are placed in abeyance:

EXTRAP = selects Pople extrapolation of the Fock matrix.

DAMP = selects Davidson damping of the Fock matrix.

SHIFT = selects level shifting of the Fock matrix.

RSTRCT = selects restriction of orbital interchanges.
 DEM = selects direct energy minimization, which is implemented only for RHF. (default=.FALSE.)

defaults for	EXTRAP	DAMP	SHIFT	RSTRCT	DIIS	SOSCF
ab initio:	T	F	F	F	F/T	T/F
semiempirical:	T	F	F	F	F	F

The above parameters are implemented for all SCF wavefunction types, except that DIIS will work for GVB only for those cases with NPAIR=0 or NPAIR=1.

---- These parameters fine tune the various convergers.

CONV = SCF density convergence criteria.
 Convergence is reached when the density change between two consecutive SCF cycles is less than this in absolute value. One more cycle will be executed after reaching convergence. Less accuracy in CONV gives questionable gradients. The default is 1.0d-05, except runs involving CI or MP2 gradients or CC energies use 1.0d-06.

SOGTOL = second order gradient tolerance. SOSCF will be initiated when the orbital gradient falls below this threshold. (default=0.25 au)

ETHRSH = energy error threshold for initiating DIIS. The DIIS error is the largest element of e=FDS-SDF. Increasing ETHRSH forces DIIS on sooner. (default = 0.5 Hartree)

MAXDII = Maximum size of the DIIS linear equations, so that at most MAXDII-1 Fock matrices are used in the interpolation. (default=10)

SWDIIS = density matrix convergence at which to switch from DIIS to SOSCF. A value of zero means to keep using DIIS at all geometries, which is the default. However, it may be useful to have DIIS work only at the first geometry, in the initial iterations, for example transition metal ECP runs which has a less good Huckel guess, and then use SOSCF for the final SCF

iterations at the first geometry, and ever afterwards. A suggested usage might be DIIS=.TRUE. ETHRSH=2.0 SWDIIS=0.005. This option is not programmed for GVB.

DEMCUT = Direct energy minimization will not be done once the density matrix change falls below this threshold. (Default=0.5)

DMPCUT = Damping factor lower bound cutoff. The damping damping factor will not be allowed to drop below this value. (default=0.0)

note: The damping factor need not be zero to achieve valid convergence (see Hsu, Davidson, and Pitzer, J.Chem.Phys., 65, 609 (1976), see the section on convergence control), but it should not be astronomical either.

```

* * * * *
For more info on the convergence methods,
see the 'Further Information' section.
* * * * *

```

---- orbital modification options ----

The four options UHFNOS, VVOS, MVOQ, and ACAVO are mutually exclusive. The latter 3 require RUNTYP=ENERGY.

UHFNOS = flag controlling generation of the natural orbitals of a UHF function. (default=.FALSE.)

VVOS = flag controlling generation of Valence Virtual Orbitals. See J.Chem.Phys. 120, 2629-2637(2004). VVOs are a quantitative realization of the concept of "lowest unoccupied orbital" and are also useful for MCSCF starting orbitals. The implementation at present allows only RHF functions, elements up to Xe (excluding transition metals), and core potentials may not be used. The default is .FALSE. VVOS should be better MCSCF starting orbitals than either MVOQ or ACAVO type virtuals.

MVOQ = 0 Skip MVO generation (default)
= n Form modified virtual orbitals, using a cation with n electrons removed. Implemented for RHF, ROHF, and GVB. If necessary to reach a closed shell cation, the program might remove

n+1 electrons. Typically, n will be about 6.
 = -1 The cation used will have each valence orbital half filled, to produce MVOs with valence-like character in all regions of the molecule. Implemented for RHF and ROHF only.

ACAVO = Flag to request Approximate Correlation-Adapted Virtual Orbitals. Implemented for RHF, ROHF, and GVB. The default is .FALSE.

PACAVO = Parameters used to define the ACAVO generating operator, which is defined as
 $a*T + b*Vne + c*Jcore + d*Jval + e*Kcore + f*Kval$
 The default corresponds to Whitten orbitals, J.L.Whitten, J.Chem.Phys. 56, 458-546(1972) which maximize the exchange interaction with the valence MOs, PACAVO(1)=0,0,0,0,0,-1.0. A set of parameters which may produce a lower CI-SD energy, is 0.02,0.02,0.0,0.10,0.0,-1.0. Of course, the canonical virtuals come from PACAVO(1)=1.0,1.0,2.0,2.0,-1.0,-1.0.

----- GVB wavefunction input -----

The next parameters define the GVB wavefunction. See also MULT in the \$CONTRL group. The GVB wavefunction assumes orbitals are in the order core, open, pairs.

NCO = The number of closed shell orbitals. The default almost certainly should be changed! (default=0).

NSETO = The number of sets of open shells in the function. Maximum of 10. (default=0)

NO = An array giving the degeneracy of each open shell set. Give NSETO values. (default=0,0,0,...).

NPAIR = The number of geminal pairs in the -GVB- function. Maximum of 12. The default corresponds to open shell SCF (default=0).

CICOEF = An array of ordered pairs of CI coefficients for the -GVB- pairs. (default = 0.90,-0.20,0.90,-0.20,...)

For example, a two pair case for water, say, might be CICOEF(1)=0.95,-0.05,0.95,-0.05. If not normalized, as in the default, CICOEF will be. This parameter is useful in restarting a GVB run, with the current CI coefficients.

COUPLE = A switch controlling the input of F, ALPHA, and BETA. (Default=.FALSE.)

Input for F, ALPHA, BETA will be ignored unless you select this variable as .TRUE.

F = An vector of fractional shell occupations.

ALPHA = An array of A coupling coefficients, given in lower triangular order.

BETA = An array of B coupling coefficients, given in lower triangular order.

Note: The default for F, ALPHA, and BETA depends on the state chosen. Defaults for the most commonly occurring cases are internally stored. See "Further Information" for other cases, including degenerate open shells.

Note: ALPHA and BETA can be given for -ROHF- orbital canonicalization control, see "Further Information".

----- miscellaneous options -----

NPUNCH = option for output to the PUNCH file
= 0 do not punch out the final orbitals
= 1 punch out the occupied orbitals
= 2 punch out occupied and virtual orbitals
The default is NPUNCH = 2.

NPREQ = energy and orbital printing options, applying after other output options, for example NPRINT=-5 for no orbital output overrules this keyword. Orbitals from NPREO(1) to NPREO(2) and orbital energies from NPREO(3) to NPREO(4) are printed. Positive values indicate plain ordinal numbers. Non-positive values are relative to HOMO. For NPREO(1) and (3), 0 is HOMO, -1 is HOMO+1 etc. For NPREO(2) and (4), 0 is HOMO, -1 is HOMO+1 etc. Numbers exceeding the total orbital count are automatically adjusted to the maximum value. Orbitals printed by NPREO(1) and NPREO(2) will

always have the orbital energy labels attached, NPREO(3) to NPREO(4) define separate print-out of the orbital energies.

HOMO here means the highest occupied orbital, assuming a singlet RHF orbital occupation, that is to say NE/2, no matter what SCFTYP is.

To print only the HOMO and LUMO LCAO coefficients, and all orbital energies, enter:

NPREO(1)=0,-1,1,9999

Default: 1,9999,2,1 (meaning print all orbitals, but no separate list of orbital energies).

----- options for virial scaling -----

VTSCAL = A flag to request that the virial theorem be satisfied. An analysis of the total energy as an exact sum of orbital kinetic energies is printed. The default is .FALSE.

This option is implemented for RHF, UHF, and ROHF, for RUNTYP=ENERGY, OPTIMIZE, or SADPOINT. Related input is:

SCALF = initial exponent scale factor when VTSCAL is in use, useful when restarting. The default is 1.0.

MAXVT = maximum number of iterations (at a single geometry) to satisfy the energy virial theorem. The default is 20.

VTCONV = convergence criterion for the VT, which is satisfied when $2\langle T \rangle + \langle V \rangle + R \times dE/dR$ is less than VTCONV. The default is $1.0D-6$ Hartree.

For more information on this option, which is most useful during a geometry search, see M.Lehd and F.Jensen, J.Comput.Chem. 12, 1089-1096(1991).

* * * * *
For more discussion of GVB/ROHF input
see the 'further information' section
* * * * *

=====

=====
\$\$SCFMI group (optional, relevant if SCFTYP=RHF)

The Self Consistent Field for Molecular Interactions (SCF-MI) method is a modification of the usual Roothaan equations that avoids basis set superposition error (BSSE) in intermolecular interaction calculations, by expanding each monomer's orbitals using only its own basis set. Thus, the resulting orbitals are not orthogonal. The presence of a \$\$SCFMI group in the input triggers the use of this option.

The implementation is limited to ten monomers, treated at the RHF level. The energy, gradient, and therefore semi-numerical hessian are available. The SCF step may be run in direct SCF mode, and parallel calculation is also enabled. The calculation must use Cartesian Gaussian AOs only, not spherical harmonics. The SCF-MI driver differs from normal RHF calculations, so not all converger methods are available. Finally, this option is not compatible with electron correlation treatments (DFT, MP2, CI, or CC).

The first 3 parameters must be given. All atoms of a fragment must appear consecutively in \$DATA.

NFRAGS = number of distinct fragments present. Both the supermolecule and its constituent monomers must be well described as closed shells by RHF wavefunctions.

NF occupied = an array containing the number of doubly occupied MOs for each fragment.

MF = an array containing the number of atomic basis functions located on each fragment.

ITER = maximum number of SCF-MI cycles, overriding the usual MAXIT value. (default is 50).

DTOL = SCF-MI density convergence criteria. (default is 1.0d-10)

ALPHA = possible level shift parameter.
(default is 0.0, meaning shifting is not used)

DIISON = a flag to active the DIIS convergence.
(default is .TRUE.)

MXDIIS = the maximum number of previous effective Fock
and
overlap matrices to be used in DIIS
(default=10)

DIISTL = the density change value at which DIIS starts.
(default=0.01)

A Huckel guess is localized by the Boys procedure onto each fragment to provide starting orbitals for each:

ITLOC = maximum number of iteration in the localization step (Default is 50)

CNVLOC = convergence parameter for the localization.
(default is .01).

IOPT = prints additional debug information.
= 0 standard outout (default)
= 1 print for each SCF-MI cycle MOs, overlap between the MOs, CPU times.
= 2 print some extra informations in secular systems solution.

=====

"Modification of Roothan Equations to exclude BSSE from Molecular Interaction calculations"

E. Gianinetti, M. Raimondi, E. Tornaghi
Int. J. Quantum Chem. 60, 157-166 (1996)

"Implementation of Gradient optimization algorithms and Force Constant computations in BSSE-free direct and conventional SCF approaches"

A. Famulari, E. Gianinetti, M. Raimondi, M. Sironi
Int. J. Quantum Chem. 69, 151-158 (1997)

=====
\$DFT group (relevant if DFTTYP is chosen)
(relevant if SCFTYP=RHF,UHF,ROHF)

Note that if DFTTYP=NONE, an ab initio calculation will be performed, rather than density functional theory.

This group permits the use of various one electron (usually empirical) operators instead of the true many electron Hamiltonian. Two programs are provided, METHOD=GRID or GRIDFREE. The programs have different functionals available, and so the keyword DFTTYP (which is entered in \$CONTRL) and other associated inputs are documented separately below. Every functional that has the same name in both lists is an identical functional, but each METHOD has a few functionals that are missing in the other.

The grid free implementation is based on the use of the resolution of the identity to simplify integrals so that they may be analytically evaluated, without using grid quadratures. The grid free DFT computations in their present form have various numerical errors, primarily in the gradient vectors. Please do not use the grid-free DFT program without reading the discussion in the 'Further References' section regarding the gradient accuracy.

The grid based DFT uses a typical grid quadrature to compute integrals over the rather complicated functionals, using two possible angular grid types.

Achieving a self-consistent field with DFT is rather more difficult than for normal HF, so DIIS is the default converger.

Both DFT programs will run in parallel. See the two lists below for possible functionals in the two programs.

See also the \$TDDFT input group for excited states.

METHOD = selects grid based DFT or grid free DFT.
= GRID Grid based DFT (default)
= GRIDFREE Grid free DFT

DFTTYP is given in \$CONTRL, not here in \$DFT! Possible values for the grid-based program are listed first,

----- options for METHOD=GRID -----

DFTTYP = NONE means ab initio computation (default)

Many choices are given below, perhaps the most sensible are

local DFT: SVWN

pure DFT GGA: BLYP, PW91, B97-D, PBE/PBESol

hybrid DFT GGA: B3LYP, X3LYP, PBE0

pure DFT meta-GGA: TPSS/TPSSm

hybrid DFT meta-GGA: TPSSh, M06

but of course, everyone has their own favorite!

pure exchange functionals:

= SLATER Slater exchange

= BECKE Becke 1988 exchange

= GILL Gill 1996 exchange

= OPTX Handy-Cohen exchange

= PW91X Perdew-Wang 1991 exchange

= PBEX Perdew-Burke-Ernzerhof exchange

These will be used with no correlation functional at all.

pure correlation functionals:

= VWN Vosko-Wilk-Nusair correlation, using their electron gas formula 5 (VWN5)

= VWN1 Vosko-Wilke-Nusair correlation, using their e- gas formula 1, with RPA params.

= PZ81 Perdew-Zener 1981 correlation

= P86 Perdew 1986 correlation

= LYP Lee-Yang-Parr correlation

= PW91C Perdew-Wang 1991 correlation

= PBEC Perdew-Burke-Ernzerhof correlation

= OP One-parameter Progressive correlation

These will be used with 100% HF exchange, if chosen.

combinations (partial list):

= SVWN SLATER exchange + VWN5 correlation
Called LDA/LSDA in physics for RHF/UHF.

= BLYP BECKE exchange + LYP correlation

= BOP BECKE exchange + OP correlation

= BP86 BECKE exchange + P86 correlation

= GVWN GILL exchange + VWN5 correlation

- = GPW91 GILL exchange + PW91 correlation
- = PBEVWN PBE exchange + VWN5 correlation
- = PBEOP PBE exchange + OP correlation
- = OLYP OPTX exchange + LYP correlation
- = PW91 means PW91 exchange + PW91 correlation
- = PBE means PBE exchange + PBE correlation

There's a nearly infinite set of pairings (well, 6*8), so we show only enough to give you the idea. In other words, pairs are formed by abbreviating the exchange functionals

SLATER=S, BECKE=B, GILL=G, OPTX=O, PW91X=PW91, PBEX=PBE and matching them with any correlation functional, of which only two are abbreviated when used in combinations, PW91C=PW91, PBEC=PBE

The pairings shown above only scratch the surface, but clearly, many possibilities, such as PW91PBE, are nonsense!

pure DFT GGA functionals:

- = EDF1 empirical density functional #1, which is a modified BLYP from Adamson/Gill/Pople.
- = PW91 Perdew/Wang 1991
- = PBE Perdew/Burke/Ernzerhof 1996
- = revPBE PBE as revised by Zhang/Yang
- = RPBE PBE as revised by Hammer/Hansen/Norskov
- = PBEsol PBE as revised by Perdew et al for solids
- = B97-D Grimme's modified B97, with dispersion correction (this forces DC=.TRUE.)

hybrid GGA functionals:

- = BHHLYP HF and BECKE exchange + LYP correlation
- = B3PW91 Becke's 3 parameter exchange hybrid, with PW91 correlation functional
- = B3LYP this is a hybrid method combining five functionals, namely Becke + Slater + HF exchange, and LYP + VWN5 correlation.
- = B3LYP1 use VWN1 in place of VWN5, matching the e- gas formula chosen by some programs.
- = B97 Becke's 1997 hybrid functional
- = B97-1 Hamprecht/Cohen/Tozer/Handy's 1998 reparameterization of B97
- = B97-2 Wilson/Bradley/Tozer's 2001 mod to B97
- = PBE0 a hybrid made from PBE
- = X3LYP HF+Slater+Becke88+PW91 exchange, and LYP+VWN1 correlation.

Each includes some Hartree-Fock exchange, and also may be a linear combination of many DFT parts.

meta-GGA functionals:

These are not hybridized with HF exchange, unless that is explicitly stated.

- = VS98 Voorhis/Scuseria, 1998
- = PKZB Perdew/Kurth/Zupan/Blaha, 1999
- = TPSS Tao/Perdew/Staroverov/Scuseria, 2003
- = TPSSh TPSS hybrid with 10% HF exchange
- = TPSSm TPSS with modified parameter, 2007
- = M05 Minnesota exchange-correlation, 2005
a hybrid with 28% HF exchange.
- = M05-2X M05, with doubled HF exchange, to 56%
- = M06 Minnesota exchange-correlation, 2006
a hybrid with 27% HF exchange.
- = M06-L M06, with 0% HF exchange (L=local)
- = M06-2X M06, with doubled HF exchange, to 54%
- = M06-HF M06 correlation, using 100% HF exchange

Truhlar recommends M06 for the general situation, but see his "concluding remarks" in the M06 reference about which functional is best for what kind of test data set.

An extensive bibliography for these functionals can be found in the 'Further References' section of this manual.

Note that only a subset of these functionals can be used for TD-DFT energy or gradients. These subsets are listed in the \$TDFT input group.

- DC = a flag to turn on Grimme's empirical dispersion correction, involving scaled R^{*-6} terms. Parameters exist for only a few DFTTYP, see DCPAR. (default=.FALSE., except when DFTTYP=B97-D)
N.B. This empiricism may also be added to plain Hartree-Fock, by choosing DFTTYP=NONE with DC=.T.
- DCOLD = a flag to use Grimme's original 2004 dispersion correction, if you choose DCOLD, you must also set DC on! (default=.FALSE.)
- DCPAR = Grimme's parameter S6 for scaling a C6 term added as an empirical correction to otherwise unchanged functionals (showing Grimme's default for S6): BLYP(1.20), PBE(0.75), BP86(1.05), TPSS(1.00), B3LYP(1.05). For B97-D, the DC option will also

change the original functional, and the scale factor is 1.25. DC works for other functionals, if you make up your own value for DCPAR.

- LC = flag to turn on the long range correction (LC), which smoothly replaces the DFT exchange by the HF exchange at long inter-electron distances. (default=.FALSE.)
This option can only be used with the Becke exchange functional (Becke) and a few correlation functionals, namely BLYP, BOP, and BVWN, only. For example, B3LYP has a fixed admixture of HF exchange, so it cannot work with the LC option. See H.Iikura, T.Tsuneda, T.Yanai, and K.Hirao, J.Chem.Phys. 115, 3540 (2001).
- MU = A parameter for the long range correction scheme. (default=0.33)

* * * Grid Input * * *

Only one of the three grid types may be chosen for the run. The default (if no selection is made) is the Lebedev grid. In order to duplicate results obtained prior to April 2008, select the polar coordinate grid NRAD=96 NTHE=12 NPHI=24. Energies can be compared if and only if the identical grid type and density is used, analogous to needing to compare with the identical basis set expansions. See REFS.DOC for more information on grids. See similar inputs in \$TDDFT.

Lebedev grid:

- NRAD = number of radial points in the Euler-MacLaurin quadrature. (default=96)
- NLEB = number of angular points in the Lebedev grids. (default=302). Possible values are 86, 110, 146, 170, 194, 302, 350, 434, 590, 770, 974, 1202, 1454, 1730, 2030...

The default for NLEB means that nuclear gradients will be accurate to about the default OPTTOL=0.00010 (see \$STATPT), 590 approaches OPTTOL=0.00001, and 1202 is "army grade".

The next two specify radial/angular in a single keyword:

- SG1 = a flag to select the "standard grid 1", which has 24 radial points, and various pruned Lebedev grids, from 194 down to 6. (default=.FALSE. This grid is very fast, but produces gradients whose accuracy reaches only OPTTOL=0.00050. This grid should be VERY USEFUL for the early steps of a geometry optimization.
- JANS = two unpublished grids due to Curtis Janssen, implemented here differently than in MPQC:
= 1 uses 95 radial points for all atoms, and prunes from a Lebedev grid whose largest size is 434, thus using about 15,000 grid points/atom.
= 2 uses 155 radial points for all atoms, and prunes from a Lebedev grid whose largest size is 974, thus using about 71,000 grid points/atom. This is a very accurate grid, e.g. "army grade".

polar coordinate grid:

- NRAD = number of radial points in the Euler-MacLaurin quadrature. (96 is reasonable)
- NTHE = number of angle theta grids in Gauss-Legendre quadrature (polar coordinates). (12 is reasonable)
- NPHI = number of angle phi grids in Gauss-Legendre quadrature. NPHI should be double NTHE so points are spherically distributed. (24 is reasonable)

The number of angular points will be NTHE*NPHI. The values shown give a gradient accuracy near the default OPTTOL of 0.00010, while NTHE=24 NPHI=48 approaches OPTTOL=0.00001, and "army grade" is NTHE=36 NPHI=72.

* * * Grid Switching * * *

At the first geometry of the run, pure HF iterations will be performed, since convergence of DFT is greatly improved by starting with the HF density matrix. After DFT engages, most runs (at all geometries, except for PCM or numerical

Hessians) will use a coarser grid during the early DFT iterations, before reaching some initial convergence. After that, the full grid will be used. Together, these switchings can save considerable CPU time.

SWOFF = turn off DFT, to perform pure SCF iterations, until the density matrix convergence falls below this threshold. This option is independent of SWITCH and can be used with or without it. It is reasonable to pick SWOFF > SWITCH > CONV in \$SCF. SWOFF pertains only to the first geometry that the run computes, and is automatically disabled if you choose GUESS=MOREAD to provide initial orbitals. The default is 5.0E-3.

SWITCH = when the change in the density matrix between iterations falls below this threshold, switch to the desired full grid (default=3.0E-4)
This keyword is ignored if the SG1 grid is used.

NRAD0 = same as NRAD, but defines initial coarse grid.
default = smaller of 24 and NRAD/4

NLEB0 = same as NLEB, but defines initial coarse grid.
default = 110

NTHE0 = same as NTHE, but defines initial coarse grid.
default = smaller of 8, NTHE/3

NPHI0 = same as NPHI, but defines initial coarse grid.
default = smaller of 16, NPHI/3

technical parameters:

THRESH = threshold for ignoring small contributions to the Fock matrix. The default is designed to produce no significant energy loss, even when the grid is as good as "army grade". If for some reason you want to turn all threshold tests off, of course requiring more CPU, enter 1.0e-15.
default: 1.0e-4/Natoms/NRAD/NTHE/NPHI

GTHRE = threshold applied to gradients, similar to THRESH.
< 1 assign this value to all thresholds

= 1 use the default thresholds (default).
> 1 divide default thresholds by this value.
If you wish to increase accuracy, set GTHRE=10.
The default introduces an error of roughly $1e-7$
(a.u./bohr) in the gradient.

The keyword \$DFTTYP is given in \$CONTRL, and may have these values if the grid-free program is chosen:

----- options for METHOD=GRIDFREE -----

DFTTYP = NONE means ab initio computation (default)
exchange functionals:
= XALPHA X-Alpha exchange (alpha=0.7)
= SLATER Slater exchange (alpha=2/3)
= BECKE Becke's 1988 exchange
= DEPRISTO Depristo/Kress exchange
= CAMA Handy et al's mods to Becke exchange
= HALF 50-50 mix of Becke and HF exchange
correlation functionals:
= VWN Vosko/Wilke/Nusair correlation, formula 5
= PWLOC Perdew/Wang local correlation
= LYP Lee/Yang/Parr correlation
exchange/correlation functionals:
= BVWN Becke exchange + VWN5 correlation
= BLYP Becke exchange + LYP correlation
= BPWLOC Becke exchange + Perdew/Wang correlation
= B3LYP hybrid HF/Becke/LYP using VWN formula 5
= CAMB CAMA exchange + Cambridge correlation
= XVWN Xalpha exchange + VWN5 correlation
= XPWLOC Xalpha exchange + Perdew/Wang correlation
= SVWN Slater exchange + VWN5 correlation
= SPWLOC Slater exchange + PWLOC correlation
= WIGNER Wigner exchange + correlation
= WS Wigner scaled exchange + correlation
= WIGEXP Wigner exponential exchange + correlation

AUXFUN = AUX0 uses no auxiliary basis set for resolution
of the identity, limiting accuracy.
= AUX3 uses the 3rd generation of RI basis sets,
These are available for the elements H to
Ar, but have been carefully considered for
H-Ne only. (DEFAULT)

THREE = a flag to use a resolution of the identity to
turn four center overlap integrals into three
center integrals. This can be used only if
no auxiliary basis is employed. (default=.FALSE.)

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\$TDDFT group

(relevant if TDDFT chosen in \$CONTRL)

This group generates molecular excitation energies by time-dependent density functional theory computations (or time-dependent Hartree-Fock, also known as the Random Phase Approximation). The functional used for the excited states is necessarily the same one that is used for the ground state, specified by DFTTYP in \$CONTRL. Analytic gradients are available for singlet excited states, while the energy of excited states of other multiplicities can be computed. Excited state properties are calculated using the TDDFT excited state electronic density only during gradient runs. See the "limitations" below regarding available gradients and solvent models.

Permissible values for DFTTYP are

NONE - use TDHF (i.e. Random Phase Approximation), noting that extra states may need to be solved for in order to be sure of getting the first few states correctly. You may also choose any of the following functionals:

SVWN, SOP, SLYP,
BVWN, BOP, BLYP, OLYP,
B3LYP, PBE, PBE0, or NONE

when computing the gradient of an excited state. For evaluation of excitation energies, you may use

SVWN, SVWN1, SPZ81, SP86, SOP, SLYP,
BVWN, BVWN1, BPZ81, BP86, BOP, BLYP, OLYP,
B3LYP, B3LYP1, B3PW91, X3LYP, PBE, PBE0, or NONE.

The LC flag in \$DFT also pertains to TDDFT runs. The LC option may be used with the "B" functionals, and is normally very useful in obtaining better descriptions for charge-transfer excitations or Rydberg excitation energies than are conventional exchange correlation functionals (whether pure or hybrid). The LC flag is also available for excited state gradient computation.

TDDFT is a single excitation theory. All of the caveats listed in the \$CIS input group about states with double excitation character, need for Rydberg basis sets, greatly different topology of excited state surfaces, and so on apply here as well. Please read the introduction to the

\$CIS input group! If you use very large or very small Gaussian exponents, you may need to increase the number of radial grid points (the program prints advice in such cases).

TDHF, TDDFT, and CIS are related in the following way:

```

      -- Tamm/Dancoff approximation -->
      |           TDHF           CIS
DFT  |
      |           TDHF           CIS
      V           TDDFT           TDDFT/TDA

```

with only the lower right corner not being possible with GAMESS. Note also that here TDHF means absorption of photons, to produce excited states. This should not be confused with the photon scattering processes computed by RUNTYP=TDHF or TDHFX, which generate polarizabilities.

Parallel computation is enabled.

Limitations:

For SCFTYP=RHF, excitation energies can be found for singlet or triplet coupled excited states. For singlet excited states, analytic gradients and properties can be found. For RHF references, excited state energy runs can incorporate solvent effects using EFP1 (specifically, the H2ODFT potential), or using C-PCM (IEF=-10). Unlike the ground state, it is not possible to combine EFP with PCM.

For SCFTYP=UHF, excited state energies for states of the same spin multiplicity as the ground state can be found. There are no properties (other than the excitation energy), nor gradients, nor solvent effects, at the present time.

NSTATE = Number of states to be found (excluding the ground state). The default is 1 more state.

IROOT = State used for geometry optimization and property evaluation. (default=1, the 1st excited state)

MULT = Multiplicity (1 or 3) of the singly excited states. This keyword applies only when the reference is a closed shell. (default is 1)

TDPRP = a flag to request property computation for the

state IROOT. This requires significant extra computer time, compared to the excitation energy alone, so the default is .FALSE. Properties are always evaluated during nuclear gradient runs, when they are a free by-product. This option is only available for RHF references, and MULT=1.

NONEQ is a flag controlling PCM's solvent behavior: .TRUE. splits the dielectric constant into a bulk value (EPS in \$PCM) and a fast component (EPSINF), see Cossi and Barone, 2001. The idea is that NONEQ=.t. is appropriate for vertical excitations, and .f. for adiabatic. (the default is .TRUE.)

* * * Grid Selection * * *

The grid type and point density used in \$TDDFT may be chosen independently of the values in \$DFT. Excitation energies accurate to 0.01 eV may be obtained with grids that are much sparser than those needed for the ground state, and this is reflected in the defaults. Prior to April 2008, the default grid was NRAD=24 NTHE=8 NPHI=16.

NRAD = number of radial grid points in Euler-Maclaurin quadrature, used in calculations of the second or third derivatives of density functionals. (default=48)

NLEB = number of angular points in the Lebedev grid. (default=110)

NTHE = number of theta grid points if a polar coordinate grid is used.

NPHI = number of phi grid points if a polar coordinate grid is used. NPHI should be twice NTHE.

SG1 = flag selecting "standard grid one". (default=.FALSE.)

See both \$DFT and REFS.DOC for more information on grids. The "army grade" standard for \$TDDFT is NRAD=96 combined with either NLEB=302 or NTHE=12/NPHI=24.

the remaining parameters are technical in nature:

CNVTOL = convergence tolerance in the iterative TD-DFT step. (default=1.0E-7)

MAXVEC = the maximum number of expansion vectors used by the solver's iterations, per state (default=50). The total size of the expansion space will be NSTATE*MAXVEC.

NTRIAL = the number of initial expansion vectors used. (default is the larger of 5 and NSTATE).

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\$CIS group

required when CITYP=CIS

The CIS method (singly excited CI) is the simplest way to treat excited states. By Brillouin's Theorem, a single determinant reference such as RHF will have zero matrix elements with singly substituted determinants. The ground state reference therefore has no mixing with the excited states treated with singles only. Reading the references given in Section 4 of this manual will show the CIS method can be thought of as a non-correlated method, rigorously so for the ground state, and effectively so for the various excited states. Some issues making CIS rather less than a black box method are:

- a) any states characterized by important doubles are simply missing from the calculation.
- b) excited states commonly possess Rydberg (diffuse) character, so the AO basis used must allow this.
- c) excited states often have different point group symmetry than the ground state, so the starting geometries for these states must reflect their actual symmetry.
- d) excited state surfaces frequently cross, and thus root flipping may very well occur.

The implementation allows the use of only RHF references, but can pick up both singlet and triplet excited states. Nuclear gradients are available, as are properties. The CIS run automatically includes computation of the dipole moments of all states, and all pairwise transition dipoles and oscillator strengths.

NACORE = n Omits the first n occupied orbitals from the calculation. The default for n is the number of chemical core orbitals.

NSTATE = Number of states to be found (excluding the ground state, which is the RHF determinant).

IROOT = State for which properties and/or gradient will be calculated. Only one state can be chosen.

HAMTYP = Type of CI Hamiltonian to use.

= SAPS spin-adapted antisymmetrized product of the desired MULT will be used (default)

= DETS determinant based, so both singlets and

triplets will be obtained.

- MULT = Multiplicity (1 or 3) of the singly excited SAPS (the reference is necessarily single RHF). Only relevant for SAPS based run.
- DIAGZN = Hamiltonian diagonalization method.
= DAVID use Davidson diagonalization. (default)
= FULL construct the full matrix in memory and diagonalize, thus determining all states (not recommended except for small cases).
- DGAPRX = Flag to control whether approximate diagonal elements of the CIS Hamiltonian (based only on the orbital energies) are used in the Davidson algorithm. Note, this only affects the rate of convergence, not the resulting final energies. If set .FALSE., the exact diagonal elements are determined and used. Default=.TRUE.
- NGSVEC = Dimension of the Hamiltonian submatrix that is diagonalized to form the initial CI vectors. The default is the greater of NSTATE*2 and 10.
- MXVEC = Maximum number of expansion basis vectors in the iterative subspace during Davidson iterations, before the expansion basis is truncated. The default is the larger of 8*NSTATE and NGSVEC.
- NDAVIT = Maximum number of Davidson iterations. Default=50.
- DAVCVG = Convergence criterion for Davidson eigenvectors. Eigenvector accuracy is proportional to DAVCVG, while the energy accuracy is proportional to its square. The default is 1.0E-05.
- CISPRP = Flag to request the determination of CIS level properties, using the relaxed density. Relevant to RUNTYP=ENERGY jobs, although the default is .FALSE. because additional CPHF calculation will be required. Properties are a normal by product of runs involving the CIS gradient.
- CHFSLV = Chooses type of CPHF solver to use.

- = CONJG selects an ordinary preconditioned conjugate gradient solver. (default)
- = DIIS selects a diis-like iterative solver.

- RDCISV = Flag to read CIS vectors from a \$CISVEC group in the input file. Default is .FALSE.

- MNMEDG = Flag to force the use of the minimal amount of memory in construction of the CIS Hamiltonian diagonal elements. This is only relevant when DGAPRX=.FALSE., and is meant for debug purposes. The default is .FALSE.

- MNMEOP = Flag to force the use of the minimal amount of memory during the Davidson iterations. This is for debug purposes. The default is .FALSE.

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\$CISVEC group required if RDCISV in \$CIS is chosen

This is formatted data generated by a previous CIS run, to be read back in as starting vectors. Sometimes molecular orbital phase changes make these CI vectors problematic.

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\$MP2 group (relevant to SCFTYP=RHF,UHF,ROHF if MPLEVEL=2)

Controls 2nd order Moller-Plesset perturbation runs, if requested by MPLEVEL in \$CONTRL. MP2 is implemented for RHF, high spin ROHF, or UHF wavefunctions, but see also \$MRMP for MCSCF. Analytic gradients and the first order correction to the wavefunction (i.e. properties) are available for RHF, ROHF (if OSPT=ZAPT), and UHF. The \$MP2 group is not usually given. See also the DIRSCF keyword in \$SCF to select direct MP2.

The spin-component-scaled MP2 (SCS-MP2) energy of Grimme is printed for SCFTYP=RHF references during CODE=IMS or SERIAL energy runs, also, see keyword SCSPT below.

Special serial codes exist for RHF or UHF MP2 energy or gradient, or the ROHF MP2 energy. Parallel codes using distributed memory are available for RHF, ROHF, or UHF MP2 gradients. In fact, the only way that ROHF MP2 gradients can be computed on one node is with the parallel code, using MEMDDI!

NACORE = n Omits the first n occupied orbitals from the calculation. The default for n is the number of chemical core orbitals.

NBCORE = Same as NACORE, for the beta orbitals of UHF. It is almost always the same value as NACORE.

MP2PRP= a flag to turn on property computation for jobs jobs with RUNTYP=ENERGY. This is appreciably more expensive than just evaluating the second order energy correction alone, so the default is to skip properties. Properties are always computed during gradient runs, when they are an almost free byproduct. (default=.FALSE.)

OSPT= selects open shell spin-restricted perturbation. This parameter applies only when SCFTYP=ROHF. Please see the 'further information' section for more information about this choice.
= ZAPT picks Z-averaged perturbation theory. (default)
= RMP picks RMP (aka ROHF-MBPT) perturbation theory.

CODE = the program implementation to use, choose from SERIAL, DDI, or IMS according to the following chart, depending on SCFTYP and if the run involves gradients,

RHF energy	RHF gradient	UHF energy	UHF gradient	ROHF energy	ROHF gradient	ROHF energy
				OSPT=ZAPT	ZAPT	RMP
SERIAL	SERIAL	SERIAL	SERIAL	SERIAL	-	SERIAL
DDI	DDI	DDI	DDI	DDI	DDI	-
IMS	IMS	-	-	-	-	-

The default is CODE=SERIAL when $p=1$, provided PARALL is .FALSE. in \$SYSTEM. When $p>1$, or PARALL=.TRUE., the default becomes CODE=DDI. However, if FMO is in use, the default for parallel runs is CODE=IMS. The "SERIAL" code for OSPT=RMP will run with modest scalability when $p>1$.

The three programs are written for different hardware situations. Here N is the number of atomic basis functions, and O is the number of correlated orbitals in the run:

The SERIAL program uses N^3 memory, but has much smaller disk files, and generally takes longer than CODE=IMS.

The IMS program uses $N*O^2$ memory, and places most of its data on local disks (so you must have good disk access), and will run in parallel...ideal for PC clusters.

The DDI program uses N^4 memory, but this is distributed across all nodes, and there is essentially no I/O...ideal for large parallel machines where the manufacturer has forgotten to include disk drives. MEMDDI must be given for these codes.

References for these programs are given in REFS.DOC.

NOSYM = disables the orbital symmetry test completely. This is not recommended, as loss of orbital symmetry is likely to mean a bad calculation. It has the same meaning as the keyword in \$CONTRL, but just for the MP2 step. (Default=0)

CUTOFF = transformed integral retention threshold, the default is 1.0d-9 (1.0d-12 in FMO runs).

The following keyword can only be used during CODE=IMS closed shell runs (energy or gradient):

SCSPT = spin component scaled MP2 energy selection.
This energy is printed during CODE=IMS energy or gradient runs, and by CODE=SERIAL energy runs.
= NONE - the energy will be the normal MP2 value.
= SCS - the energy used for the potential surface will be the SCS energy value.
The default is NONE.

The following keywords apply to any CODE=SERIAL MP2 run, or to parallel ROHF+MP2 runs using OSPT=RMP:

LMOMP2= a flag to analyze the closed shell MP2 energy in terms of localized orbitals. Any type of localized orbital may be used. This option is implemented only for RHF, and its selection forces use of the METHOD=3 transformation, in serial runs only. The default is .FALSE.

CPHFBS = BASISMO solves the response equations during gradient computations in the MO basis. This is programmed only for RHF references without frozen core orbitals, when it is the default.
= BASISAO solves the response equations using AO integrals, for frozen core MP2 with a RHF reference, or for ROHF or UHF based MP2.

NWORD = controls memory usage. The default uses all available memory. Applies to CODE=SERIAL. (default=0)

METHOD= n selects transformation method, 2 being the segmented transformation, and 3 being a more conventional two phase bin sort implementation. 3 requires more disk, but less memory. The default is to attempt method 2 first, and method 3 second. Applies only to CODE=SERIAL.

AOINTS= defines AO integral storage during conventional integral transformations, during parallel runs.

DUP stores duplicated A0 lists on each node, and is the default for parallel computers with slow interprocessor communication, e.g. ethernet.
DIST distributes the A0 integral file across all nodes, and is the default for parallel computers with high speed communications.
Applies only to parallel OSPT=RMP runs.

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\$CCINP group (optional, relevant for any CCTYP)

This group controls a coupled-cluster calculation of any type specified by CCTYP in \$CONTRL. The reference orbitals may be RHF or high spin ROHF. If this input group is not given, as is usually the case, all valence electrons will be correlated.

Excited state runs CCTYP=EOM-CCSD or CR-EOM also read this group to define the orbitals and to control the ground state CCSD step that precedes computation of excitations. Excitation energies are possible only for a RHF reference.

Parallel computation is possible for RHF references only, and only for CCTYP=CCSD or CCSD(T). Memory use in parallel runs is exotic, be certain to use EXETYP=CHECK (on one processor, with PARALL in \$SYSTEM set) before running.

See the "Further Information" section of this manual for more details.

The first four inputs pertain to both RHF and ROHF cases:

- NCORE = gives the number of frozen core orbitals to be omitted from the CC calculation. The default is the number of chemical core orbitals.
- NFZV = the number of frozen virtual orbitals to be omitted from the calculation. (default is 0)
- MAXCC = defines the maximum number of CCSD (or LCCD, CCD) iterations. This parameter also applies to ROHF's left CC vector solver, but not RHF's left vector. See MAXCCL for RHF. (default=30)
- ICONV = defines the convergence criterion for the cluster amplitudes, as $10^{*(-ICONV)}$. The ROHF reference also uses this for its left eigenstate solver, but see CVGEOM in \$EOMINP for RHF references. (default is 7, but it tightens to 8 for FMO-CC.)

**** the next group pertains to RHF reference only ****

CCPRP = a flag to select computation of the CCSD level ground state density matrix (see also CCPRPE in \$EOMINP for EOM-CCSD level excited states). The computation takes significant extra time, to obtain left eigenstates, so the default is .FALSE. except for CCTYP=CR-CCL where the work required for properties must be done anyway.

Notes: CCSD is the only level at which properties can be obtained. Therefore this option can only be chosen for CCTYP=CCSD, CR-CCL, EOM-CCSD, or CR-EOM. The run will change CCTYP to EOM-CCSD if you choose CCSD, and will therefore read \$EOMINP. However, if you don't select NSTATE in \$EOMINP, your original CCTYP=CCSD will not include anything except the ground state in the EOM-CCSD. Note that the convergence criterion for left eigenstates will be CVGEOM in \$EOMINP, which is set to obtain excitation energies, and may need tightening. Use of CCTYP=CR-EOM will do triples corrections, after doing the SD level properties.

There is little reason to select any of these:

MAXCCL = iteration limit on the left eigenstate needed by CCSD properties, or CR-CCL energies. This is just a synonym for MAXEOM in \$EOMINP. If you want to alter the left state's convergence tolerance, use CVGEOM in \$EOMINP. The right state convergence is set by MAXCC and ICONV above.

NWORD = a limit on memory to be used in the CC steps. The default is 0, meaning all memory available will be used.

IREST = defines the restart option. If the value of IREST is greater or equal 3, program will restart from the earlier CC run. This requires saving the disk file CCREST from the previous CC run. Values of IREST between 0 and 3 should not be used. In general, the value of IREST is used by the program to set the iteration counter in the restarted run.

The default is 0, meaning no restart is attempted.

MXDIIS = defines the number of cluster amplitude vectors from previous iterations to be included in the DIIS extrapolation during the CCSD (or LCCD, CCD) iterative process. The default value of MXDIIS is 5 for all but small problems. The DIIS solver can be disengaged by entering MXDIIS = 0. It is not necessary to change the default value of MXDIIS, unless the CC equations do not converge in spite of increasing the value of MAXCC.

AMPTSH = defines a threshold for eliminating small cluster amplitudes from the CC calculations. Amplitudes with absolute values smaller than AMPTSH are set to zero. The default is to retain all small amplitudes, meaning fully accurate CC iterations. Default = 0.0.

**** the next group pertains to ROHF reference only ****
There is little reason to select any of these.

MULT = spin multiplicity to use in the CC computation. The value of MULT given in the \$CONTRL group determines the spin state for the ROHF reference orbitals, and is the default for the CC step.

IOPMET = method for the CR-CC(2,3) triples correction.
= 0 means try 1 and then try 2 (default)
= 1, the high memory option
This option uses the most memory, but the least disk storage and the least CPU time.
= 2, the high disk option
This option uses least memory, by storing a large disk file. Time is slightly more than IOPMET=1, but the disk file is $(NO^{**3} * NU^{**3})/6$ words, where NO = correlated orbitals, and NU= virtuals.
= 3, the high I/O option
This option requires slightly more memory than 2, and slightly more disk than 1, but does much I/O. It is also the slowest of the three choices.

Check runs will print memory needed by all three options.

KREST = 0 fresh start of the CCSD equations (default)
= 1 restart from AMPROCC file of a previous run

KMICRO = n performs DIIS extrapolation of the open shell
CCSD, every n iterations (default is 6)
Enter 0 to avoid using the DIIS converger.

LREST = 0 fresh start of the left CCSD equations (default)
= 1 restart from AMPROCC file of a previous run

LMICRO = n performs DIIS extrapolation of the open shell
left equations, every n iterations (default is 5)
Enter 0 to avoid using the DIIS converger.
KMICRO and LMICRO are ignored for trivial
problem sizes.

=====

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\$EOMINP group (optional, for CCTYP=EOM-CCSD or CR-EOM)
 (optional for CCSD properties, or CCTYP=CR-CCL)

This group controls the calculation of excited states by the equation of motion coupled cluster with single and double excitations, with optional triples corrections. The input group permits selection of how many states are computed (machine time is linear in the number of states). Since the default is only one excited state in the totally symmetric representation, it is usually necessary to give this group. The input also allows selection of various computational procedures.

An excited state coupled cluster run consists of an RHF calculation, followed by a ground state CCSD (see the \$CCINP group to control the ground state calculation, and the orbital range correlated), followed by an EOM-CCSD calculation. If CCTYP=CR-EOM, triples corrections based on the method of moments approach may follow these steps.

The various types of triples corrections mentioned below, and other information, can be found in the "Further Information" section of this manual.

--- state symmetry and state selection:

GROUP the name of the Abelian group to be used, which may be only one of the groups shown in the table below. The default is taken from \$DATA, and is reset to C1 if the group is non-Abelian. The purpose is to let the Abelian symmetry be turned off by setting GROUP=C1, if desired. Symmetry is used to help with the initial excited state selection, for controlling the EOMCC calculations, and for labeling the calculated states in the output (not to speed up the calculations).

NSTATE an array of up to 8 integers telling how many singlet excited states of each symmetry type should be computed. The default is NSTATE(1)=1,0,0,0,0,0,0,0 which means 1 excited

totally symmetric singlet state is to be found. The ground state, which must lie in the totally symmetric irrep due to use of an RHF reference is always computed, and therefore should NOT be included in the number of totally symmetric excited states requested. There is no particular reason to think the first excited state will be totally symmetric, so most runs should give NSTATE input. Up to 10 states can be found in any irrep. Machine time is linear in the number of states to be found, so be realistic about how many states you solve for (particularly, with multi-root solvers). The choice of NSTATE(1)=0,0,0,0,0,0,0,0 means calculating the ground state only, yielding the new types of ground-state CR-CCSD(T) corrections labeled as types I, II, and III (see MTRIP).

irreducible representation symmetry table:

irrep	1	2	3	4	5	6	7	8
C1	A							
C2	A	B						
Cs	A'	A''						
Ci	Ag	Au						
C2v	A1	A2	B1	B2				
C2h	Ag	Au	Bg	Bu				
D2	A	B1	B2	B3				
D2h	Ag	Au	B1g	B1u	B2g	B2u	B3g	B3u

Note that this differs from \$DET, \$MCQDPT, etc!

IROOT selects the state whose energy is to be saved for further calculations (default IROOT(1)=1,0). The first integer lists the irrep number, from the same table as NSTATE. The second lists the number of the excited state. The default corresponds to the ground state (labeled as state 0), as this state must lie in the totally symmetric representation. IROOT(1)=3,2 means the second excited state of symmetry B1, if the point group is C2v.

The energy of the state selected is stored as the energy used for numerical derivative calculation, TRUDGE, etc. The energy saved will be the EOMCCSD value unless the triples correction are obtained, in which case the type III energy will be saved (if available) or else the type

ID energy. If degenerate states are present, triples are evaluated for only one such state, namely the one with lower irrep number. The EOM-CCSD energies will be used to map an IROOT for a higher irrep number to this, but if the triples corrections alter the order of the states, the new IROOT may not pick up the state you are interested in. Fixes: pick the lower irrep number, or request states only in one symmetry type.

CCPRPE = a flag to select computation of the EOM-CCSD level excited state density matrices (see also CCPRP in \$CCINP for ground states). The computation takes extra time, to obtain left eigenstates, so the default is .FALSE.

Note: CCPRPE will evaluate excited states' dipole moments, and the transition moments and oscillator strengths between all states. This option can be chosen for CCTYP=EOMCCSD or CR-EOM, with the latter doing triples corrections after the SD level properties are obtained. Selecting this option, or CCPRP in \$CCINP, requires extra time due to solving for the left eigenvectors (from the so-called "lambda" equation). CVGEOM will affect the accuracy of the computed properties. The resulting density matrices are square, not symmetric, and at present cannot be used for any property other than the dipole quantities. As a temporary expedient, they are output in the PUNCH file for possible use elsewhere.

--- methods of converging the EOMCCSD equations and selecting triples corrections to EOMCCSD energies:

MEOM selects the solver for the EOMCCSD calculations:
0 = one EOMCCSD root at a time, united iterative space for all calculated roots (default)
1 = one root at a time, separate iterative space for each calculated root
2 = the Hirao-Nakatsuji multi-root solver
3 = one root at a time, separate iterative space for all computed right/left roots. (compare to 1)
4 = one root at a time, united iterative spaces for each right/left root (compare to 0).

MEOM=0,1,2 obtain all the right eigenvectors first, and then if properties are being computed, proceed to compute the left eigenvectors. MEOM=3,4 obtain right and left eigenvectors simultaneously, and therefore should only be chosen if you are computing properties (see CCPRP/CCPRPE).

the next two apply only to CCTYP=CR-EOM:

- MTRIP selects the type of noniterative triples corrections to EOMCCSD energies:
- 1 = compute the CR-EOMCCSD(T) triples corrections termed type I and II in the output. This is the default, which skips the iterative CISD calculations needed to construct the CR-EOMCCSD(T) triples corrections of type III.
 - 2 = after performing an additional CISD calculation, evaluate all types of the CR-EOMCCSD(T) triples corrections, including types I, II, and III. This choice of MTRIP uses approximately 50 % more memory, but less CPU time than MTRIP=4.
 - 3 = evaluate the CR-EOMCCSD(T) corrections of type III only. As with MTRIP=2, this calculation includes the iterative CISD calculation, which is needed to construct the type III triples corrections, in addition to the EOMCCSD and CR-EOMCCSD(T) calculations.
 - 4 = carry out MTRIP=1 calculations, followed by MTRIP=3 calculations, thus evaluating all types of the CR-EOMCCSD(T) corrections (types I, II, and III in the output). As with MTRIP=2, this calculation includes the CISD iterations, which are needed to construct the type III triples corrections, in addition to the EOMCCSD and CR-EOMCCSD(T) calculations. Compared to MTRIP=2, this choice of MTRIP uses less memory, but more CPU time.
- MCI selects the solver for the CISD step, which is irrelevant unless MTRIP is bigger than 1.
- 1 = one root at a time, separate iterative space for each calculated root (default)
 - 2 = the Hirao-Nakatsuji multi-root solver (slower)

--- initial guess for the EOMCCSD and possible CISD steps:

MINIT selects the initial guess procedure for both the EOMCCSD and CISD iterations (when MTRIP>1).

1 = (not a default, but HIGHLY RECOMMENDED). Use EOMCCSD to start the EOMCCSD iterations and use CISD to start the CISD iterations during the CR-EOMCCSD(T), type III, calculations.

This means that the initial guesses for the calculated states are defined using all single excitations (letter S in EOMCCSD and CISD) and a small subset of double excitations (the little d in EOMCCSD and CISD) defined by active orbitals or orbital range specified by the user. The inclusion of a small set of active doubles in addition to all singles in the initial guess facilitates finding excited states characterized by relatively large doubly excited amplitudes. This choice of MINIT is strongly recommended. (see NOACT, NUACTION, and MOACT).

2 = Use CIS wave functions as initial guesses for the EOMCCSD and possible CISD calculations. This is the default, but may cause severe convergence difficulties or even miss some states entirely if the calculated states have significant doubly excited character. MINIT=1 is much better in these situations and strongly recommended, particularly when there is a chance of having low-lying states with nonnegligible bi-excited or multi-configurational character.

the next three apply only to MINIT=1:

NOACT the number of occupied MOs in the active space for the EOMCCSD and CISD initial guesses.

NUACT the number of unoccupied MOs in the active space for the EOMCCSD and CISD initial guesses. The NOACT and NUACTION variables are used only by MINIT=1, and are reset to 0 if MINIT=2.

There are no default values of NOACT and NUACTION and the user MUST provide NOACT and NUACTION values when MINIT=1. The values of NOACT and NUACTION should be small (5 or so), since they only describe the numbers of highest-energy occupied and lowest-energy unoccupied MOs that should help to capture the leading orbital excitations

defining the excited states of interest (see an example below). The user should make sure that the active orbital range defined by NOACT and NUACTION does not fall across degenerate orbitals (e.g., if NUACTION is chosen such that only one of the two degenerate pi orbitals is included in the active orbital range for the EOMCCSD and CISD initial guesses, the user should increase NUACTION at least by 1 to make sure that both pi orbitals are included in the active orbital set). See also the MOACT input for fine tuning.

MOACT array allowing explicit selection of the active orbitals used to define the EOMCCSD and CISD initial guesses. If not provided, the MOACT array is filled such that the NOACT highest occupied and NUACTION lowest unoccupied orbitals are selected. If MOACT array is given, the number of values in it must equal NOACT+NUACTION. Sometimes, instead of defining larger NUACTION values that increase memory requirements for the EOMCCSD and CISD initial guesses, it may be helpful to specify the unoccupied orbitals, since the lowest virtual orbitals of RHF, whenever there are diffuse functions in the basis set, may not be good at representing valence excited states. Here is an example in which the user is more selective about picking active unoccupied orbitals for the EOMCCSD and CISD initial guesses. In this example, the user picks the highest 3 occupied and selected 5 unoccupied orbitals of RHF as active for a 30-electron system (15 occupied orbitals total) and at least 30 orbitals total:

```
MINIT=1 NOACT=3 NUACTION=5
MOACT(1)=13,14,15, 19,20,24,25,30
```

--- iteration control:

CVGEOM convergence criterion on the EOMCCSD excitation amplitudes R1 and R2 (default=1.0d-4).

MAXEOM maximum number of iterations in the EOMCCSD calculations (default=50). For MEOM=0 or 1, this is the maximum number of iterations per each calculated state. For MEOM=2, this is

MICEOM the maximum number of iterations for all states of the EOMCCSD multi-root procedure. maximum number of microiterations in the EOMCCSD calculations (default=80). Rarely used. For MEOM=1 (separate iterative space for each root), this is the maximum number of microiterations for each calculated state. For MEOM=0 or 2 (united iterative space for all calculated roots), this is the maximum number of microiterations for all calculated states. It is much better to perform calculations with MICEOM > MAXEOM (i.e., in a single iteration cycle). If for some reason the EOMCCSD convergence is very slow and the iterative space becomes very large, it may be worth changing the default MICEOM value to MICEOM < MAXEOM to reduce the disk usage. This is not going to happen too often and normally there is no need to change the default MICEOM value.

the next three apply only to CCTYP=CR-EOM, and only if the triples method MTRIP is greater than 1:

CVGCI convergence criterion for the CISD expansion coefficients (default=1.0d-4).

MAXCI maximum number of iterations in the CISD calculation (default=50). For MCI=1, this is the maximum number of iterations per each calculated CISD state. For MCI=2, this is the maximum number of iterations for all states of the CISD multi-root procedure.

MICCI maximum number of microiterations in the CISD calculation (default=80). Rarely used. For MCI=1 (separate iterative space for each root), this is the maximum number of microiterations for each calculated state. For MCI=2 (united iterative space for all calculated roots), this is the maximum number of microiterations for all calculated states. In analogy to MICEOM, it is much better to perform the CISD calculations with MICCI > MAXCI (i.e., in a single iteration cycle).

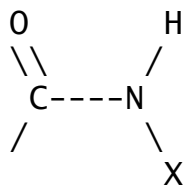
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\$MOPAC group (relevant if GBASIS=PM3, AM1, or MNDO)

This group affects only semi-empirical jobs, which are selected in \$BASIS by keyword GBASIS.

PEPTID = flag for peptide bond correction.

By default a molecular mechanics-style torsion potential term is added for every peptide bond linkage found. The intent is to correct these torsions to be closer to planar than they would otherwise be in the semi-empirical model. Here, the peptide bond means any



One such torsion is added for O-C-N-H and one for O-C-N-X. This term is parameterized as in MOPAC6. Default=.TRUE.

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\$GUESS group (optional, relevant for all SCFTYP's)

This group controls the selection of initial molecular orbitals.

GUESS = Selects type of initial orbital guess.

- = HUCKEL Carry out an extended Huckel calculation using a Huzinaga MINI basis set, and project this onto the current basis. This is implemented for atoms up to Rn, and will work for any all electron or ECP basis set. (default for most runs)
- = HCORE Diagonalize the one electron Hamiltonian to obtain the initial guess orbitals. This method is applicable to any basis set, but does not work as well as the HUCKEL guess.
- = MOREAD Read in formatted vectors punched by an earlier run. This requires a \$VEC deck, and you MUST pay attention to NORB below.
- = RDMINI Read in a \$VEC deck from a converged calculation that used GBASIS=MINI and no polarization functions, and project these orbitals onto the current basis. Do not use this option if the current basis involve ECP basis sets.
- = MOSAVED (default for restarts) The initial orbitals are read from the DICTNRY file of the earlier run.
- = SKIP Bypass initial orbital selection. The initial orbitals and density matrix are assumed to be in the DICTNRY file. Mostly used for RUNTYP=HESSIAN when the hessian is being read in from the input.

The next options are less general, being for Fragment Molecular Orbital runs, or Divide and Conquer runs:

- = FMO Read orbitals from the DICTNRY file, from previous FMO run with MODPRP=1.
- = HUCSUB Perform a Huckel guess in each subsystem of a Divide and Conquer run
- = DMREAD Read a density matrix from a formatted \$DM

group, produced by a previous Divide and Conquer run, see NDCPRT in \$DANDC.

All GUESS types except 'SKIP' permit reordering of the orbitals, carry out an orthonormalization of the orbitals, and generate the correct initial density matrix, for RHF, UHF, ROHF, and GVB, but note that correct computation of the GVB density requires also CICOEF in \$SCF. The density matrix cannot be generated from the orbitals alone for MP2, CI, or MCSCF, so property evaluation for these should be RUNTYP=ENERGY rather than RUNTYP=PROP using GUESS=MOREAD. PRTMO = a flag to control printing of the initial guess. (default=.FALSE.)

PUNMO = a flag to control punching of the initial guess. (default=.FALSE.)

MIX = rotate the alpha and beta HOMO and LUMO orbitals so as to generate inequivalent alpha and beta orbital spaces. This pertains to UHF singlets only. This may require use of NOSYM=1 in \$CONTRL depending on your situation. (default=.FALSE.)

NORB = The number of orbitals to be read in the \$VEC group. This applies only to GUESS=MOREAD.

For -RHF-, -UHF-, -ROHF-, and -GVB-, NORB defaults to the number of occupied orbitals. NORB must be given for -CI- and -MCSCF-. For -UHF-, if NORB is not given, only the occupied alpha and beta orbitals should be given, back to back. Otherwise, both alpha and beta orbitals must consist of NORB vectors.

NORB may be larger than the number of occupied MOs, if you wish to read in the virtual orbitals. If NORB is less than the number of atomic orbitals, the remaining orbitals are generated as the orthogonal complement to those read.

NORDER = Orbital reordering switch.
= 0 No reordering (default)
= 1 Reorder according to IORDER and JORDER.

IORDER = Reordering instructions.
Input to this array gives the new molecular orbital order. For example, IORDER(3)=4,3 will interchange orbitals 3 and 4, while leaving the

other MOs in the original order. This parameter applies to all orbitals (alpha and beta) except for -UHF-, where it only affects the alpha MOs. (default is IORDER(i)=i)

JORDER = Reordering instructions.
Same as IORDER, but for the beta MOs of -UHF-.

INSORB = the first INSORB orbitals specified in the \$VEC group will be inserted into the Huckel guess, making the guess a hybrid of HUCKEL/MOREAD. This keyword is meaningful only when GUESS=HUCKEL, and it is useful mainly for QM/MM runs where some orbitals (buffer) are frozen and need to be transferred to the initial guess vector set, see \$MOFRZ. (default=0)

* * * the next are 3 ways to clean up orbitals * * *

PURIFY = flag to symmetrize starting orbitals. This is the most soundly based of the possible procedures. However it may fail in complicated groups when the orbitals are very unsymmetric. (default=.FALSE.)

TOLZ = level below which MO coefficients will be set to zero. (default=1.0E-7)

TOLE = level at which MO coefficients will be equated. This is a relative level, coefficients are set equal if one agrees in magnitude to TOLE times the other. (default=5.0E-5)

SYMDEN = project the initial density in order to generate symmetric orbitals. This may be useful if the HUCKEL or HCORE guess types give orbitals of impure symmetry (? 's present). The procedure will generate a fairly high starting energy, and thus its use may not be a good idea for orbitals of the quality of MOREAD. (default=.FALSE.)

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=====
\$VEC group (optional, relevant for all SCFTYP's)
 (required if GUESS=MOREAD)

This group consists of formatted vectors, as written onto file PUNCH in a previous run. It is considered good form to retain the titling comment cards punched before the \$VEC card, as a reminder to yourself of the origin of the orbitals.

For Morokuma decompositions, the names of this group are \$VEC1, \$VEC2, ... for each monomer, computed in the identical orientation as the supermolecule. For transition moment or spin-orbit coupling runs, orbitals for states one and possibly two are \$VEC1 and \$VEC2.

=====
\$DM group (relevant in Divide and Conquer runs)

This group consists of a formatted density matrix, read in exactly the format it was written. See GUESS=DM, and NDCPR in \$DANDC.

=====
\$MOFRZ group (optional, relevant for RHF, ROHF, GVB)

This group controls freezing the molecular orbitals of your choice during the SCF procedure. If you choose this option, select DIIS in \$SCF since SOSCF will not converge as well. GUESS=MOREAD is required in \$GUESS.

FRZ = flag which triggers MO freezing. (default=.FALSE.)

IFRZ = an array of MOs in the input \$VEC set which are to be frozen. There is no default for this.

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\$STATPT group (for RUNTYP=OPTIMIZE or SADPOINT)

This group controls the search for stationary points. Note that NZVAR in \$CONTRL determines if the geometry search is conducted in Cartesian or internal coordinates.

METHOD = optimization algorithm selection. Pick from

- NR Straight Newton-Raphson iterate. This will attempt to locate the nearest stationary point, which may be of any order. There is no steplength control. RUNTYP can be either OPTIMIZE or SADPOINT
- RFO Rational Function Optimization. This is one of the augmented Hessian techniques where the shift parameter(s) is(are) chosen by a rational function approximation to the PES. For SADPOINT searches it involves two shift parameters. If the calculated stepsize is larger than DXMAX the step is simply scaled down to size.
- QA Quadratic Approximation. This is another version of an augmented Hessian technique where the shift parameter is chosen such that the steplength is equal to DXMAX. It is completely equivalent to the TRIM method. (default)
- SCHLEGEL The quasi-NR optimizer by Schlegel.
- CONOPT, CONstrained OPTimization. An algorithm which can be used for locating TSs. The starting geometry MUST be a minimum! The algorithm tries to push the geometry uphill along a chosen Hessian mode (IFOLLOW) by a series of optimizations on hyperspheres of increasingly larger radii. Note that there currently are no restart capabilities for this method, not even manually.

OPTTOL = gradient convergence tolerance, in Hartree/Bohr. Convergence of a geometry search requires the largest component of the gradient to be less than OPTTOL, and the root mean square gradient less than 1/3 of OPTTOL. (default=0.0001)

NSTEP = maximum number of steps to take. Restart data is punched if NSTEP is exceeded. (default=20)

--- the next four control the step size ---

DXMAX = initial trust radius of the step, in Bohr. For METHOD=RFO, QA, or SCHLEGEL, steps will be scaled down to this value, if necessary. (default=0.3 for OPTIMIZE and 0.2 for SADPOINT) For METHOD=NR, DXMAX is inoperative. For METHOD=CONOPT, DXMAX is the step along the previous two points to increment the hypersphere radius between constrained optimizations. (default=0.1)

the next three apply only to METHOD=RFO or QA:

TRUPD = a flag to allow the trust radius to change as the geometry search proceeds. (default=.TRUE.)

TRMAX = maximum permissible value of the trust radius. (default=0.5 for OPTIMIZE and 0.3 for SADPOINT)

TRMIN = minimum permissible value of the trust radius. (default=0.05)

--- the next three control mode following ---

IFOLLOW = Mode selection switch, for RUNTYP=SADPOINT. For METHOD=RFO or QA, the mode along which the energy is maximized, other modes are minimized. Usually referred to as "eigenvector following". For METHOD=SCHLEGEL, the mode whose eigenvalue is (or will be made) negative. All other curvatures will be made positive. For METHOD=CONOPT, the mode along which the geometry is initially perturbed from the minima. (default is 1)

In Cartesian coordinates, this variable doesn't count the six translation and rotation degrees. Note that the "modes" aren't from mass-weighting.

STPT = flag to indicate whether the initial geometry is considered a stationary point. If `.true.` the initial geometry will be perturbed by a step along the IFOLLOW normal mode with stepsize STSTEP. (default=`.false.`)
The positive direction is taken as the one where the largest component of the Hessian mode is positive. If there are more than one largest component (symmetry), the first is taken as positive.
Note that STPT=`.TRUE.` has little meaning with HESS=GUESS as there will be many degenerate eigenvalues.

STSTEP = Stepsize for jumping off a stationary point. Using values of 0.05 or more may work better. (default=0.01)

IFREEZ = array of coordinates to freeze. These may be internal or Cartesian coordinates. For example, IFREEZ(1)=1,3 freezes the two bond lengths in the \$ZMAT example, while optimizing the angle. If NZVAR=0, so that this value applies to the Cartesian coordinates instead, the input of IFREEZ(1)=4,7 means to freeze the x coordinates of the 2nd and 3rd atoms in the molecule.

See also IFZMAT and FVALUE in \$ZMAT, and IFCART below, as IFREEZ does not apply to DLC internals.

In a numerical Hessian run, IFREEZ specifies Cartesian displacements to be skipped for a Partial Hessian Analysis. For more information:
J.D.Head, Int.J.Quantum Chem. 65, 827, 1997
H.Li, J.H.Jensen
Theoret. Chem. Acc. 107, 211-219(2002)

IFCART = array of Cartesian coordinates to freeze during a geometry optimization using delocalized internal coordinates.

--- The next two control the hessian matrix quality ---

HESS = selects the initial hessian matrix.
= GUESS chooses an initial guess for the hessian.
(default for RUNTYP=OPTIMIZE)
= READ causes the hessian to be read from a \$HESS
group. (default for RUNTYP=SADPOINT)
= RDAB reads only the ab initio part of the
hessian, and approximates the effective
fragment blocks.
= RDALL reads the full hessian, then converts
any fragment blocks to 6x6 T+R shape.
(this option is seldom used).
= CALC causes the hessian to be computed, see
the \$FORCE group.

IHREP = the number of steps before the hessian is
recomputed. If given as 0, the hessian will
be computed only at the initial geometry if
you choose HESS=CALC, and never again. If
nonzero, the hessian is recalculated every
IHREP steps, with the update formula used on
other steps. (default=0)

HSEND = a flag to control automatic hessian evaluation
at the end of a successful geometry search.
(default=.FALSE.)

--- the next two control the amount of output ---

Let 0 mean the initial geometry, L mean the last
geometry, and all mean every geometry.

Let INTR mean the internuclear distance matrix.

Let HESS mean the approximation to the hessian.

Note that a directly calculated hessian matrix
will always be punched, NPUN refers only to the
updated Hessians used by the quasi-Newton step.

NPRT = 1 Print INTR at all, orbitals at all
0 Print INTR at all, orbitals at 0+L (default)
-1 Print INTR at all, orbitals never
-2 Print INTR at 0+L, orbitals never

NPUN = 3 Punch all orbitals and HESS at all
2 Punch all orbitals at all

- 1 same as 0, plus punch HESS at all
- 0 Punch all orbitals at 0+L, otherwise only occupied orbitals (default)
- 1 Punch occ orbitals at 0+L only
- 2 Never punch orbitals

---- the following parameters are quite specialized ----

PURIFY = a flag to help eliminate the rotational and translational degrees of freedom from the initial hessian (and possibly initial gradient). This is much like the variable of the same name in \$FORCE, and will be relevant only if internal coordinates are in use. (default=.FALSE.)

PROJECT = a flag to eliminate translation and rotational degrees of freedom from Cartesian optimizations. The default is .TRUE. since this normally will reduce the number of steps, except that this variable is set false when POSITION=FIXED is used during EFP runs.

ITBMAT = number of micro-iterations used to compute the step in Cartesians which corresponds to the desired step in internals. The default is 5.

UPHESS = SKIP do not update Hessian (not recommended)
BFGS default for OPTIMIZE using RFO or QA
POWELL default for OPTIMIZE using NR or CONOPT
POWELL default for SADPOINT
MSP mixed Murtagh-Sargent/Powell update
SCHLEGEL only choice for METHOD=SCHLEGEL

---- NNEG, RMIN, RMAX, RLIM apply only to SCHLEGEL ----

NNEG = The number of negative eigenvalues the force constant matrix should have. If necessary the smallest eigenvalues will be reversed. The default is 0 for RUNTYP=OPTIMIZE, and 1 for RUNTYP=SADPOINT.

RMIN = Minimum distance threshold. Points whose root mean square distance from the current point is less than RMIN are discarded. (default=0.0015)

RMAX = Maximum distance threshold. Points whose root mean square distance from the current point is greater than RMAX are discarded. (default=0.1)

RLIM = Linear dependence threshold. Vectors from the current point to the previous points must not be colinear. (default=0.07)

=====

```
* * * * *
See the 'further information' section for
some help with OPTIMIZE and SADPOINT runs
* * * * *
```

=====

STRUDGE group (required for RUNTYP=TRUDGE)

This group defines the parameters for a non-gradient optimization of exponents or the geometry. The TRUDGE package is a modified version of the same code from Michel Dupuis' HONDO 7.0 system, originally written by H.F.King. Presently the program allows for the optimization of 10 parameters.

Exponent optimization works only for uncontracted primitives, without enforcing any constraints. Two non-symmetry equivalent H atoms would have their p function exponents optimized separately, and so would two symmetry equivalent atoms! A clear case of GIGO.

Geometry optimization works only in HINT internal coordinates (see \$CONTRL and \$DATA groups). The total energy of all types of SCF wavefunctions can be optimized, although this would be extremely stupid as gradient methods are far more efficient. The main utility is for open shell MP2 or CI geometry optimizations, which may not be done in any other way with GAMESS. If your run requires NOSYM=1 in \$CONTRL, you must be sure to use only C1 symmetry in the \$DATA group.

OPTMIZ = a flag to select optimization of either geometry or exponents of primitive gaussian functions.
= BASIS for basis set optimization.
= GEOMETRY for geometry optimization (default).
This means minima search only, there is no saddle point capability.

NPAR = number of parameters to be optimized.

IEX = defines the parameters to be optimized.

If OPTMIZ=BASIS, IEX declares the serial number of the Gaussian primitives for which the exponents will be optimized.

If OPTMIZ=GEOMETRY, IEX define the pointers to the HINT internal coordinates which will be optimized.

(Note that not all internal coordinates have to be optimized.) The pointers to the internal coordinates are defined as: (the number of atom on the input list)*10 + (the number of internal coordinate for that atom). For each atom, the HINT internal coordinates are numbered as 1, 2, and 3 for BOND, ALPHA, and BETA, respectively.

P = Defines the initial values of the parameters to be optimized. You can use this to reset values given in \$DATA. If omitted, the \$DATA values are used. If given here, geometric data must be in Angstroms and degrees.

A complete example is a TCSCF multireference 6-31G geometry optimization for methylene,

```
$CONTRL SCFTYP=GVB CITYP=GUGA RUNTYP=TRUDGE
        COORD=HINT $END
$BASIS  GBASIS=N31 NGAUSS=6 $END
$DATA
```

Methylene TCSCF+CISD geometry optimization
Cnv 2

```
C    6.    LC  0.00  0.0  0.00  -  0  K
H    1.    PCC 1.00  53.  0.00  +  0  K  I
```

```
$END
```

```
$SCF    NCO=3 NPAIR=1 $END
```

```
$TRUDGE OPTMIZ=GEOMETRY  NPAR=2
```

```
        IEX(1)=21,22  P(1)=1.08 $END
```

```
$CIDRT  GROUP=C2V SOCI=.TRUE. NFZC=1 NDOC=3 NVAL=1
        NEXT=-1 $END
```

using GVB-PP(1), or TCSCF orbitals in the CI. The starting bond length is reset to 1.09, while the initial angle will be 106 (twice 53). Result after 17 steps is R=1.1283056, half-angle=51.83377, with a CI energy of -38.9407538472

Note that you may optimize the geometry for an excited CI state, just specify

```
        $GUGDIA  NSTATE=5  $END
```

```
        $GUGDM   IROOT=3   $END
```

to find the equilibrium geometry of the third state (of five total states) of the symmetry implied by your \$CIDRT.

```
=====
```


=====
\$STRURST group (optional, relevant for
RUNTYP=TRUDGE)

 This group specifies restart parameters for TRUDGE runs and accuracy thresholds.

KSTART indicates the conjugate gradient direction in which the optimization will proceed. (default = -1)

 -1 indicates that this is a non-restart run.

 0 corresponds to a restart run.

FNOISE accuracy of function values.

Variation smaller than FNOISE are not considered to be significant (Def. 0.0005)

TOLF accuracy required of the function (Def. 0.001)

TOLR accuracy required of conjugate directions (Def. 0.05)

 For geometry optimization, the values which give better results (closer to the ones obtained with gradient methods) are: TOLF=0.0001, TOLR=0.001, FNOISE=0.00001

=====

\$FORCE group

(optional, relevant for RUNTYP=HESSIAN,OPTIMIZE,SADPOINT)

This group controls the computation of the hessian matrix (the energy second derivative tensor, also known as the force constant matrix), and an optional harmonic vibrational analysis. This can be a very time consuming calculation. However, given the force constant matrix, the vibrational analysis for an isotopically substituted molecule is very cheap. Related input is HESS= in \$STATPT, and the \$MASS, \$HESS, \$GRAD, \$DIPDR, \$VIB groups. Calculation of the hessian automatically yields the dipole derivative tensor, giving IR frequencies. Raman intensities are obtained by following with RUNTYP=RAMAN.

METHOD = chooses the computational method:

- = ANALYTIC is a fully analytic calculation. This is implemented for SCFTYP=RHF, ROHF, GVB (for NPAIR=0 or 1, only), and MCSCF (for CISTEP=ALDET or ORMAS, only). This is the default for these cases.
- = SEMINUM does numerical differentiation of analytically computed first derivatives. This is the default for UHF, MCSCF using other CISTEPs, DFT, all solvent, models, relativistic corrections, and most MP2 or CI runs.
- = FULLNUM numerically twice differentiates the energy, which can be used by all other cases. It requires many energies (a check run will tell how many) and so it is mainly useful for systems with only very few symmetry unique atoms.

The default for METHOD is to pick ANALYTIC over SEMINUM if that is programmed, and SEMINUM otherwise. FULLNUM will never be chosen unless you specifically request it.

RDHESS = a flag to read the hessian from a \$HESS group, rather than computing it. This variable pertains only to RUNTYP=HESSIAN. See also HESS= in the \$STATPT group. (default is .FALSE.)

PURIFY = controls cleanup
Given a \$ZMAT, the hessian and dipole derivative tensor can be "purified" by transforming from Cartesians to internals and back to Cartesians. This effectively zeros the frequencies of the translation and rotation "modes", along with their IR intensities. The purified quantities are punched out. Purification does change the Hessian slightly, frequencies at a stationary point can change by a wave number or so. The change is bigger at non-stationary points. (default=.FALSE. if \$ZMAT is given)

PRTIFC = prints the internal coordinate force constants. You MUST have defined a \$ZMAT group to use this. (Default=.FALSE.)

--- the next four apply to numeric differentiation ----

NVIB = The number of displacements in each Cartesian direction for force field computation. This pertains only to SEMINUM, as FULLNUM always uses double difference formulae.

- = 1 Move one VIBSIZ unit in each positive Cartesian direction. This requires $3N+1$ evaluations of the wavefunction, energy, and gradient, where N is the number of SYMMETRY UNIQUE atoms given in \$DATA. (default)
- = 2 Move one VIBSIZ unit in the positive direction and one VIBSIZ unit in the negative direction. This requires $6N+1$ evaluations of the wavefunction and gradient, and gives a small improvement in accuracy. In particular, the frequencies will change from NVIB=1 results by no more than 10-100 wavenumbers, and usually much less. However, the normal modes will be more nearly symmetry adapted, and the residual rotational and translational "frequencies" will be much closer to zero.

VIBSIZ = Displacement size (in Bohrs). This pertains to Both SEMINUM and FULLNUM. Default=0.01

Let 0 mean the Vib0 geometry, and
D mean all the displaced geometries

NPRT = 1 Print orbitals at 0 and D
= 0 Print orbitals at 0 only (default)

NPUN = 2 Punch all orbitals at 0 and D
= 1 Punch all orbitals at 0 and occupied orbs at D
= 0 Punch all orbitals at 0 only (default)

----- the rest control normal coordinate analysis -----

VIBANL = flag to activate vibrational analysis.
(the default is .TRUE. for RUNTYP=HESSIAN, and
otherwise is .FALSE.)

SCLFAC = scale factor for vibrational frequencies, used
in calculating the zero point vibrational energy.
Some workers correct for the usual overestimate
in SCF frequencies by a factor 0.89. ZPE or other
methods might employ other factors, see A.P.Scott,
L.Radom J.Phys.Chem. 100, 16502-16513 (1996).
The output always prints unscaled frequencies, so
this value is used only during the thermochemical
analysis. (Default is 1.0)

TEMP = an array of up to ten temperatures at which the
thermochemistry should be printed out. The
default is a single temperature, 298.15 K. To
use absolute zero, input 0.001 degrees.

FREQ = an array of vibrational frequencies. If the
frequencies are given here, the hessian matrix
is not computed or read. You enter any imaginary
frequencies as negative numbers, omit the
zero frequencies corresponding to translation
and rotation, and enter all true vibrational
frequencies. Thermodynamic properties will be
printed, nothing else is done by the run.

PRTSCN = flag to print contribution of each vibrational
mode to the entropy. (Default is .FALSE.)

DECOMP = activates internal coordinate analysis.

Vibrational frequencies will be decomposed into "intrinsic frequencies", by the method of J.A.Boatz and M.S.Gordon, J.Phys.Chem., 93, 1819-1826(1989). If set .TRUE., the \$ZMAT group may define more than 3N-6 (3N-5) coordinates. (default=.FALSE.)

PROJECT = controls the projection of the hessian matrix. The projection technique is described by W.H.Miller, N.C.Handy, J.E.Adams in J. Chem. Phys. 1980, 72, 99-112. At stationary points, the projection simply eliminates rotational and translational contaminants. At points with non-zero gradients, the projection also ensures that one of the vibrational modes will point along the gradient, so that there are a total of 7 zero frequencies. The other 3N-7 modes are constrained to be orthogonal to the gradient. Because the projection has such a large effect on the hessian, the hessian punched is the one BEFORE projection. For the same reason, the default is .FALSE. to skip the projection, which is mainly of interest in dynamical calculations.

=====
There is a set of programs for the calculation of kinetic or equilibrium isotope effects from the group of Piotr Paneth at the University of Lodz. The ISOEFF program accepts data from GAMESS, and can be obtained from paneth@p.lodz.pl.

\$CPHF group (relevant for analytic RUNTYP=HESSIAN)

This group controls the solution of the response equations, also known as coupled Hartree-Fock.

POLAR = a flag to request computation of the static polarizability, alpha. Because this property needs 3 additional response vectors, beyond those needed for the hessian, the default is to skip the property. (default = .FALSE.)

CPHF = MO forms response equations from transformed MO integrals. (default for ROHF/GVB/MCSCF)
= AO forms response equations from AO integrals, which takes less memory, and is programmed only for RHF wavefunctions. (default if RHF)
= AODDI forms response equations from AO integrals, using distributed memory (see MEMDDI). This does AO integrals about 2x more than AO, but spreads the CPHF memory requirement out across multiple nodes. Coded only for RHF.

SOLVER = linear equation solver choice. This is primarily a debugging option. For RHF analytic Hessians, choose from CONJG (default), DIIS, ONDISK, not all of which will work for all CPHF= choices. For imaginary frequency dependent polarizability responses (MAKEFP jobs), choose GMRES (default), biconjugate gradient stabilized BCGST, DODIIS, or an explicit solver GAUSS. Most response equations have only one solver programmed, and thus ignore this keyword.

NWORD = controls memory usage for this step. The default uses all available memory. (default=0)

\$MASS group (relevant for RUNTYP=HESSIAN, IRC, or DRC)

This group permits isotopic substitution during the computation of mass weighted Cartesian coordinates. Of course, the masses affect the frequencies and normal modes

of vibration.

AMASS = An array giving the atomic masses, in amu. The default is to use the mass of the most abundant isotope. Masses through element 104 are stored.

example - \$MASS AMASS(3)=2.0140 \$END
will make the third atom in the molecule a deuterium.

=====

\$HESS group

(relevant for RUNTYP=HESSIAN if RDHESS=.TRUE.)
(relevant for RUNTYP=IRC if FREQ,CMODE not given)
(relevant for RUNTYP=OPTIMIZE,SADPOINT if HESS=READ)

Formatted force constant matrix (FCM), i.e. hessian matrix. This data is punched out by a RUNTYP=HESSIAN job, in the correct format for subsequent runs. The first card in the group must be a title card.

A \$HESS group is always punched in Cartesians. It will be transformed into internal coordinate space if a geometry search uses internals. It will be mass weighted (according to \$MASS) for IRC and frequency runs.

The initial FCM is updated during the course of a geometry optimization or saddle point search, and will be punched if a run exhausts its time limit. This allows restarts where the job leaves off. You may want to read this FCM back into the program for your restart, or you may prefer to regenerate a new initial hessian. In any case, this updated hessian is absolutely not suitable for frequency prediction!

\$GRAD group (relevant for RUNTYP=OPTIMIZE or SADPOINT)
(relevant for RUNTYP=HESSIAN when RDHESS=.TRUE.)

Formatted gradient vector at the \$DATA geometry. This data is read in the same format it was punched out.

For RUNTYP=HESSIAN, this information is used to determine if you are at a stationary point, and possibly for projection. If omitted, the program pretends the gradient is zero, and otherwise proceeds normally.

For geometry searches, this information (if known) can be read into the program so that the first step can be taken instantly.

\$DIPDR group (relevant for RUNTYP=HESSIAN if RDHESS=.T.)

Formatted dipole derivative tensor, punched in a previous RUNTYP=HESSIAN job. If this group is omitted, then a vibrational analysis will be unable to predict the IR intensities, but the run can otherwise proceed.

\$VIB group (relevant for RUNTYP=HESSIAN, METHOD=SEMINUM)

Formatted restart data, consisting of energies, gradients, and dipole moments. This data is read in the same format by which it was written to the RESTART file. Just add a "\$END" card, and place this group into the input file to effect a restart. If the final gradient was written as zero, delete the entire last data set (energy, gradient, and dipole).

This group can also be used to turn a less accurate single differencing run into a more accurate double differencing run (NVIB in \$HESS).

The mere presence of this group triggers the restart.

\$VIB2 group (relevant for hessians, METHOD=FULLNUM)
(relevant for gradients, with NUMGRD=.TRUE.)

Formatted restart information, consisting of energy values, as written to the RESTART file. Just add a "\$END" line at the bottom, and place this group into the input file to effect a restart. This group has the same name (\$VIB2), but different contents, depending on whether you are restarting a numerical gradient or a fully numerical hessian job.

The mere presence of this group triggers the restart.

=====
\$VSCF group (optional, relevant to RUNTYP=VSCF)

This group governs the computation of vibrational frequencies including anharmonic effects. Besides the keywords shown below, the input file must contain a \$HESS group (and perhaps a \$DIPDR group), to start with previously obtained harmonic vibrational information. The VSCF method requires only energies, so any energy type in GAMESS may be used, perhaps with fully numerical harmonic vibrational information. Energies are sampled along the directions of the harmonic normal modes, and usually along pairs of harmonic normal modes, after which the nuclear vibrational wavefunctions are obtained. The dipole on the grid points may be used to give improved IR intensities.

The most accurate calculation computes the potential surface directly, on all grid points, but this involves many energy evaluations. An attractive alternative is the Quartic Force Field approximation of Yagi et al., which computes a fit to the derivatives up to fourth order by computing a specialized set of points, after which this fit is used to generate the full grid of points for the solver.

Since there are a great many independent energy evaluations, no matter which type of surface is computed, the VSCF method allows for computations in subgroups (much like the FMO method). Thus the \$GDDI group will be read and acted upon, if found.

Vibrational wavefunctions are obtained at an SCF-like level, termed VSCF, using product nuclear wavefunctions, along with an MP2-like correction to the vibrational energy, which is termed correlation corrected (cc-VSCF). In addition, vibrational energy levels based on second order degenerate perturbation theory (see VDPT) or a CI analog (see VCI) may be obtained.

Most VSCF applications have been carried out with an electronic structure level of MP2 with triple zeta basis sets. This is thought to give accuracy to 50 wavenumbers for the larger fundamentals. Use of internal coordinates is known to give improved accuracy for lower frequencies, particularly in weakly bound clusters.

Restarts involve the \$VIBSCF group (which has different formats for each PETYP), and the READV keyword. Restarts are safest on the same machine, where normal mode phases are reproducible.

References for the VSCF method, the QFF approximation, and the solvers are given in Chapter 4 of this manual, along with a number of sample applications.

* * * * *

The first input variables control the generation of the potential surface on which the nuclear vibrations occur:

PETYP = DIRECT computes the full potential energy surface, according to NCOUP/NGRID. The total number of energy/dipole calculations for NCOUP=2 will be $M \cdot \text{NGRID} + (M \cdot (M-1)/2) \cdot \text{NGRID} \cdot \text{NGRID}$, where M is the number of normal modes. This is the default.

= QFF the Quartic Force Field approximation to the potential surface is obtained. This is usually only slightly less accurate, but has a greatly reduced computational burden, namely $6 \cdot M + 12 \cdot M \cdot (M-1)/2$ energy/dipoles.

INTCRD = flag setting the coordinate system used for the grids. Any internal coordinates to be used must be defined in \$ZMAT, using only 3N-6 simple coordinates (no DLC or natural internals), and of course you must give NZVAR in \$CONTRL as well. The default is to use Cartesians (default .FALSE.)

INTTYP = 0 default if INTCRD=.FALSE. (ignore this keyword)
= 1 implies that the \$ZMAT contains only stretches, bends, and torsions. It also selects an approximate transformation between Cartesian and internal coords.
= 2 the other \$ZMAT coordinates may be used, and the coordinate transformation will be iterated to convergence. (default if INTCRD=.TRUE.)

NCOUP = the order of mode couplings included.

- = 1 computes 1-D grids along each harmonic mode
- = 2 adds additionally, 2-D grids along each pair of normal modes. (default=2)
- = 3 adds additionally, 3-D grids for mode triples, for PETYP=DIRECT only.

NGRID = number of grid points to be used in solving for the anharmonic vibrational levels. In the case of PETYP=DIRECT, each of these grid points must be explicitly computed. For PETYP=QFF these grid points are obtained from a fitted quartic force field. Reasonable values are 8 or 16 for DIRECT, with 16 considered significantly more accurate. For PETYP=QFF, the generation of the solver grid is very fast, so use 16 always. (default=16)

AMP = step size for PETYP=DIRECT displacements. The maximum distance along each mode is a function of its frequency,
$$\text{amplitude}(i) = \sqrt{2 * (\text{AMP} + 1/2) / \text{freq}(i)}$$
so that AMP resembles a vibrational quantum number. The default goes far enough past the classical turning points of the fundamentals to capture the relevant part of the surface. (default = 7.0)

STPSZ = step size for PETYP=QFF displacements. The step along each mode depends on the harmonic frequency, as well as this parameter, whose default is usually satisfactory (default=0.5)

In case the user wants to control each normal mode with a separate parameter, arrays of values may be given, using the keywords AMPX(1)=xx,yy,... or STPSZX(1)=xx,yy,zz...

IMODE = array of modes for which anharmonic effects will be computed. IMODE(1)=10,19 computes anharmonic energies and wavefunctions for modes 10 and 19, only. In the current implementation, pairs of modes cannot be coupled, so NCOUP is forced to 1 if this option is specified. This approximation is intended for larger molecules, where the whole VSCF calculation is prohibitive.

* * * * *

The next set of keywords relates to the solver step which finds the vibrational states. The results always include VSCF and cc-VSCF (SCF and non-degenerate MP2-like solutions). Use of the restart option makes comparing the solvers very fast, compared to the time to generate the electronic potential energy surface's points.

VDPT = option to use 2nd order degenerate perturbation theory, based on the ground and singly excited vibrational levels. Results for virtual CI within the same singly excited space will also be given. (default=.TRUE.)

VCI = option to use the virtual CI solver within a space of the ground and both singly and doubly excited vibrational levels. Selection of VCI turns VDPT off. (default=.FALSE.)

The solver always finds the ground vibrational state ($v=0$) by default, and defaults to finding the fundamentals ($v=1$ in every mode). It can rapidly find excited levels (such as all $v=2$) if restarted (see READV) from \$VIBSCF, using the following to control the excitation levels:

IEXC = 1 obtain fundamental frequencies (default)
 = 2 instead, obtain first overtones
 = 3 instead, obtain second overtones

IEXC2 = 0 skip combination bands (default)
 = 1 add one additional quanta in other modes
 = 2 add two other quanta in one mode at a time.

IEXC	IEXC2	for H2O, which has only three modes:
0	0	only 000 ground state, no transitions
1	0	000, and 100, 010, 001 (fundamentals)
2	0	000, and 200, 020, 002 (1st overtones)
3	0	000, and 300, 030, 003 (2nd overtones)
1	1	000, and 100, 010, 001, 110, 101, 110 (1st overtones and combinations)
1	2	000, and 100, 010, 001, 210, 201, 021
2	1	000, and 200, 020, 002, 120, 102, 012 between them, 1st and 2nd overtones, and all 2-1-0 combinations.

ICAS1, ICAS2 = starting and ending vibrations whose quanta are included. The default is all modes, ICAS1=1 and ICAS2=3N-6 (or 3N-5).

SFACT = a numerical cutoff for small contributions in the solver. The default is 1d-4: 5d-3 or 1d-3 may affect accuracy of results, 1d-4 is safer, and 1d-5 might not converge.

VCFCT = scaling factor for pair-coupling potential. Sometimes when pair-coupling potential values are larger than the corresponding single mode values, they must be scaled down. It is seldom necessary to select a scaling other than unity. (Default=1.0)

* * * * *

The next two relate to simplified intensity computation. These simplifications are aimed at speeding up MP2 runs, if one does not care so much about intensities, and would like to eliminate the considerable extra time to compute MP2-level dipoles. DMDR must not be used if overtones are being computed.

DMDR = if true, indicates that the harmonic dipole derivative tensor \$DIPDR will be read and used, rather than computing dipoles. (default=.FALSE.)

MPDIP = for MP2 electronic structure, a value of .FALSE. uses SCF level dipoles in order to save the time needed to obtain the MP2 density at every grid point. It is more accurate to use the DMDR flag instead of this option, if an MP2 level \$DIPDR is available. (default=.TRUE.)

* * * * *

PROJECT = controls the projection of the hessian matrix (same meaning as in \$FORCE). Default is .TRUE. which removes small mixings between rotations or translations and the harmonic modes.

READV = flag to indicate restart data \$VIBSCF should be read in to resume an interrupted calculation, or

to obtain overtones in follow-on runs.
(default is .FALSE.)

=====

\$VIBSCF group (optional, relevant to RUNTYP=VSCF)

This is restart data, as written to the disk file RESTART in a complete or partially completed previous run. Append a " \$END", and also select READV=.TRUE. to read the data.

\$VIBSCF's contents are different for PETYP=DIRECT or QFF.

The format of this group changed in December 2006, so that old groups can no longer be used.

=====

=====

\$GAMMA group required if RUNTYP=GAMMA

This group governs evaluation of the 3rd derivative of the energy with respect to nuclear coordinates, by finite differentiation of Hessians (see \$FORCE options).

NFCM = n describes the amount of restart data provided.
The default is n=-1, to evaluate everything.
A value of n means that n+1 \$FCM groups are to be read from the file (hessian #0 means the equilibrium geometry). Restart data is read from a .gamma file, created by an earlier run.

DELTA = step size, default=0.01 Bohr

PRTALL = flag to print full Hessian and Gamma matrix,
the default is .FALSE.

PRTSYM = flag to print unsymmetrical Gamma elements,
the default is .FALSE.

PRTBIG = flag to print large Gamma elements, default = .F.

=====

\$EQGEOM group required if NFFLVL=2 or 3 in \$CONTRL

The coordinates of the stationary point, where the hessian and possibly 3rd derivative information was evaluated, in exactly the format it was printed by RUNTYP=GAMMA.

=====

\$HLOWT group required if NFFLVL=2 or 3 in \$CONTRL

\$GLOWT group required if NFFLVL=3 in \$CONTRL

These are the lower triangular parts of the hessian and 3rd derivative matrices, read in the same format as printed by an earlier RUNTYP=GAMMA.

=====

=====

\$IRC group (relevant for RUNTYP=IRC)

This group governs the location of the intrinsic reaction coordinate (also called the minimum energy path, MEP), a steepest descent path in mass weighted coordinates, that connects the saddle point to reactants and products. The IRC serves a proof of the mechanism for a reaction, and is a starting point for reaction path dynamics.

The IRC may be found for systems with QM atoms, EFP particles, or the combinations of QM and EFP particles, or QM plus the optional SIMOMM plug-in MM atoms.

Restart data for RUNTYP=IRC is written into the PUNCH file. Information summarizing the reaction path is written to the TRAJEct file, which should be saved, appending these as various restarts are done. The graphics program MacMolPlt can display a movie of the entire mechanism, if you join the entire forward and entire backwards trajectory files, while changing the path distance parameter in the reverse part to a negative value.

----- there are five integration methods chosen by PACE.

PACE = GS2 selects the Gonzalez-Schlegel second order method. This is the default method.
Related input is:

GCUT cutoff for the norm of the mass-weighted gradient tangent (the default is chosen in the range from 0.00005 to 0.00020, depending on the value for STRIDE chosen below.)

RCUT cutoff for Cartesian RMS displacement vector. (the default is chosen in the range 0.0005 to 0.0020 Bohr, depending on the value for STRIDE)

ACUT maximum angle from end points for linear interpolation (default=5 degrees)

MXOPT maximum number of constrained optimization steps for each IRC point (default=20)

IHUPD is the hessian update formula. 1 means Powell, 2 means BFGS (default=2)

GA is a gradient from the previous IRC point, and is used when restarting.
OPTTOL is a gradient cutoff used to determine if the IRC is approaching a minimum. It has the same meaning as the variable in \$STATPT. (default=0.0001)

PACE = LINEAR selects linear gradient following (Euler's method). Related input is:

STABLZ switches on Ishida/Morokuma/Komornicki reaction path stabilization. The default is .TRUE.
DELTA initial step size along the unit bisector, if STABLZ is on. Default=0.025 Bohr.
ELBOW is the collinearity threshold above which the stabilization is skipped. If the mass weighted gradients at QB and QC are almost collinear, the reaction path is deemed to be curving very little, and stabilization isn't needed. The default is 175.0 degrees. To always perform stabilization, input 180.0.
READQB,EB,GBNORM,GB are energy and gradient data already known at the current IRC point. If it happens that a run with STABLZ on decides to skip stabilization because of ELBOW, this data will be punched to speed the restart.

PACE = QUAD selects quadratic gradient following. Related input is:

SAB distance to previous point on the IRC.
GA gradient vector at that historical point.

PACE = AMPC4 selects the fourth order Adams-Moulton variable step predictor-corrector. Related input is:

GA0,GA1,GA2 which are gradients at previous points.

PACE = RK4 selects the 4th order Runge-Kutta variable step method. There is no related input.

----- The next two are used by all PACE choices -----

STRIDE = Determines how far apart points on the reaction path will be. STRIDE is used to calculate the step taken, according to the PACE you choose. The default is good for the GS2 method, which is very robust. Other methods should request much smaller step sizes, such as 0.10 or even 0.05. (default = 0.30 sqrt(amu)-Bohr)

NPOINT = The number of IRC points to be located in this run. The default is to find only the next point. (default = 1)

----- The next two let you choose your output volume -----

Let F mean the first IRC point found in this run,
and L mean the final IRC point of this run.
Let INTR mean the internuclear distance matrix.

NPRT = 1 Print INTR at all, orbitals at all IRC points
0 Print INTR at all, orbitals at F+L (default)
-1 Print INTR at all, orbitals never
-2 Print INTR at F+L, orbitals never

NPUN = 1 Punch all orbitals at all IRC points
0 Punch all orbitals at F+L, only occupied orbitals at IRC points between (default)
-1 Punch all orbitals at F+L only
-2 Never punch orbitals

----- The next two tally the reaction path results. The defaults are appropriate for starting from a saddle point, restart values are automatically punched out.

NEXTPT = The number of the next point to be computed.

STOTAL = Total distance along the reaction path to next IRC point, in mass weighted Cartesian space.

----- The following controls jumping off the saddle point.

If you give a \$HESS group, FREQ and CMODE will be generated automatically.

- SADDLE = A logical variable telling if the coordinates given in the \$DATA deck are at a saddle point (.TRUE.) or some other point lying on the IRC (.FALSE.). If SADDLE is true, either a \$HESS group or else FREQ and CMODE must be given. (default = .FALSE.) Related input is:
- TSENGY = A logical variable controlling whether the energy and wavefunction are evaluated at the transition state coordinates given in \$DATA. Since you already know the energy from the transition state search and force field runs, the default is .F.
- FORWRD = A logical variable controlling the direction to proceed away from a saddle point. The forward direction is defined as the direction in which the largest magnitude component of the imaginary normal mode is positive. (default = .TRUE.)
- EVIB = Desired decrease in energy when following the imaginary normal mode away from a saddle point. (default=0.0005 Hartree)
- FREQ = The magnitude of the imaginary frequency, given in cm**⁻¹.
- CMODE = An array of the components of the normal mode whose frequency is imaginary, in Cartesian coordinates. Be careful with the signs!

You must give FREQ and CMODE if you don't give a \$HESS group, when SADDLE=.TRUE. The option of giving these two variables instead of a \$HESS does not apply to the GS2 method, which must have a hessian input, even for restarts. Note also that EVIB is ignored by GS2 runs.

```
* * * * *
For hints about IRC tracking, see
the 'further information' section.
* * * * *
```

=====

\$DRC group

(relevant for RUNTYP=DRC)

This group governs "direct dynamics", following the dynamical reaction coordinate, which is a classical trajectory based on quantum chemistry potential energy surfaces. These may be either ab initio or semi-empirical, and are computed "on the fly" as the trajectory proceeds.

Because the vibrational period of a normal mode with frequency 500 wavenumbers is 67 fs, a DRC needs to run for many steps in order to sample a representative portion of phase space. Restart data can be found in the job's OUTPUT file, with important results summarized to the TRAJECT file. Almost all DRCs break molecular symmetry, so build your molecule with C1 symmetry in \$DATA, or specify NOSYM=1 in \$CONTRL. RUNTYP=DRC may not be used with EFP particles.

NSTEP = The number of DRC points to be calculated, not including the initial point. (default = 1000)

DELTAT = is the time step. (default = 0.1 fs)

TOTIME = total duration of the DRC computed in a previous job, in fs. The default is the correct value when initiating a DRC. (default=0.0 fs)

* * *

In general, a DRC can be initiated anywhere, so \$DATA might contain coordinates of the equilibrium geometry, or a nearby transition state, or something else. You must also supply an initial kinetic energy, and the direction of the initial velocity, for which there are a number of options:

EKIN = The initial kinetic energy (default = 0.0 kcal/mol)

See also ENM, NVEL, and VIBLVL regarding alternate ways to specify the initial value.

VEL = an array of velocity components, in Bohr/fs. When NVEL is false, this is simply the direction

of the velocity vector. Its magnitude will be automatically adjusted to match the desired initial kinetic energy, and it will be projected so that the translation of the center of mass is removed. Give in the order vx1, vy1, vz1, vx2, vy2, ...

NVEL = a flag to compute the initial kinetic energy from the input VEL using the sum of $\text{mass} \cdot \text{VEL} \cdot \text{VEL} / 2$. This flag is usually selected only for restarts. (default=.FALSE.)

The next three allow the kinetic energy to be partitioned over all normal modes. The coordinates in \$DATA are likely to be from a stationary point! You must also supply a \$HESS group, which is the nuclear force constant matrix at the starting geometry.

VIBLVL = a flag to turn this option on (default=.FALSE.)

VIBENG = an array of energies (in units of multiples of the $h\nu$ of each mode) to be imparted along each normal mode. The default is to assign the zero point energy only, VIBENG(1)=0.5, 0.5, ..., 0.5 when HESS=MIN, and 0.0, 0.5, ..., 0.5 if HESS=TS. If given as a negative number, the initial direction of the velocity vector is along the reverse direction of the mode. "Reverse" means the phase of the normal mode is chosen such that the largest magnitude component is a negative value. An example might be VIBENG(4)=2.5 to add two quanta to mode 4, along with zero point energy in all modes.

RCENG = reaction coordinate energy, in kcal/mol. This is the initial kinetic energy given to the imaginary frequency normal mode when HESS=TS. If this is given as a negative value, the direction of the velocity vector will be the "reverse direction", meaning the phase of the normal mode will be chosen so its largest component is negative.

* * *

The next two pertain to initiating the DRC along a single normal mode of vibration. No kinetic energy is assigned to the other modes. You must also supply a \$HESS group at the initial geometry.

NNM = The number of the normal mode to which the initial kinetic energy is given. The absolute value of NNM must be in the range 1, 2, ..., 3N-6. If NNM is a positive/negative value, the initial velocity will lie in the forward/reverse direction of the mode. "Forward" means the largest normal mode component is a positive value. (default=0)

ENM = the initial kinetic energy given to mode NNM, in units of vibrational quanta $h\nu$, so the amount depends on mode NNM's vibrational frequency, ν . If you prefer to impart an arbitrary initial kinetic energy to mode NNM, specify EKIN instead. (default = 0.0 quanta)

To summarize, there are 5 ways to initiate a trajectory:

1. VEL vector with NVEL=.TRUE. This is difficult to specify at your initial point, and so this option is mainly used when restarting your trajectory. The restart information is always in this format.
2. VEL vector and EKIN with NVEL=.FALSE. This will give a desired amount of kinetic energy in the direction of the velocity vector.
3. VIBLVL and VIBENG and possibly RCENG, to give some initial kinetic energy to all normal modes.
4. NNM and ENM to give quanta to a single normal mode.
5. NNM and EKIN to give arbitrary kinetic energy to a single normal mode.

* * *

The most common use of the next two is to analyze a trajectory with respect to the normal modes of a minimum energy geometry it travels around.

NMANAL = a flag to select mapping of the mass-weighted Cartesian DRC coordinates and velocity (conjugate momentum) in terms of normal modes at a nearby

reference stationary point (which can be either a minimum or transition state). This reference geometry could in fact be the same as the initial point of the DRC, but does not need to be. If you choose this option, you must supply C0, HESS2, and a \$HESS2 group corresponding to the reference stationary point. (default=.FALSE.)

C0 = an array of the coordinates of the stationary reference point (the coordinates in \$DATA might well be some other coordinates). Give in the order x1,y1,z1,x2,y2,... in Angstroms.

* * *

The next options apply to input choices which may read a \$HESS at the initial DRC point, namely NNM or VIBLVL, or to those that read a \$HESS2 at some reference geometry (NMANAL).

HESS = MIN indicates the hessian supplied for the initial geometry corresponds to a minimum (default).
= TS indicates the hessian is for a saddle point.
HESS2 = MIN (default) or TS, the same meaning, for the reference geometry.

These are used to decide if modes 1-6 (minimum) or modes 2-7 (TS) are to be excluded from the hessian as the translational and rotational contaminants. If the initial and reference geometries are the same, these two Hessians will be duplicates of each other.

The next variables can cause termination of a run, if molecular fragments get too far apart or close together.

NFRGPR = Number of atom pairs whose distance will be checked. (default is 0)

IFRGPR = Array of the atom pairs. 2 times NFRGPR values.

FRGCUT = Array for a boundary distance (in Bohr) for atom pairs to end DRC calculations. The run will stop if any distance exceeds the tolerance, or if a value is given as a negative number, if the

distance becomes shorter than the absolute value. In case the trajectory starts outside the bounds specified, they do not apply until after the trajectory reaches a point where the criteria are satisfied, and then goes outside again. Give NFRGPR values.

* * *

The final variables control the volume of output. Let F mean the first DRC point found in this run, and L mean the last DRC point of this run.

NPRTSM = summarize the DRC results every NPRTSM steps, to the TRAJECT file. (default = 1)

NPRT = 1 Print orbitals at all DRC points
0 Print orbitals at F+L (default)
-1 Never print orbitals

NPUN = 2 Punch all orbitals at all DRC points
1 Punch all orbitals at F+L, and occupied orbitals at DRC points between
0 Punch all orbitals at F+L only (default)
-1 Never punch orbitals

=====

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\$MEX group (relevant if RUNTYP=MEX)

This group governs a search for the lowest energy on the 3N-7 dimensional "seam" of intersection of two different electronic potential energy surfaces. Such Minimum Energy Crossing Points are important for processes such as spin-orbit coupling that involve transfer from one surface to another, and thus are analogous to transition states on a single surface. The present program requires that the two surfaces differ in spin quantum number, or space symmetry, or both. Analytic gradients are used in the search.

SCF1, SCF2 = define the molecular wavefunction types, possibly in conjunction with the usual MPLEVEL and DFTTYP keywords.

MULT1, MULT2 = give the spin multiplicity of the states.

Permissible combinations of wavefunctions are
RHF with ROHF/UHF
ROHF with ROHF
UHF with UHF
as well as their MP2 and DFT counterparts, and
GVB with ROHF/UHF
MCSCF with MCSCF (CISTEP=ALDET or GUGA only)

NSTEP = maximum number of search steps (default=50)

STPSZ = Step size during the search (default = 0.1D+00)

NRDMOS = Initial orbitals can be read in
= 0 No initial orbitals (default)
= 1 Read in orbitals for first state (in \$VEC1)
= 2 Read in orbitals for second state (in \$VEC2)
= 3 Read in orbitals for both (\$VEC1 and \$VEC2)

NMOS1 = Number of orbitals for first state's \$VEC1.

NMOS2 = Number of orbitals for second state's \$VEC2.

NPRT = Printing orbitals

- = 0 No orbital printed out except at the first geometry (default)
- = 1 Orbitals are printed each geometry. If MCSCF is used, CI expansions are also printed.

Finer control of the convergence criterion:

TDE = energy difference between two states
(default = 1.0D-05)

TDXMAX = maximum displacement of coordinates
(default = 2.0D-03)

TDXRMS = root mean square displacement
(default = 1.5D-03)

TGMAX = maximum of effective gradient between the two states (default = 5.0D-04)

TGRMS = root mean square effective gradient tolerance
(default = 3.0D-04)

=====

Usage notes:

1. Normally \$CONTRL will not give SCFTYP or MULT keywords. SCF1 and SCF2 can be given in any order. The combinations permitted ensure roughly equal sophistication in the treatment of electron correlation.
2. After reading \$MEX, SCFTYP and MULT will be set to the more complex of the two choices, which is considered to be RHF < ROHF < UHF < GVB < MCSCF. This permits the \$SCF input defining a GVB wavefunction to be read and tested for correctness, in a GVB+ROHF run. Since only one SCFTYP is stored while reading the input, you might need to provide some keywords that are normally set by default for the other (such as ensuring DIIS is selected in \$SCF if either of the states is UHF).
3. It is safest by far to prepare and read \$VEC1 and \$VEC2 groups so that you know what electronic states you start with. It is a good idea to regenerate both states at the end of the MEX search, to be sure that they remain as you began.

4. It is your responsibility to make sure that the states have a different space symmetry, or a different spin symmetry (or both). That is why note 3 is so important.
5. \$GRAD1 and/or \$GRAD2 groups containing gradients may be given to speed up the first geometry of the MEX search.
6. The search is even trickier than a saddle point search, for it involves the peaks and valleys of BOTH surfaces being generated. Starting geometries may be guessed as lying between the minima of the two surfaces, but the lowest energy on the crossing seam may turn out to be somewhere else. Be prepared to restart!
7. The procedure is a Newton-Raphson search, conducted in Cartesian coordinates, with a Lagrange multiplier imposing the constraint of equal energy upon the two states. The hessian matrices in the search are guessed at, and subjected to BFGS updates. Internal coordinates will be printed (for monitoring purposes) if you define \$ZMAT, but the stepper operates in Cartesian coordinates only. No geometry constraints can be applied, apart from the point group in \$DATA.

A good paper to read about this kind of search is
A.Farazdel, M.Dupuis J.Comput.Chem. 12, 276-282(1991)

\$MD group

(relevant if RUNTYP=MD)

This group controls the molecular dynamics trajectory for a collection of quantum mechanical atoms and/or Effective Fragment Potential particles.

A typical MD simulation starts with an equilibration phase, running long enough to produce a randomized structure and velocity distribution. Typically equilibration is done with an NVT ensemble, allowing the system to equilibrate to a desired temperature. A production run restarts with the positions and the velocity and quaternion data from the equilibration run, might use either a NVE or NVT ensemble, and collects radial distribution functions and other properties.

Only a few properties are computed from the MD trajectory, apart from correct radial distribution functions. In particular, the pressures, diffusion constants, and heats of vaporization that appear on the printout (presently only for pure EFP runs) are from a preliminary code, which has not yet been verified.

If the system contains only EFP particles, it may be placed in a periodic box, according to the minimum image convention. The optional periodic boundary conditions, along with cut-offs, are given in the \$EFRAG group. See also the \$EWALD input group for long-range electrostatic treatment if PBC is used.

The first keywords relate to the steps:

- MDINT = MD integrator selection.
= FROG (leapfrog). This is less accurate, and lacks the special ensemble stepper option NVTNH.
= VVERLET (velocity Verlet) - default.
- DT = MD time step size, in seconds, default=1.0d-15, which is a femtosecond.
- NVTNH selects a integrator step appropriate to the desired ensemble. This is only implemented for

velocity Verlet.

= 0 means use NVE Verlet stepping

= 1 means use NVT Verlet stepping

= 2 means use Nose/Hoover chain NVT Verlet stepping

The default is 2 if either NVT option RSTEMP or RSRAND is chosen, but is 0 otherwise.

NSTEPS = number of MD time steps to be found in this run, default=10000.

NTOTAL = number of time steps previously found on the MD trajectory, which is being restarted (see READ=.TRUE.). The default=0, meaning that this trajectory is a new one, or is perhaps the start of a production phase of the MD.

* * *

BATHT = bath temperature, in Kelvin (default=300.0)
This value is used during NVT runs, or if the MD is initialized to a Maxwell-Boltzmann velocity distribution.

* * *

Two options exist to create NVT runs, to bring the system to a desired bath temperature. If neither is chosen, the ensemble is NVE:

RSTEMP = flag to rescale the temperature. default=.FALSE.

DTEMP = temperature range for the RSTEMP option. The velocities are rescaled to the bath temperature if $T < (\text{BATHT} - \text{DTEMP})$ or $T > (\text{BATHT} + \text{DTEMP})$. The default is DTEMP=100.0 degrees.

RSRAND = flag to reset to Maxwell-Boltzmann distribution, using random numbers (same algorithm as MBT and MBR) to choose individual velocity magnitudes and directions. default=.FALSE.

NRAND = number of steps for the RSRAND option. Reassign velocities (translational and rotational) every NRAND time steps. Default=1000.

NVTOFF = step number at which to turn off either NVT thermostat, and switch to NVE. At this point, the NVTNH parameter will be reset to 0, and the PROD flag will be turned on, so that the production run will start (gathering and printing the RDF information to .log file). This keyword is also useful in NVE runs to postpone the accumulation of production information. The default means no switch to NVE (default=0).

JEVERY = report simulation quantities (write info such as energies, temps, etc. to .log file) and collect RDF info each JEVERY time step. Default=10

KEVERY = write coordinates (to log and TRAJECT files), velocity/quaternion restart info (to the TRAJECT file and RDFs (to log file) at each KEVERY step. default=100

PROD = production run, at present this means only that information for radial distribution functions is collected, and printed. default=.FALSE.

DELR = spacing for radial bins in RDF calculations, default=0.02 Angstroms.

NPROP = step number at which to begin collecting data for the other properties, such as pressure and diffusion constants. This should be a value between 1 and NSTEPS, as it counts off the current run's steps. Default=0.

PBCOUT = print PBC coordinates in the end of simulation (i.e. all molecules will be contained in one box) Default=.FALSE.

* * *

The following keywords control starting MD conditions. Normally an MD trajectory is initiated with both MBT and MBR chosen, while restarts would select only READ. The restart data is written to the TRAJECT file. To restart requires merging particle coordinates into \$DATA and/or \$EFRAG, and placing the \$MD group below your existing \$MD

group, thus keeping your choices for the variables above (both \$MD groups will be read).

- MBT = get translational velocities from a random Maxwell-Boltzmann ensemble. Default=.FALSE.
- MBR = get rotational velocities from a random Maxwell-Boltzmann ensemble. Default=.FALSE.
- QRAND = if .TRUE., generate random quaternions, an option that is not normally chosen.
if .FALSE., use EFP particle coordinates and the initial MBT/MBR assigned velocities to set correct quaternion data (default is .FALSE.)
- READ = read velocities (translational and rotational) and quaternions and their first and second derivatives from input file. Default is .FALSE. Set the other three values MBT/MBR/QRAND off if you choose restarting with READ.

For READ=.TRUE., the following restart data is required. This data may be copied from the TRAJECT file, in exactly the format it was written out. The required data depends on your choice for the integrator, see MDINT above. In addition, you will need to update the particle coordinates in \$DATA and/or \$EFRAG, using data from the TRAJECT file.

TVELQM(1)= quantum atom's translational velocities (both).
TVEL(1)= array of EFP translational velocities (both).
RVEL(1)= array of EFP rotational velocities (VVERLET).
RMOM(1)= array of EFP rotational momenta (FROG).
QUAT(1)= array of EFP quaternions (both).
QUAT1D(1)= EFP quaternion first derivatives (VVERLET).
QUAT2D(1)= EFP quaternion second derivatives (VVERLET).

extra reading:

"Computer Simulation of Liquids"
M.P.Allen, D.J.Tildesley Oxford Science, 1987
"Understanding Molecular Simulation"
D.Frenkel, B.Smit Academic Press, 2002

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\$RDF group (relevant for RUNTYP=MD)

This group defines the pairs of atoms for which the radial distribution functions are to be computed, at the end of a molecular dynamics trajectory. The input is similar in style to \$EFRAG, consisting of separate lines, with the word STOP ending each particular pair.

Line 1. NRDF=<no.RDFs>
gives the number of RDFs which should be computed.

Line 2. <pair title> <FRAG1> <FRAG2> <no.pairs>
gives a string for the printout (a good choice involves both atoms, such as ClCl), the name of the \$FRAGNAME containing the first atom of the pair, the name of the \$FRAGNAME group with the second atom of the pair, and how many such pairs exist.

Line 3. <label> <num.atom1> <num.atom2>
gives a label (arbitrary), the position of the atom within the \$FRAG1 group, and the 2nd atom's within the \$FRAG2. This line must be repeated <no.pairs> times.

Line 4. STOP
the word STOP ends this RDF's pair input.

Lines 2-4 must then be repeated a total of <no.RDFs> times.

An example will make this all clear. If there is only one type of fragment used, such as water (so \$EFRAG contains only FRAGNAME=WATER), and assuming that this \$WATER group defining the water EFP has atoms in the order O,H,H:

```
$RDF
nrdf=3
OO      water   water   1
  dum    1    1
STOP
OH      water   water   4
  dum    1    2
  dum    1    3
  dum    2    1
  dum    3    1
```

```
STOP  
HH      water  water  4  
  dum   2    2  
  dum   2    3  
  dum   3    2  
  dum   3    3  
STOP  
$end
```

=====

=====

\$GLOBOP group (relevant to RUNTYP=GLOBOP)

This controls a search for the global minimum energy. It is primarily intended for locating the best position for effective fragment "solvent" molecules, perhaps with an ab initio "solute" present. There are options for a single temperature Monte Carlo search, or a multiple temperature simulated annealing. Local minimization of some or all of the structures selected by the Monte Carlo is optional. See REFS.DOC for an overview of this RUNTYP.

The coordinates of accepted structures are written to the file TRAJECT. A perl script named "globop_extract" is provided in the standard GAMESS distribution, which can extract the lowest energies (and matching coordinates) from the TRAJECT data set.

RNDINI = flag to randomize the particles given in \$EFRAG, usually choosing the particle at random, placing it near the center of the coordinate origin but in such a way that it does not collide with any particles placed earlier. The default is to use coordinates as given in \$EFRAG (default .FALSE.)

RIORD = relevant only if RNDINI is .TRUE.
= RAND selects EFP particles in random order, as well as randomizing their coordinates. (default)
= STANDARD chooses the particles in the same order that they were given in \$EFRAG, so only their positions are randomized.

See REFS.DOC for some ideas on how to build clusters with these two inputs.

TEMPI = initial temperature used in the simulation.
(default = 20000 K)

TEMPF = final temperature. If TEMPF is not given and NTEMPS is greater than 1, TEMPF will be calculated based on a cooling factor of 0.95.

NTEMPS = number of temperatures used in the simulation.

If NTEMPS is not given but TEMPF is given, NTEMP will be calculated based on a cooling factor of 0.95. If neither NTEMP nor TEMPF is given, the job defaults to a single temperature Monte Carlo calculation.

- NFRMOV = number of fragments to move on each step.
(default=1)
- NGEOPT = number of geometries to be evaluated at each temperature. (default = 100)
- NTRAN = number of translational steps in each block.
(default=5)
- NROT = number of rotational steps in each block.
(default=5)
- NBLOCK = the number of blocks of steps can be set directly with this variable, instead of being calculated from NGEOPT, NTRAN, and NROT, according to
$$\text{NBLOCK} = \text{NGEOPT} / (\text{NTRAN} + \text{NROT})$$

If NBLOCK is input, the number of geometries at each temperature will be taken as
$$\text{NGEOPT} = \text{NBLOCK} * (\text{NTRAN} + \text{NROT})$$

Each block has NTRAN translational steps followed by NROT rotational steps.
- MCMIN = flag to enable geometry optimization to minimize the energy is carried out every NSTMIN steps.
(default=.true.)
- NSTMIN = After this number of geometry steps are taken, a local (Newton-Raphson) optimization will be carried out. If this variable is set to 1, a local minimization is carried out on every step, reducing the MC space to the set of local minima. Irrelevant if MCMIN is false. (default=10)
- OPTN = if set to .TRUE., at the end of the run local minimizations are carried out on the final geometry and on the minimum-energy geometry.
(default=.FALSE.)
- SCALE = an array of length two. The first element is the

initial maximum step size for the translational coordinates (Angstroms). The second element is the initial maximum stepsize for the rotational coordinates (pi-radians). (defaults = 1,1)

AIMOVE = step range for moving ab initio atoms in the MC simulation. If set to zero, the ab initio atoms do not move in MC. The motion of ab initio atoms is unsophisticated, as the move consists only of shifting each Cartesian coordinate in the range of plus AIMOVE to minus AIMOVE atomic units. Ab initio atoms are allowed to relax during possible geometry optimizations implied by MCMIN/NSTMIN. (default=0.0)

ALPHA = controls the rate at which information from successful steps is folded into the maximum step sizes for each of the 6*(number of fragments) coordinates. ALPHA varies between 0 and 1. ALPHA=0 means do not change the maximum step sizes, and ALPHA=1 throws out the old step sizes whenever there is a successful step and uses the successful step sizes as the new maxima. This update scheme was used with the Parks method where all fragments are moved on every step. It is normally not used with the Metropolis method. (default = 0)

DACRAT = the desired acceptance ratio, the program tries to achieve this by adjusting the maximum step size. (default = 0.5)

UPDFAC = the factor used to update the maximum step size in the attempt to achieve the desired acceptance ratio (DACRAT). If the acceptance ratio at the previous temperature was below DACRAT, the step size is decreased by multiplying it by UPDFAC. If the acceptance ratio was above DACRAT, the step size is increased by dividing it by DACRAT. It should be between 0 and 1. (default = 0.95)

SEPTOL = the separation tolerance between atoms in the ab initio piece and atoms in the fragments, as well as between atoms in different fragments. If a step moves atoms closer than this tolerance, the

step is rejected. (default = 1.5 Angstroms)

XMIN, XMAX, YMIN, YMAX, ZMIN, ZMAX = minimum and maximum values for the Cartesian coordinates of the fragment. If the first point in a fragment steps outside these boundaries, periodic boundary conditions are used and the fragment re-enters on the opposite side of the box. The defaults of -10 for minima and +10 for maxima should usually be changed.

BOLTWT = method for calculating the Boltzmann factor, which is used as the probability of accepting a step that increases the energy.
= STANDARD = use the standard Boltzmann factor, $\exp(-\Delta E/kT)$ (default)
= AVESTEP = scale the temperature by the average step size, as recommended in the Parks reference when using values of ALPHA greater than 0.

NPRT = controls the amount of output, with
= -2 reduces output below that of -1
= -1 reduces output further, needed for MCMIN=.true.
= 0 gives minimal output (default)
= 1 gives the normal GAMESS amount of output
= 2 gives maximum output
For large simulations, even IOUT=0 may produce a log file too large to work with easily.
If geometry optimization is being done at each Monte Carlo generated structure, you can use the NPRT in \$STATPT to further suppress output.

RANDOM = controls the choice of random number generator.
= DEBUG uses a simple random number generator with a constant seed. Since the same sequence of random numbers is generated during each job, it is useful for debugging.
= RAND1 uses the simple random number generator used in DEBUG, but with a variable seed.
= RAND3 uses a more sophisticated random number generator described in Numerical Recipes, with a variable seed (default).

IFXFRG = array whose length is the number of fragments. It allows one or more fragments to be fixed

during the simulation.
=0 allows the fragment to move during the run
=1 fixes the fragment
For example, IFXFRG(3)=1 would fix the third
fragment, the default is IFXFRG(1)=0,0,0,...,0

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\$GRADEX group (optional, for RUNTYP=GRADEXTR)

This group controls the gradient extremal following algorithm. The GEs leave stationary points parallel to each of the normal modes of the hessian. Sometimes a GE leaving a minimum will find a transition state, and thus provides us with a way of finding that saddle point. GEs have many unusual mathematical properties, and you should be aware that they normally differ a great deal from IRCs.

The search will always be performed in cartesian coordinates, but internal coordinates along the way may be printed by the usual specification of NZVAR and \$ZMAT.

METHOD = algorithm selection.

SR A predictor-corrector method due to Sun and Ruedenberg (default).

JJH A method due to Jorgensen, Jensen and Helgaker.

NSTEP = maximum number of predictor steps to take.
(default=50)

DPRED = the stepsize for the predictor step.
(default = 0.10)

STPT = a flag to indicate whether the initial geometry is considered a stationary point. If .TRUE., the geometry will be perturbed by STSTEP along the IFOLLOW normal mode.
(default = .TRUE.)

STSTEP = the stepsize for jumping away from a stationary point. (default = 0.01)

IFOLLOW = Mode selection option. (default is 1)
If STPT=.TRUE., the initial geometry will be perturbed by STSTEP along the IFOLLOW normal mode. Note that IFOLLOW can be positive or negative, depending on the direction the normal mode should be followed in. The positive direction is defined as the one where the largest component of the Hessian eigenvector is positive.

If STPT=.FALSE. the sign of IFOLLOW determines which direction the GE is followed in. A positive value will follow the GE in the uphill direction. The value of IFOLLOW should be set to the Hessian mode which is parallel to the gradient to avoid miscellaneous warning messages.

GOFIRST = a flag to indicate whether the algorithm should attempt to locate a stationary point. If .TRUE., a straight NR search is performed once the NR step length drops below SNRMAX. 10 NR step are other allowed, a value which cannot be changed. (default = .TRUE.)

SNRMAX = upper limit for switching to straight NR search for stationary point location. (default = 0.10 or DPRED, whichever is smallest)

OPTTOL = gradient convergence tolerance, in Hartree/Bohr. Used for optimizing to a stationary point. Convergence of a geometry search requires the rms gradient to be less than OPTTOL. (default=0.0001)

HESS = selection of the initial hessian matrix, if STPT=.TRUE.
= READ causes the hessian to be read from a \$HESS group.
= CALC causes the hessian to be computed. (default)

---- the next parameters apply only to METHOD=SR ----

DELCOR = the corrector step should be smaller than this value before the next predictor step is taken. (default = 0.001)

MYSTEP = maximum number of micro iteration allowed to bring the corrector step length below DELCOR. (default=20)

SNUMH = stepsize used in the numerical differentiation of the Hessian to produce third derivatives. (default = 0.0001)

HSDFDB = flag to select determination of third derivatives. At the current geometry we need the gradient, the Hessian, and the partial third derivative matrix in the gradient direction.

If `.TRUE.`, the gradient is calculated at the current geometry, and two Hessians are calculated at SNUMH distance to each side in the gradient direction. The Hessian at the geometry is formed as the average of the two displaced Hessians.

If `.FALSE.`, both the gradient and Hessian are calculated at the current geometry, and one additional Hessian is calculated at SNUMH in the gradient direction.

The default double-sided differentiation produces a more accurate third derivative matrix, at the cost of an additional wave function and gradient. (default = `.TRUE.`)

```
=====
* * * * *
See the 'further information' section
for some help with GRADEXTR runs.
* * * * *
```

=====

\$SURF group (relevant for RUNTYP=SURFACE)

This group allows you to probe a potential energy surface along a small grid of points. Note that there is no option to vary angles, only distances. The scan can be made for any SCFTYP, or for the MP2 or CI surface. You may specify two rather different calculations to be done at each point on the grid, through the RUNTYPn, SCFTYPn, and electron correlation keywords.

* * * below, 1 and 2 refer to different calculations * * *

RUNTP1,RUNTYP2 = some RUNTYP supported in \$CONTRL
First RUNTYP=RUNTP1 and then RUNTYP=RUNTP2 will be performed, for each point on the grid. The second run is omitted if RUNTP2 is set to NONE.
default: RUNTP1=ENERGY RUNTP2=NONE

SCFTP1,SCFTP2 = some SCFTYP supported in \$CONTRL
default: SCFTYP in \$CONTRL

CITYP1,CITYP2 = some CITYP supported in \$CONTRL
default: CITYP in \$CONTRL

MPLEV1,MPLEV2 = some MPLEVL supported in \$CONTRL
default: MPLEVL in \$CONTRL

CCTYP1,CCTYP2 = some CCTYP supported in \$CONTRL
default: CCTYP in \$CONTRL

DFTYP1,DFTYP2 = some DFTTYP supported in \$DFT
default: DFTTYP in \$DFT

You may need to help by giving values in \$CONTRL that will permit the program to estimate what is coming in the values here. For example, if you want to request Hessians here, it may be good to give RUNTYP=HESSIAN in \$CONTRL so that in its earliest stages of a job, the program can initialize for 2nd derivatives. There is less checking here than on \$CONTRL input, so don't request something impossible such as two correlation methods simultaneously, or analytic Hessians for MP2, or other things that are impossible.

* * * below, 1 and 2 refer to different coordinates * * *

IVEC1 = an array of two atoms, defining a coordinate from the first atom given, to the second.

IGRP1 = an array specifying a group of atoms, which must include the second atom given in IVEC1. The entire group will be translated (rigidly) along the vector IVEC1, relative to the first atom given in IVEC1.

ORIG1 = starting value of the coordinate, which may be positive or negative. Zero corresponds to the distance given in \$DATA.

DISP1 = step size for the coordinate. If DISP1 is set to zero, then the keyword GRID1 is read.

NDISP1 = number of steps to take for this coordinate.

GRID1 = an array of grid points at which to compute the energy. This option is an alternative to the ORIG1, DISP1 input which produces an equidistant grid. To use GRID1, one has to set DISP1=0.0. The number of grid points is given in NDISP1, and is limited to at most 100 grid points. The input of GRID1(1)=ORIG1,ORIG1+DISP1,ORIG1+DISP1*2,... would reproduce an equidistant grid given by ORIG1 and DISP1.

ORIG1, DISP1, and GRID1 should be given in Angstrom. There are no reasonable defaults for these keywords.

IVEC2, IGRP2, ORIG2, DISP2, NDISP2, GRID2 have the same meaning as their "1" counterparts, and permit you to make a two dimensional map along two displacement coordinates. If the "2" data are not input, the surface map proceeds in only one dimension.

=====

=====

\$LOCAL group (relevant if LOCAL=RUEDNBRG, BOYS, or POP)

This group allows input of additional data to control the localization methods. If no input is provided, the valence orbitals will be localized as much as possible, while still leaving the wavefunction invariant. There are many specialized options for Localized Charge Distribution analysis, and for EFP generation.

N.B. Since Boys localization needs the dipole integrals, do not turn off dipole moment calculation in \$ELMOM.

MAXLOC = maximum number of localization cycles. This applies to BOYS or POP methods only. If the localization fails to converge, a different order of 2x2 pairwise rotations will be tried. (default=250)

CVGLOC = convergence criterion. The default provides LMO coefficients accurate to 6 figures. (default=1.0E-6)

SYMLOC = a flag to restrict localization so that orbitals of different symmetry types are not mixed. This option is not supported in all possible point groups. The purpose of this option is to give a better choice for the starting orbitals for GVB-PP or MCSCF runs, without destroying the orbital's symmetry. This option is compatible with each of the 3 methods of selecting the orbitals to be included. If chosen in a run requesting VVOS (see \$SCF), occupied and virtual orbitals will also not be permitted to mix in a localization of these two separate orbital spaces. (default=.FALSE.)

ORIENT = a flag to request orientation of the localized orbitals for bond-order analysis. After the localization, the orbitals on each atom are rotated only among themselves, in order to direct the orbitals towards neighboring atom's orbitals, to which they are bonded. The density matrix, or bond-order matrix, of these Oriented LMOs is readily interpreted as atomic populations and

bond orders. This option can be used only for SCFTYP=MCSCF and LOCAL=RUEDENBURG.
(default=.FALSE.)

PRTLLOC = a flag to control supplemental printout. The extra output is the rotation matrix to the localized orbitals, and, for the Boys method, the orbital centroids, for the Ruedenberg method, the coulomb and exchange matrices, for the population method, atomic populations.
(default=.FALSE.)

----- The following keywords select the orbitals which are to be included in the localization. You may select from FCORE, NOUTA/NOUTB, or NINA/NINB, but may choose only one of these three groups.

FCORE = flag to freeze all the chemical core orbitals present. All the valence orbitals will be localized. You must explicitly turn this option off to choose one of the other two orbital selection options. (default=.TRUE.)

* * *

NOUTA = number of alpha orbitals to hold fixed in the localization. (default=0)

MOOUTA = an array of NOUTA elements giving the numbers of the orbitals to hold fixed. For example, the input NOUTA=2 MOOUTA(1)=8,13 will freeze only orbitals 8 and 13. You must enter all the orbitals you want to freeze, including any cores. This variable has nothing to do with cows.

NOUTB = number of beta orbitals to hold fixed in -UHF- localizations. (default=0)

MOOUTB = same as MOOUTA, except that it applies to the beta orbitals, in -UHF- wavefunctions only.

* * *

NINA = number of alpha orbitals which are to be

included in the localization. (default=0)

MOINA = an array of NINA elements giving the numbers of the orbitals to be included in the localization. Any orbitals not mentioned will be frozen.

NINB = number of -UHF- beta MOs in the localization. (default=0)

MOINB = same as MOINA, except that it applies to the beta orbitals, in -UHF- wavefunctions only.

ORMFUL = this flag is relevant only to CISTEP=ORMAS MCSCF localizations. By default, the localization is restricted such that the multiple active spaces are not mixed, leaving the total wavefunction invariant. It may be used to localize within the full range of active MOs. (Default is .FALSE.)

----- The following keywords are used for the localized charge distribution (LCD), a decomposition scheme for the energy, or multipole moments, or the first polarizability. See also LOCHYP in \$FFCALC for the decomposition of hyperpolarizabilities.

EDCOMP = flag to turn on LCD energy decomposition.
Note that this method is currently implemented for SCFTYP=RHF and ROHF and LOCAL=RUEDNBRG only. The SCF LCD forces all orbitals to be localized, overriding input on the previous page. See also LMOMP2 in the \$MP2 group. (default = .FALSE.)
\$LOCAL

MOIDON = flag to turn on LMO identification and subsequent LMO reordering, and assign nuclear LCD automatically. (default = .FALSE.)

DIPDCM = flag for LCD molecular dipole decomposition. (default = .FALSE.)

QADDCM = flag for LCD molecular quadrupole decomposition. (default = .FALSE.)

- POLDCM = flag to turn on LCD polarizability decomposition. This method is implemented for SCFTYP=RHF or ROHF and LOCAL=BOYS or RUEDNBRG. (default=.FALSE., except that RUNTYP=MAKEFP turns this computation on, automatically. LMO dipole polarizabilities are the polarizability term in the EFP model)
- POLNUM = flag to forces numerical rather than analytical calculation of the polarizabilities. This may be useful in larger molecules. The numerical polarizabilities of bonds in or around aromatic rings sometimes are unphysical. (default=.FALSE.) See D.R.Garmer, W.J.Stevens
J.Phys.Chem. 93, 8263-8270(1989).
- POLAPP = flag to force calculation of the polarizabilities using a perturbation theory expression. This may be useful in larger molecules. (default=.FALSE.) See R.M. Minikis, V. Kairys, J.H. Jensen
J.Phys.Chem.A 105, 3829-3837(2001)
- POLANG = flag to choose units of localized polarizability output. The default is Angstroms**3, while false will give Bohr**3. (default=.TRUE.)
- ZDO = flag for LCD analysis of a composite wavefunction, given in a \$VEC group of a van der Waals complex, using the zero differential overlap approximation. The MOs are not orthonormalized and the inter-molecular electron exchange energy is neglected. Also, the molecular overlap matrix is printed out. This is a very specialized option. (default = .FALSE.)
- The following keywords can be used to define the nuclear part of an LCD. They are usually used to rectify mistakes in the automatic definition made when MOIDON=.TRUE. The index defining the LMO number then refers to the reordered list of LMOs.
- NMOIJ = array giving the number of nuclei assigned to a particular LMO.
- IJMO = is an array of pairs of indices (I,J), giving

the row (nucleus I) and column (orbital J)
index of the entries in ZIJ and MOIJ.

MOIJ = arrays of integers K, assigning nucleus K as the
site of the Ith charge of LCD J.

ZIJ = array of floating point numbers assigning a
charge to the Ith charge of LCD J.

IPROT = array of integers K, defining nucleus K as a
proton.

DEPRNT = a flag for additional decomposition printing,
such as pair contributions to various energy
terms, and centroids of the Ruedenberg orbitals.
(default = .FALSE.)

----- The following keywords are used to build large EFPs
from several RUNTYP=MAKEFP runs on smaller molecular
fragments, by excluding common regions of overlap.
For example, an EFP for n-octanol can be build from
two MAKEFP runs, on n-pentane and n-pentanol,
CH3CH2CH2CH2-CH2CH2CH2CH2OH
CH3CH2CH2CH2[-CH3]
[CH3]-CH2CH2CH2CH2OH
by excluding overlapping regions shown in brackets
from the two EFPs. See J.Phys.Chem.A 105, 3829-3837,
(2001) for more information.

NOPATM = array of atoms that define an area to be excluded
from a DMA (\$STONE) during a RUNTYP=MAKEFP run.
All atomic centers specified, and the midpoints
of any bonds to them, are excluded as expansion
points. The density due to all LMOs primarily
centered on these atoms are excluded from the DMA
(see also KMIDPT). Furthermore, polarizability
tensors for these LMOs are excluded.

KPOINT = array of "boundary atoms", those atoms that are
covalently bonded to the atoms given in NOATM.

KMIDPT = flag to indicate whether the density due to bond
LMOs (and associated expansion points) between
the NOPATM atoms and the KPOINT atoms are to be

included in the DMA. (default = .TRUE.)

NODENS = an array that specifies the atoms for which the associated electronic density will be removed before the multipole expansion. This provides an EFP with net integer charge. (P.A.Molina, H.Li, J.H.Jensen J.Comput.Chem. 24, 1972-1979(2003).

The following keywords relate to the computation of imaginary frequency dynamic polarizabilities. This is useful in the development of the dispersion energy formula in the EFP2 model, but may also be computed separately, if wished.

POLDYN = a flag to compute imaginary frequency dynamic polarizabilities. (default=.FALSE., but .TRUE. if RUNTYP=MAKEFP)

NDPFRQ = number of imaginary frequencies to compute. Default=1 for most runs, but=12 if RUNTYP=MAKEFP.

DPFREQ = an array of imaginary frequencies to be used, entered as real numbers (absolute values). The default=0.0 for most runs, which is silly, because this just computes the normal static dipole polarizability! For RUNTYP=MAKEFP, the program uses 12 internally stored values, which serve as the roots for a Gauss-Legendre quadrature to extract the C6 dispersion coefficients. Given in atomic units.

For more information, see
I.Adamovic, M.S.Gordon Mol.Phys. 103, 379-387(2005).

```
=====
* * * * *
For hints about localizations, and
the LCD energy decomposition, see
the 'further information' section.
* * * * *
```

\$TWOEI group (relevant for EDCOMP=.TRUE. in \$LOCAL)

Formatted transformed two-electron Coulomb and Exchange integrals as punched during a LOCAL=RUEDNBRG run. If this group is present it will automatically be read in during such a run and the two-electron integrals do not have to be re-transformed. This group is especially useful for EDCOMP=.TRUE. runs when the localization has to be repeated for different definitions of nuclear LCDs.

=====

=====

\$STRUNCN group (optional, relevant for RHF)

This group controls the truncation of some of the localized orbitals to just the AOs on a subset of the atoms. This option is particularly useful to generate localized orbitals to be frozen when the effective fragment potential is used to partition a system across a chemical bond. In other words, this group prepares the frozen buffer zone orbitals. This group should be used in conjunction with RUNTYP=ENERGY (or PROP if the orbitals are available) and either LOCAL=RUEDNBRG or BOYS, with MOIDON set in \$LOCAL.

- DOPROJ = flag to activate MO projection/truncation, the default is to skip this (default=.FALSE.)
- AUTOID = forces identification of MOs (analogous to MOIDON in \$LOCAL). This keyword is provided in case the localized orbitals are already present in \$VEC, in which case this is a faster RUNTYP=PROP with LOCAL=NONE job. Obviously, GUESS=MOREAD. (default=.FALSE.)
- PLAIN = flag to control the MO tail truncation. A value of .FALSE. uses corresponding orbital projections, H.F.King, R.E.Stanton, H.Kim, R.E.Wyatt, R.G.Parr J. Chem. Phys. 47, 1936-1941(1967) and generates orthogonal orbitals. A value of .TRUE. just sets the unwanted AOs to zero, so the resulting MOs need to go through the automatic orthogonalization step when MOREAD in the next job. (default=.FALSE.)
- IMOPR = an array specifying which MOs to be truncated. In most cases involving normal bonding, the options MOIDON or AUTOID will correctly identify all localized MOs belonging to the atoms in the zone being truncated. However, you can inspect the output, and give a list of all MOs which you want to be truncated in this array, in case you feel the automatic assignment is incorrect. Any orbital not in the truncation set, whether this is chosen automatically or by IMOPR, is left

completely unaltered.

- - -

There are now two ways to specify what orbitals are to be truncated. The most common usage is for preparation of a buffer zone for QM/MM computations, with an Effective Fragment Potential representing the non-quantum part of the system. This input is NATAB, NATBF, ICAPFR, ICAPBF, in which case the \$DATA input must be sorted into three zones. The first group of atoms are meant to be treated in later runs by full quantum mechanics, the second group by frozen localized orbitals as a 'buffer', and the third group is to be substituted later by an effective fragment potential (multipoles, polarizabilities, ...). Note that in the DOPROJ=.TRUE. run, all atoms are still quantum atoms.

NATAB = number of atoms to be in the 'ab initio' zone.

NATBF = number of atoms to be in the 'buffer' zone.
The program can obtain the number of atoms in the remaining zone by subtraction, so it need not be input.

In case the MOIDON or AUTOID options lead to confused assignments (unlikely in ordinary bonding situations around the buffer zone), there are two fine tuning values.

ICAPFR = array indicating the identity of "capping atoms" which are on the border between the ab initio and buffer zones (in the ab initio zone).

ICAPBK = array indicating the identity of "capping atoms" which are on the border between the buffer and EFP zones (in the effective fragment zone).

See also IXCORL and IXLONE below.

- - -

In case truncation seems useful for some other purpose, you can specify the atoms in any order within the \$DATA group, by the IZAT/ILAT approach. You are supposed to give only one of these two lists, probably whichever is

shorter:

IZAT = an array containing the atoms which are NOT in the buffer zone.

ILAT = an array containing the atoms which are in the buffer zone.

The A0 coefficients of the localized orbitals present in the buffer zone which lie on atoms outside the buffer will be truncated.

See also IXCORL and IXLONE below.

- - -

The next two values let you remove additional orbitals within the buffer zone from the truncation process, if that is desirable. These arrays can only include atoms that are already in the buffer zone, whether this was defined by NATBF, or IZAT/ILAT. The default is to include all core and lone pair orbitals, not just bonding orbitals, as the buffer zone orbitals.

IXCORL = an array of atoms whose core and lone pair orbitals are to be considered as not belonging to the buffer zone orbitals.

IXLONE = an array of atoms for which only the lone pair orbitals are to be considered as not belonging to the buffer zone orbitals.

The final option controls output of the truncated orbitals to file PUNCH for use in later runs:

NPUNOP = punch out option for the truncated orbitals
= 1 the MOs are not reordered.
= 2 punch the truncated MOs as the first vectors in the \$VEC MO set, with untransformed vectors following immediately after. (default)

=====

\$ELMOM group (not required)

This group controls electrostatic moments calculation.
The symmetry properties of multipoles are discussed in
A.Gelessus, W.Thiel, W.Weber
J.Chem.Ed. 72, 505-508(1995)

IEMOM = 0 - skip this property
1 - calculate monopole and dipole (default)
2 - also calculate quadrupole moments
3 - also calculate octopole moments

WHERE = COMASS - center of mass (default)
NUCLEI - at each nucleus
POINTS - at points given in \$POINTS.

OUTPUT = PUNCH, PAPER, or BOTH (default)

IEMINT = 0 - skip printing of integrals (default)
1 - print dipole integrals
2 - also print quadrupole integrals
3 - also print octopole integrals
-2 - print quadrupole integrals only
-3 - print octopole integrals only

The quadrupole and octopole tensors on the printout
are formed according to the definition of Buckingham.
Caution: only the first nonvanishing term in the multi-
pole charge expansion is independent of the coordinate
origin chosen, which is normally the center of mass.

=====
\$ELPOT group (not required)

This group controls electrostatic potential calculation.

IEPOT = 0 skip this property (default)
1 calculate electric potential

WHERE = COMASS - center of mass
NUCLEI - at each nucleus (default)
POINTS - at points given in \$POINTS
GRID - at grid given in \$GRID
PDC - at points controlled by \$PDC.

OUTPUT = PUNCH, PAPER, BOTH, or NONE (default)

This property is the electrostatic potential $V(a)$ felt by a test positive charge, due to the molecular charge density, of both nuclei and electrons. If there is a nucleus at the evaluation point, that nucleus is ignored, avoiding a singularity. If this property is evaluated at the nuclei, it obeys the equation

$$\sum_{\text{on nuclei}(a)} Z(a)*V(a) = 2*V(nn) + V(ne).$$

The electronic portion of this property is called the diamagnetic shielding.

=====

\$ELDENS group (not required)

This group controls electron density calculation.

IEDEN = 0 skip this property (default)
 = 1 compute the electron density.

MORB = The molecular orbital whose electron density is
 to be computed. If zero, the total density is
 computed. (default=0)

WHERE = COMASS - center of mass
 NUCLEI - at each nucleus (default)
 POINTS - at points given in \$POINTS
 GRID - at grid given in \$GRID

OUTPUT = PUNCH, PAPER, or BOTH (default)

IEDINT = 0 - skip printing of integrals (default)
 1 - print the electron density integrals

\$ELFLDG group (not required)

This group controls electrostatic field and electric field gradient calculation.

IEFLD = 0 - skip this property (default)
1 - calculate field
2 - calculate field and gradient

WHERE = COMASS - center of mass
NUCLEI - at each nucleus (default)
POINTS - at points given in \$POINTS

OUTPUT = PUNCH, PAPER, or BOTH (default)

IEFINT = 0 - skip printing these integrals (default)
1 - print electric field integrals
2 - also print field gradient integrals
-2 - print field gradient integrals only

The Hellman-Feynman force on a nucleus is the nuclear charge multiplied by the electric field at that nucleus. The electric field is the gradient of the electric potential, and the field gradient is the hessian of the electric potential. The components of the electric field gradient tensor are formed in the conventional way, i.e. see D.Neumann and J.W.Moskowitz.

\$POINTS group (not required)

This group is used to input points at which properties will be computed. This first card in the group must contain the string ANGS or BOHR, followed by an integer NPOINT, the number of points to be used. The next NPOINT cards are read in free format, containing the X, Y, and Z coordinates of each desired point.

\$GRID group (not required)

This group is used to input a grid (plane through the molecule) on which properties will be calculated.

ORIGIN(i) = coordinates of the lower left corner of the plot.
XVEC(i) = coordinates of the lower right corner of the plot.
YVEC(i) = coordinates of the upper left corner of the plot.
SIZE = grid increment, default is 0.25.
UNITS = units of the above four values, it can be either BOHR or ANGS (the default).

Note that XVEC and YVEC are not necessarily parallel to the X and Y axes, rather they are the axes which you desire to see plotted by the MEPMAP contouring program.

* * * * *
For conversion factors, and references
see the 'further information' section.
* * * * *

=====

\$PDC group (relevant if WHERE=PDC in \$ELPOT)

This group determines the points at which to compute the electrostatic potential, for the purpose of fitting atomic charges to this potential. Constraints on the fit which determines these "potential determined charges" can include the conservation of charge, the dipole, and the quadrupole.

- PTSEL = determines the points to be used, choose
 GEODESIC to use a set of points on several fused
 sphere van der Waals surfaces, with points
 selected using an algorithm due to Mark
 Spackman. The results are similar to those
 from the Kollman/Singh method, but are
 less rotation dependent. (default)
 CONNOLLY to use a set of points on several fused
 sphere van der Waals surfaces, with points
 selected using an algorithm due to Michael
 Connolly. This is identical to the method
 used by Kollman & Singh (see below)
 CHELPG to use a modified version of the CHELPG
 algorithm, which produces a symmetric
 grid of points for a symmetric molecule.
- CONSTR = NONE - no fit is performed. The potential at
 the points is instead output according
 to OUTPUT in \$ELPOT.
- CHARGE - the sum of fitted atomic charges is
 constrained to reproduce the total
 molecular charge. (default)
- DIPOLE - fitted charges are constrained to
 exactly reproduce the total charge
 and dipole.
- QUPOLE - fitted charges are constrained to
 exactly reproduce the charge, dipole,
 and quadrupole.

Note: the number of constraints cannot exceed the number of parameters, which is the number of nuclei. Planar molecules afford fewer constraint equations, namedly two dipole constraints and three quadrupole constraints,

instead of three and five, respectively.

* * the next 5 pertain to PTSEL=GEODESIC or CONNOLLY * *

VDWSCL = scale factor for the first shell of VDW spheres.
The default of 1.4 seems to be an empirical best value. Values for VDW radii for most elements up to Z=36 are internally stored.

VDWINC = increment for successive shells (default = 0.2).
The defaults for VDWSCL and VDWINC will result in points chosen on layers at 1.4, 1.6, 1.8 etc times the VDW radii of the atoms.

LAYER = number of layers of points chosen on successive fused sphere VDW surfaces (default = 4)

Note: RUNTYP=MAKEFP's screening calculation changes the defaults to VDWSCL=0.5 or 0.8 depending on the type of Stone analysis, VDWINC=0.1, LAYER=25, and MAXPDC=100,000.

NFREQ = flag for particular geodesic tessellation of points. Only relevant if PTSEL=GEODESIC.
Options are:
(10*h + k) for {3,5}h,k tessellations
-(10*h + k) for {5,3}h,k tessellations
Of course both nh and nk must be less than 10, so NFREQ must lie within the range -99 to 99.
The default value is NFREQ=30 (=03)

PTDENS = density of points on the surface of each scaled VDW sphere (in points per square au). Relevant if PTSEL=CONNOLLY. Default=0.28 per au squared, which corresponds to 1.0 per square Angstrom, the default recommended by Kollman & Singh.

* * * the next two pertain to PTSEL=CHELPG * * *

RMAX = maximum distance from any point to the closest atom. (default=3.0 Angstroms)

DELR = distance between points on the grid. (default=0.8 Angstroms)

MAXPDC = an estimate of the total number of points whose electrostatic potential will be included in the fit. (default=10000)

CENTER = an array of coordinates at which the moments were computed.

DPOLE = the molecular dipole.

QPOLE = the molecular quadrupole.

PDUNIT = units for the above values. ANGS (default) will mean that the coordinates are in Angstroms, the dipole in Debye, and quadrupole in Buckingham's. BOHR implies atomic units for all 3.

Note: it is easier to compute the moments in the current run, by setting IEMOM to at least 2 in \$ELMOM. However, you could fit experimental data, for example, by reading it in here.

=====

There is no unique way to define fitted atomic charges. Smaller numbers of points at which the electrostatic potential is fit, changes in VDW radii, asymmetric point location, etc. all affect the results. A useful bibliography is

U.C.Singh, P.A.Kollman, J.Comput.Chem. 5, 129-145(1984)
L.E.Chirlain, M.M.Francl, J.Comput.Chem. 8, 894-905(1987)
R.J.Woods, M.Khalil, W.Pell, S.H.Moffatt, V.H.Smith,
J.Comput.Chem. 11, 297-310(1990)
C.M.Breneman, K.B.Wiberg, J.Comput.Chem. 11, 361-373(1990)
K.M.Merz, J.Comput.Chem. 13, 749(1992)
M.A.Spackman, J.Comput.Chem. 17, 1-18(1996)

Start your reading with the last paper shown.

=====

\$RADIAL group (relevant only to atoms)

This input data governs the computation of radial expectation values $\langle r \rangle$ and $\langle r^2 \rangle$ for atomic orbitals. The atomic wavefunctions can be any SCFTYP except UHF. The atomic calculation should preserve radial degeneracy in p, d, or f shells, so UHF is not allowed, and furthermore, many atoms will require GVB or MCSCF inputs (see the 'Further References' section about doing atomic SCF). It is OK to use core potentials (MCP or ECP) or to apply scalar relativistic effects, so long as the calculation preserves degeneracy $2l+1$ in every occupied shell.

One should keep in mind that there is some arbitrariness in how different SCFTYPs canonicalize orbitals, so that individual orbitals may vary, for exactly the same total wavefunction. For example, ROHF orbitals within the doubly occupied set of orbitals change as a function of the A and B canonicalization inputs (see 'Further References'). Similar comments apply to orbitals from GVB or MCSCF.

It is recommended that you do two runs, first to check if radial degeneracy is maintained (equal eigenvalues for all three p, or all five d orbitals). This preliminary run will help count which orbitals lie in degenerate shells, for MEMSH below. The quality of the numerical radial integration can be assessed from its closeness to 1.0. Radial wavefunctions can be printed, as an option. There are no defaults provided for the first three keywords, which are required inputs, if this group is given.

NSHELL - number of atomic shells to be computed

IDEGSH - an array of NSHELL values, giving the degeneracy of each shell (1, 3, 5, or 7)

MEMSH - an array containing the sum of all IDEGSH values, listing the members of each shell.

RMAX - maximum radius to be considered, in Bohr. The default is most appropriate for valence orbitals, which for bottom row elements may extend to five Angstroms (default=10.0). Inner shell orbitals

may require input of a smaller RMAX, to move some of the tick marks closer to the nucleus.

NTICKS - radial increment is RMAX/NTICKS, so the default step size is 0.01 Bohr (default NTICKS=1001)

PRTRAD - flag to print each shell's radial wavefunction at every radial tick mark (default is .FALSE.)

The following example uses a basis that is too small to be converged, printing radial expectation values for manganese as 1s=0.0615, 3p=0.9156, 4s=3.4027, and 3d=1.1095:

```
$contrl scftyp=roh mult=6 ispher=1 $end
$guess  guess=huckel norder=1
        iorder(10)=15,10,11,12,13,14 $end
$basis  gbasis=n31 ngauss=6 $end
$scf    rstrct=.true. $end
$radial nshell=4 idegsh(1)=1,3,1,5
        memsh(1)=1, 7,8,9, 10, 11,12,13,14,15 $end

$data
Mn atom...(4s)2(3d)5...6-S...spherical harmonics
Dnh 2

Mn 25.0
$end
```

=====

\$MOLGRF group (relevant only if you have MOLGRAPH)

This option provides an interface for viewing orbitals through a commercial package named MOLGRAPH, from Daikin Industries. Note that this option uses three disk files which are not defined in the GAMESS execution scripts we provide, since we don't use MOLGRAPH ourselves. You will need to define files 28, 29, 30, as generic names PRGRID, COGRID, MOGRID, of which the latter is passed to MOLGRAPH.

GRID3D = a flag to generate 3D grid data.
(default is .false.).

TOTAL = a flag to generate a total density grid data.
"Total" means the sum of the orbital densities given by NPLT array. (default is .false.).

MESH = numbers of grids. You can use different numbers for three axes. (default is MESH(1)=21,21,21).

BOUND = boundary coordinates of a 3D graphical cell. The default is that the cell is larger than the molecular skeleton by 3 bohr in all directions.
E.g., BOUND(1)=xmin,xmax,ymin,ymax,zmin,zmax

NPLOTS = number of orbitals to be used to generate 3D grid data. (default is NPLOTS=1).

NPLT = orbital IDs. The default is 1 orbital only, the HOMO or SOMO. If the LOCAL option is given in \$CONTRL, localized orbital IDs should be given.
For example, NPLT(1)=n1,n2,n3,...

CHECK = debug option, printing some of the grid data.

If you are interested in graphics, look at the GAMESS web page for information about other graphics packages with GAMESS, particularly MacMolPlt and Avogadro, both are available for all common desktop operating systems.

\$STONE group (optional)

This group defines the expansion points for Stone's distributed multipole analysis (DMA) of the electrostatic potential.

The DMA takes the multipolar expansion of each overlap charge density defined by two Gaussian primitives, and translates it from the center of charge of the overlap density to the nearest expansion point. Some references for the method are

A.J.Stone Chem.Phys.Lett. 83, 233-239 (1981)
A.J.Stone, M.Alderton Mol.Phys. 56, 1047-1064(1985)
A.J.Stone J.Chem.Theory and Comput. 1, 1128-1132(2005)

The existence of a \$STONE group in the input is what triggers the analysis. The first set of lines must appear as the first line after \$STONE (enter a blank line if you make no choice), then enter as many choices as you wish, in any order, from the other sets.

BIGEXP <value> exponents larger than this are treated by the original Stone expansion, and those smaller by a numerical integration. The default is 0.0, meaning no numerical grid. The other parameters are meaningless if BIGEXP remains zero.

NRAD <nrad> number of radial grid points (default 100)
NANG <nang> number of angular grid points, choose one of the Lebedev grid values (default 590)
SMOOTH <nbecke> degree of Becke smoothing (default=2)
SMRAD <nbckrd> Radii choice, 0=constant, 1=Bragg-Slater, which is the default.

ATOM i name, where

ATOM is a keyword indicating that a particular

atom is selected as an expansion center.
i is the number of the atom
name is an optional name for the atom. If not entered the name will be set to the name used in the \$DATA input.

ATOMS is a keyword selecting all nuclei in the molecule as expansion points. No other input on the line is necessary.

BONDS is a keyword selecting all bond midpoints in the molecule as expansion points. No other input on the line is necessary.

BOND i j name, where

BOND is a keyword indicating that a bond midpoint is selected as an expansion center.
i,j are the indices of the atoms defining the bond, corresponding to two atoms in \$DATA.
name is an optional name for the bond midpoint. If omitted, it is set to 'BOND'.

CMASS is a keyword selecting the center of mass as an expansion point. No other input on the line is necessary.

POINT x y z name, where

POINT is a keyword indicating that an arbitrary point is selected as an expansion point.
x,y,z are the coordinates of the point, in Bohr.
name is an optional name for the expansion point. If omitted, it is set to 'POINT'.

While making the EFPs for QM/MM run, a single keyword QMMMBUF is necessary. Adding additional keywords may lead to meaningless results. The program will automatically select atoms and bond midpoints which are outside the buffer zone as the multipole expansion points.

QMMMBUF nmo, where

QMMMBUF is a keyword specifying the number of QM/MM buffer molecular orbitals, which must be the first NMO orbitals in the MO set. These orbitals must be frozen in the buffer zone, so this is useful only if \$MOFRZ is given.

NMO is the number of buffer MO-s (if NMO is omitted, it will be set to the number of frozen MOs in \$MOFRZ)

=====

The second and third moments on the printout can be converted to Buckingham's tensors by formula 9 of A.D.Buckingham, Quart.Rev. 13, 183-214 (1959) These can in turn be converted to spherical tensors by the formulae in the appendix of S.L.Price, et al. Mol.Phys. 52, 987-1001 (1984)

\$RAMAN group

(relevant for all SCFTYPs)

This input controls the computation of Raman intensity by the numerical differentiation procedure of Komornicki and others. It is applicable to any wavefunction for which the analytic gradient is available, including some MP2 and CI cases. The calculation involves the computation of 19 nuclear gradients, one without applied electric fields, plus 18 no symmetry runs with electric fields applied in various directions. The numerical second differencing produces intensity values with 2-3 digits of accuracy.

This run must follow an earlier RUNTYP=HESSIAN job, and the \$GRAD and \$HESS groups from that first job must be given as input. If the \$DIPDR is computed analytically by this Hessian job, it too may be read in, if not, the numerical Raman job will evaluate \$DIPDR. Once the data from the 19 applied fields is available, the \$ALPDR tensor is evaluated. Then the nuclear derivatives of the dipole moment and alpha polarizability will be combined with the normal coordinate information to produce the IR and Raman intensity of each mode.

To study isotopic substitution speedily, input the \$GRAD, \$HESS, \$DIPDR, and \$ALPDR groups along with the desired atomic masses in \$MASS.

The code does not permit semi-empirical or solvation models to be used.

EFIELD = applied electric field strength. The literature suggests values in the range 0.001 to 0.005.
(default = 0.002 a.u.)

\$ALPDR group (relevant for RUNTYP=RAMAN or HESSIAN)

Formatted alpha derivative tensor, punched by a previous RUNTYP=RAMAN job. If both \$DIPDR and this group are found in the input file, the applied field computation will be skipped, to immediately evaluate IR and Raman intensities.

If this group is found during RUNTYP=HESSIAN, the Raman intensities will be added to the output. You might want to run as RUNTYP=HESSIAN instead of RUNTYP=RAMAN in order to have access to PROJCT or the other options available in the \$FORCE group.

=====

\$NMR group (optional, relevant if RUNTYP=NMR)

This group governs the analytic computation of the NMR shielding tensor for each nucleus, using the Gauge Invariant Atomic Orbital (GIAO) method, also known as London orbitals. The most useful input values are the first three printing options. The wavefunction must be RHF, the atomic basis set may be spdfg, the EFP model may be used to include solvent effects, and the McMurchie-Davidson integrals used are not fast.

ANGINT = a flag to control the evaluation of the perturbed two-electron integrals by increasing the angular momentum on the unperturbed 2e- integrals. With this selected, only two passes through the 2e-NMR integral code are needed. Otherwise, six slow passes are needed, and option meant only for debugging purposes. (default=.TRUE.)

INMEM A flag to carry all integrals in memory. If selected, the calculation will require several multiples of NAO**4. By default, the calculation will require space on the order of NATOMS*NAO**2, where NAO is the basis set dimension. This is useful for debugging. (default=.FALSE.)

The rest are print flags, in increasing order of the amount of output created, as well as decreasing order of interest. The default for all of these options is .FALSE.

PDIA Print diamagnetic term of the shielding tensor.

PPARA Print paramagnetic term of the shielding tensor.

PEVEC Print eigenvectors of asymmetric shielding tensor.

PITER Print iteration data for the formation of the three first-order density matrices.

PRMAT Print the three first-order perturbed density matrices, the three first-order H matrices for

each nucleus, the unperturbed density matrix, and the nine second-order H matrices for each nucleus.

POEINT Print all one-electron integrals.

PTEINT Print the perturbed two-electron integrals.

TEDBG Print VAST amounts of debugging information for the McMurchie-Davidson two-electron integrals. Should only be used for the smallest test jobs.

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\$MOROKM group (relevant if RUNTYP=EDA)

This performs an analysis of the energy contributions to dimerization (or formation of larger clusters), according to the Morokuma-Kitaura and/or Reduced Variational Space schemes. The analysis is limited to closed shell RHF monomers. See also the \$LMOEDA input group for more general energy decompositions. See also PIEDA in the FMO codes.

Solvation models are not supported.

MOROKM = a flag to request Morokuma-Kitaura decomposition. (default is .TRUE.)

RVS = a flag to request "reduced variation space" decomposition. This differs from the Morokuma analysis. One or the other or both may be requested in the same run. (default is .FALSE.)

Generally speaking, RVS handles non-orthogonality of monomers better. When diffuse functions are used, the MOROKM analysis sometimes fails, but RVS will work.

BSSE = a flag to request basis set superposition error be computed. You must ensure that CTPSPL is selected. This option applies only to MOROKM decompositions, as a basis superposition error is automatically generated by the RVS scheme. This is not the full Boys counterpoise correction, as explained in the reference. (default is .FALSE.)

* * *

The inputs here control how the RHF supermolecule, whose coordinates are given in the \$DATA group, is divided into two or more monomers.

IATM = An array giving the number of atoms in each of the monomer. Up to ten monomers may be defined. Your input in \$DATA must have all the atoms in the first monomer defined before the atoms in the second monomer, before the third monomer... The

number of atoms belonging to the final monomer can be omitted. There is no sensible default for IATM, so don't omit it from your input.

ICHM = An array giving the charges of the each monomer. The charge of the final monomer may be omitted, as it is fixed by ICH in \$CONTRL, which is the total charge of the supermolecule. The default is neutral monomers, ICHM(1)=0,0,0,...

EQU = a flag to indicate all monomers are equivalent by symmetry (in addition to containing identical atoms). If so, which is not often true, then only the unique computations will be done. (default is .FALSE.)

* * *

CTPSPL = a flag to decompose the interaction energy into charge transfer plus polarization terms. This is most appropriate for weakly interacting monomers. (default is .TRUE.)

CTPLX = a flag to combine the CT and POL terms into a single term. If you select this, you might want to turn CTPSPL off to avoid the extra work that that decomposition entails, or you can analyze both ways in the same run. (default is .FALSE.)

RDENG = a flag to enable restarting, by reading the lines containing "FINAL ENERGY" from a previous run. The \$EMORO group is single lines read under format A16,F20.10 containing the energies, and a card \$END to complete. The 16 chars = anything. (default is .FALSE.)

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The present implementation has some quirks:

1. The initial guess of the monomer orbitals is not controlled by \$GUESS. The program first looks for a \$VEC1, \$VEC2, ... group for each monomer. The orbitals must be obtained for the identical coordinates which that monomer has within the supermolecule. If any \$VECn groups are

found, they will be MOREAD. If any are missing, the guess for that monomer will be constructed by HCore. Check your monomer energies carefully! The initial guess orbitals for the supermolecule are formed from a block diagonal matrix containing the monomer orbitals.

2. The use of symmetry is turned off internally.
3. Spherical harmonics (ISPHER=1) may not be used.
4. There is no direct SCF option. File ORDINT will be a full C1 list of integrals. File AOINTS will contain whatever subset of these is needed for each particular decomposition step. So extra disk space is needed compared to RUNTYP=ENERGY.
5. This run type applies only to ab initio RHF treatment of the monomers. To be quite specific: this means that DFT (which involves a grid, not just integrals) will not work, nor will MOPAC's approximated 2e- integrals
6. This kind of calculation will run in parallel.

Quirks 1, 3 and 4 can be eliminated by using PIEDA if only two monomers are present. For more monomers PIEDA results will slightly differ. PIEDA is a special case of FMO, q.v.

References:

- C.Coulson in "Hydrogen Bonding", D.Hadzi, H.W.Thompson, Eds., Pergamon Press, NY, 1957, pp 339-360.
C.Coulson Research, 10, 149-159 (1957).
K.Morokuma J.Chem.Phys. 55, 1236-44 (1971).
K.Kitaura, K.Morokuma Int.J.Quantum Chem. 10, 325 (1976).
K.Morokuma, K.Kitaura in "Chemical Applications of Electrostatic Potentials", P.Politzer, D.G.Truhlar, Eds. Plenum Press, NY, 1981, pp 215-242.
The method coded is the newer version described in the 1976 and 1981 papers. In particular, note that the CT term is computed separately for each monomer, as described in the words below eqn. 16 of the 1981 paper, not simultaneously.

Reduced Variational Space:

W.J.Stevens, W.H.Fink, Chem.Phys.Lett. 139, 15-22(1987).

A comparison of the RVS and Morokuma decompositions can be found in the review article: "Wavefunctions and Chemical Bonding" M.S.Gordon, J.H.Jensen in "Encyclopedia of Computational Chemistry", volume 5, P.V.R.Schleyer, editor, John Wiley and Sons, Chichester, 1998.

BSSE during Morokuma decomposition:
R.Cammi, R.Bonaccorsi, J.Tomasi
Theoret.Chim.Acta 68, 271-283(1985).

The present implementation:
"Energy decomposition analysis for many-body interactions,
and application to water complexes"
W.Chen, M.S.Gordon J.Phys.Chem. 100, 14316-14328(1996)

\$LMOEDA group

(relevant if RUNTYP=EDA)

This group governs the Localized Molecular Orbital Energy Decomposition Analysis, which is capable of more sophisticated treatment of "monomers" than the Morokuma or RVS schemes (see \$MOROKM). For example, the wavefunctions of the monomers may be RHF, ROHF, or UHF, the DFT counterparts of each of these, the MP2 counterparts of each of these, or CCSD and CCSD(T) for RHF and ROHF references. Furthermore, division of the system into "monomers" can involve splitting chemical bond pairs, as the MMULT example below shows.

If one or more monomers are open shell, to be treated by ROHF, use SCFTYP=ROHF in \$CONTRL. Whenever a monomer has an even number of electrons, so that its MMULT=1 below, SCFTYP=ROHF (or UHF) automatically reduces to RHF on that monomer.

MATOM = an array giving the number of atoms in each monomer. Up to ten monomers may be defined. Your input in \$DATA must have all the atoms in the first monomer defined before the atoms in the second monomer, before the third monomer etc. The sum of the MATOM array must be equal to the total number in the supermolecule.

MCHARG = an array giving the charge of each monomer. Up to ten monomers may be defined. The sum of the charges in the monomers must be equal to the total charge of the supermolecule.

MMULT = an array giving the multiplicity of each monomer. Up to ten monomers may be defined. A positive integer means alpha spin, a negative integer means beta spin. For example, if an ethane molecule is separated into two neutral CH₃ groups, MMULT(1)=2,-2 or MMULT(1)=-2,2.

SUPBAS = a flag to request Boys and Bernardi style counterpoise method for correcting basis set superposition errors. (default is .FALSE.).

Usually it works well with Hartree-Fock and MP2 and coupled cluster methods, but less well with DFT methods due to SCF divergent problems.

A paper describing this method is currently under review.

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\$FFCALC group (relevant for RUNTYP=FFIELD)

This group permits the study of the influence of an applied electric field on the wavefunction. The most common finite field calculation applies a sequence of fields to extract the linear polarizability and the first and second order hyperpolarizabilities (static alpha, beta, and gamma tensors). The method is general, because it relies on finite differencing of the energy values, and so works for all ab initio wavefunctions. If the dipole moments are available (true for SCF or CI functions, and see MPPROP in \$MP2), the same tensors are formed by differencing the dipoles, which is more accurate. Some idea of the error in the numerical differentiations can be gleaned by comparing energy based and dipole based quantities.

For analytic computation of static polarizabilities alpha, beta, and gamma (as well as frequency dependent NLO properties), for closed shell cases, see \$TDHF and \$TDHFX. For analytic computation of the static polarizability alpha, see POLAR in \$CPHF.

The standard computation obtains the polarizabilities, by double numerical differentiation. See ONEFLD to apply a single electric field, but for a more general approach to applied static fields, see \$EFIELD.

OFFDIA = .TRUE. computes the entire polarizability tensors, which requires a total of 49 wavefunction evaluations (some of gamma is not formed).
= .FALSE. forms only diagonal components of the polarizabilities, using 19 wavefunctions. The default is .FALSE.

ESTEP = step size for the applied electric field strength, 0.01 to 0.001 is reasonable. (default=0.001 a.u.)

The next parameters pertain to applying a field in only one direction:

ONEFLD = flag to apply one field (default=.FALSE.)

SYM = a flag to specify when the field to be applied does not break the molecular symmetry. Since most fields do break the nuclear point group symmetry, the default is .FALSE.

EFIELD = an array of the three x,y,z components of the single applied field.

LOCHYP = a flag to perform a localized orbital analysis of the alpha, beta, and gamma polarizabilities. See \$LOCAL for similar analyses of the energy, multipole moments, or alpha tensor. References for this keyword are given below.

Finite field calculations require large basis sets, and extraordinary accuracy in the wavefunction. To converge the SCF to many digits is sometimes problematic, but we suggest you use the input to increase integral accuracy and wavefunction convergence, for example

```
$CONTRL ICUT=20 ITOL=30 $END
$SCF     CONV=1d-7 FDIFF=.FALSE. $END
```

Examples of fields that do not break symmetry are a Z-axis field for an axial point group which is not centrosymmetric (i.e. C_{2v}). However, a field in the X or Y direction does break the C_{2v} symmetry. Application of a Z-axis field for benzene breaks D_{6h} symmetry. However, you could enter the group as C_{6v} in \$DATA while using D_{6h} coordinates, and regain the prospect of using SYM=.TRUE. If you wanted to go on to apply a second field for benzene in the X direction, you might want to enter C_s in \$DATA, which will necessitate the input of two more carbon and hydrogen atom, but recovers use of SYM=.TRUE.

References:

- J.E.Gready, G.B.Bacskay, N.S.Hush
Chem.Phys. 22, 141-150(1977)
- H.A.Kurtz, J.J.P.Stewart, K.M.Dieter
J.Comput.Chem. 11, 82-87(1990).

polarizability analysis:

S.Suehara, P.Thomas, A.P.Mirgorodsky, T.Merle-Mejean,
J.C.Champarnaud-Mesjard, T.Aizawa, S.Hishita,

S.Todoroki, T.Konishi, S.Inoue
Phys.Rev.B 70, 205121/1-7(2004)

S.Suehara, T.Konishi, S.Inoue
Phys.Rev.B 73, 092203/1-4(2006)

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\$TDHF group (relevant for SCFTYP=RHF if RUNTYP=TDHF)

This group permits the analytic calculation of various static and/or frequency dependent polarizabilities, with an emphasis on important NLO properties such as second and third harmonic generation. The method is programmed only for closed shell wavefunctions, at the semi-empirical or ab initio level. Ab initio calculations may be direct SCF, or parallel, if desired, except INIG=2.

Because the Fock matrices computed during the time-dependent Hartree-Fock CPHF are not symmetric, you may not use symmetry. You must enter NOSYM=1 in \$CONTRL!

For a more general numerical approach to the static properties, see \$FFCALC. For additional closed shell dynamic polarizabilities and spectra, see \$TDHFX.

NFREQ = Number of frequencies to be used. (default=1)

FREQ = An array of energy values in atomic units. For example: if NFREQ=3 then FREQ(1)=0.0,0.1,0.25. By default, only the static polarizabilities are computed. (default is freq(1)=0.0)

The conversion factor from wavenumbers to Hartree is to divide by 219,474.6. To convert a wavelength to Hartree, compute $FREQ=45.56/\lambda$, λ in nm.

MAXITA = Maximum number of iterations for an alpha computation. (default=100)

MAXITU = Maximum number of iterations in the second order correction calculation. This applies to iterative beta values and all gammas. (default=100)

ATOL = Tolerance for convergence of first-order results. (default=1.0d-05)

BTOL = Tolerance for convergence of second-order results. (default=1.0d-05)

RETDHF = a flag to choose starting points for iterative calculations from best previous results.
(default=.true.)

* * * the following NLO properties are available * * *

alpha polarizabilities are always calculated.

INIB = 0 turns off all beta computation (default)
= 1 calculates only noniterative beta
= 2 calculate iterative and noniterative beta
The next flags allow further BETA tuning

BSHG = Calculate beta for second harmonic generation.

BEOPE = Calculate beta for electrooptic Pockels effect.

BOR = Calculate beta for optical rectification.

INIG = 0 turns off all gamma computation (default)
= 1 calculates only noniterative gamma
= 2 calculate iterative and noniterative gamma
The next flags allow further GAMMA tuning

GTHG = Calculate gamma for third harmonic generation.

GEFISH = Calculate gamma for electric-field induced second harmonic generation.

GIDRI = Calculate gamma for intensity dependent refractive index.

GOKE = Calculate gamma for optical Kerr effect.

These will be computed only if a nonzero energy (FREQ) is requested. The default for each flag is .TRUE., and they may be turned off individually by setting some .FALSE. Note however that the program determines the best way to calculate them. For example, if you wish to have the SHG results but no gamma results are needed, the SHG beta will be computed in a non-iterative way from alpha(w) and alpha(2w). However if you request the computation of the THG gamma, the second order U(w,w) results are needed and

an iterative SHG calculation will be performed whether you request it or not, as it is a required intermediate.

Only the following combinations make sense:

INIB	INIG	giving	FREQ(1)=0.0,0.1	e.g. w=0.1
0	0	static	alpha,	a(w)
1	0	static	alpha,beta	a(w),a(2w)
		noniterative	b(OR),	b(EOPE), b(SHG)
2	0	static	alpha,beta	a(w),a(2w)
		noniterative	b(OR),	b(EOPE), b(SHG)
		iterative	b(OR),	b(EOPE), b(SHG)
2	1	static	alpha,beta,gamma	a(w),a(2w)
		iterative	b(OR),	b(EOPE), b(SHG)
		noniterative	g(THG),	g(EFISH),
			g(IDRI),	g(OKE)
2	2	static	alpha,beta,gamma	a(w),a(2w)
		iterative	b(OR),	b(EOPE), b(SHG)
		noniterative	g(THG),	g(EFISH),
			g(IDRI),	g(OKE)
		iterative	static	gamma, g(OKE),
			g(THG),	g(EFISH),
			g(IDRI),	g(DC-OR)

This is a quirky program:

1. INIG=2 only runs in serial, and only runs with A0 integrals on disk.
2. ISPHER=1 may not be chosen.
3. INIB=1 and INIB=2 print the same components for OR, OPE, SHG, but different totals from the whole tensor. It is not clear which is correct.
4. units are not well specified on the output!

References:

for static polarizabilities,
 G.J.B.Hurst, M.Dupuis, E.Clementi
 J.Chem.Phys. 89, 385-395(1988)
 for dynamic polarizabilities,
 S.P.Karna, M.Dupuis J.Comput.Chem. 12, 487-504 (1991).
 P.Korambath, H.A.Kurtz, in "Nonlinear Optical Materials",
 ACS Symposium Series 628, S.P.Karna and A.T.Yeates, Eds.
 pp 133-144, Washington DC, 1996.
 Review: D.P.Shelton, J.E.Rice, Chem.Rev. 94, 3-29(1994).

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\$TDHFX group (relevent for SCF=RHF if RUNTYP=TDHFX)

This group permits the analytical determination of static and/or frequency dependent polarizabilities and hyperpolarizabilities (alpha, beta, and gamma), as well as their first- and second-order geometrical derivatives (of alpha and beta). This permits the prediction of dynamic (nonresonant) Raman and hyper-Raman spectra, yielding both intensities and depolarizations. The method is only available for closed shell systems (RHF).

For other polarizability options, see \$FFCALC and \$TDHF. For ordinary Raman spectra, see \$RAMAN.

You must not use point group symmetry in this kind of calculation (except to enter the molecule's structure), so provide NOSYM=1. Since the derivative level is quite high, it is a good idea to converge the SCF problem crisply, CONV=1.0D-6. These options are not forced by the RUNTYP, so please use explicit input.

The \$TDHFX group acts as a script. Each keyword must be on a separate line, terminated by a \$END. The available keywords are gathered into 3 sets. Those belonging to the first set must appear before the second set, which must appear before the third set.

Set 1:

Here is a list of keywords that specifies the number of parameters (electric fields and geometrical distortions) that will be taken into account in the computations.

ALLDIRS = compute the responses for all the electric field directions (x,y,z).

DIR idir = compute the responses for one electric field specific direction:
 x(idir=1), y(idir=2) and z(idir=3).

USE_C = do the computation in Cartesian coordinates.

USE_Q = do the computation in normal coordinates.

The default is ALLDIRS and USE_C.

Set 2:

The following two keywords must be specified before any computation that requires vibrational frequencies or normal modes of vibration:

FREQ = compute the normal modes and the harmonic vibrational frequencies. Do a HESSIAN job.

FREQ2 = same as FREQ but store the second derivative of the monoelectronic Hamiltonian. Required if you want to determine geometrical second-order derivatives of properties.

Set 3:

The following keywords are related to the generalized iterative method to solve TDHF mixed derivative equations. They can be inserted anywhere in the \$TDHFX group and change the behavior of the generalized iterative method for any of the following tasks that might be requested.

DIIS = Use the DIIS method. This is the default method.

NOACCEL = Do not use any accelerating method.

ITERMAX imax = Specify the maximum number of iterations to obtain the converged solution. Default=100.

CONV threshold = the threshold convergence criterion for the U response matrices. Default=1E-5.

Below are the keywords to select a particular computation. The xx_NI version will call a non-iterative procedure.

The laser energy (w) must be given in Hartree. Divide by 219,474.6 to convert a frequency in wavenumbers (cm^{-1}) to a photon energy in Hartree. Wavelength (in nm) is $45.56/w$, when w is in Hartree. Static polarizabilities may be obtained from $w=0.0$.

MU = compute the dipole moment.

ALPHA w =
compute the dynamic polarizability:
alpha(-w;w).

BETA w1 w2 / BETA_NI w1 w2 =
compute the dynamic first hyperpolarizability:
beta(-w1-w2;w1,w2).

GAMMA w1 w2 w3 / GAMMA_NI w1 w2 w3 =
compute the dynamic second hyperpolarizability:
gamma(-w1-w2-w3;w1,w2,w3).

POCKELS w / POCKELS_NI w =
compute electro-optic Pockels effect: beta(-w;w,0).

OR w / OR_NI w =
optical rectification: beta(0;w,-w).

SHG w / SHG_NI w =
second harmonic generation: beta(-2w;w,w).

KERR w / KERR_NI w =
DC Kerr effect: gamma(-w;w,0,0).

ESHG w / ESHG_NI w =
electric field induced 2nd harm gen: gamma(-2w;w,w,0).

THG w / THG_NI w =
third harmonic generation: gamma(-3w;w,w,w).

DFWM w / DFWM_NI w =
degenerate four wave mixing gamma(-w;w,-w,w).

See the review
D.P.Shelton, J.E.Rice Chem.Rev. 94, 3-29(1994)
for more information on the quantities just above. The
next options are nuclear derivatives of some of the above.

DMDX_NI =
compute the dipole derivative matrix,
the geometrical first derivative of MU.

DADX w / DADX_NI w =
compute the polarizability derivative matrix, the

geometrical first-order derivative of $\alpha(-w;w)$.

DBDX w1 w2 / DBDX_NI w1 w2 =
compute the geometrical first-order derivative
of $\beta(-w_1-w_2;w_1,w_2)$.

D2MDX2_NI =
compute geometrical second derivatives of MU

D2ADX2_NI w =
compute geometrical second derivatives of $\alpha(-w;w)$.

D2BDX2_NI w1 w2 =
geometrical second derivatives of $\beta(-w_1-w_2;w_1,w_2)$.

The next two keywords automatically select paths through the package generating the required intermediates (both polarizabilities and their nuclear derivatives) to form spectra. The most efficient path through the program will be selected automatically.

RAMAN w = Summarize the Raman responses in a table, and if necessary, compute the geometrical first-order derivatives of $\alpha(-w;w)$.

HRAMAN w = Summarize the hyper-Raman responses in a table, and if necessary, compute the geometrical first-order derivatives of $\beta(-2w;w,w)$.

The following keywords permit the deletion of disk files associated with the set of frequencies w_1,w_2,\dots

FREE w1
FREE w1 w2
FREE w1 w2 w3

Below is an example of a TDHFX group:

```
$TDHFX
  ALLDIRS
  USE_Q
  FREQ
  DIIS
  ITERMAX 100
  CONV 0.1E-7
```



```
HRAMAN 0.02
FREE 0.02
FREE 0.02 0.02
HRAMAN 0.03
$END
```

References:

"Time Dependent Hartree-Fock schemes for analytic evaluation of the Raman intensities"
O.Quinet, B.Champagne J.Chem.Phys. 115, 6293-6299(2001).

"Analytical TDHF second derivatives of dynamic electronic polarizability with respect to nuclear coordinates. Application to the dynamic ZPVA correction."
O.Quinet, B.Champagne, B.Kirtman
J.Comput.Chem. 22, 1920-1932(2001).

"Analytical time-dependent Hartree-Fock schemes for the evaluation of the hyper-Raman intensities"
O.Quinet, B.Champagne J.Chem.Phys. 117, 2481-2488(2002).
errata: JCP 118, 5692(2003)

"Analytical time-dependent Hartree-Fock evaluation of the dynamically zero-point averaged (ZPVA) first hyperpolarizability"
O.Quinet, B.Kirtman, B.Champagne
J.Chem.Phys. 118, 505-513(2003).

Computer quirks:

1. This package uses file numbers 201, 202, ... but some compilers (chiefly g77) may not support unit numbers above 99. The remedy is to use a different computer or compiler.

2. If you experience trouble running this package under AIX, degrade the optimization of subroutine JDDFCK in hss2b.src, by placing this line
@PROCESS OPT(2)
immediately before JDDFCK, recompile hss2b, and relink.

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\$EFRAG group

(optional)

This group gives the name and position of one or more effective fragment potentials. It consists of a series of free format card images, which may not be combined onto a single line! The position of a fragment is defined by giving any three points within the fragment, relative to the ab initio system defined in \$DATA, since the effective fragments have a frozen internal geometry. All other atoms within the fragment are defined by information in the \$FRAGNAME group.

-1- a line containing one or more of these options:

If you choose more options than are able to be fit on a single 80 character line, type an > character to continue onto the next line.

If you do not choose any of these options, input a blank line to accept defaults.

COORD	=CART	selects use of Cartesians coords to define the fragment position at line -3-. (default)
	=INT	selects use of Z-matrix internal coordinates at line -3-.
POLMETHD	=SCF	indicates the induced dipole for each fragment due to the ab initio electric field and other fragment fields is updated only once during each SCF iteration.
	=FRGSCF	requests microiterations during each SCF iteration to make induced dipoles due to ab initio and other fragment fields self consistent among the fragments. (default) Both methods converge to the same dipolar interaction.

POSITION=OPTIMIZE Allows full optimization within the

ab initio part, and optimization of the rotational and translational motions of each fragment. (default)

=FIXED Allows full optimization of the ab initio system, but freezes the position of the fragments. This makes sense only with two or more fragments, as what is frozen is the fragments' relative orientation.

=EFOPT the same as OPTIMIZE, but if the fragment gradient is large, up to 5 geometry steps in which only the fragments move may occur, before the geometry of the ab initio piece is relaxed. This may save time by reusing the two electron integrals for the ab initio system.

NBUFFMO = n First n orbitals in the MO matrix are deemed to belong to the QM/MM buffer and will be excluded from the interaction with the EFP region. This makes sense only if these first MOs are frozen via the \$MOFRZ group.

The next few inputs apply periodic boundary conditions, which is only possible if the system contains only EFP particles, with no ab initio atoms. The default is to use the minimum image convention, for all terms in the potentials, but see also the \$EWALD input group in order to perform the long range electrostatic interactions in a more accurate manner. You may choose no more than one of the possible sets of cutoffs, with the switching function SWR1/SWR2 being the most physically reasonable.

XBOX, YBOX, ZBOX = dimensions of the periodic box, which must be given in Angstroms. If these sizes are omitted, the simulation is an isolated cluster.

SWR1, SWR2 = distance cutoffs for the switching function that gradually drops the interactions from full strength at SWR1 to zero at SWR2. Choose $SWR2 \leq \min(XBOX/2, YBOX/2, ZBOX/2)$

and $SWR1 \leq SWR2$ (typically 80%), to cut off interactions within a single box. In Angstrom

RCUT a radial cutoff, implemented as a step function, which should be chosen like SWR2. In Angstrom

XCUT, YCUT, ZCUT = cutoffs (as step functions) beyond which effective fragment potential interactions are not computed, $XCUT \leq XBOX/2$, etc. Angstroms

For a simulation of 64 CCl₄ molecules, PBC input might be
 $xbox=21.77$ $ybox=21.77$ $zbox=21.77$ $swr1=8.0$ $swr2=10.0$
 Box sizes are typically chosen to give a correct value for the density of the system.

The following turn off selected terms in the potentials, even if data for the term is found in the various \$FRAGNAME input groups. These keywords are standalone strings, without a value assigned to them. They allow data from potentials generated by MAKEFP runs to be kept in the \$FRAGNAME, for possible future use. The first two are of interest in production runs, while the others are primarily meant for debugging purposes, as the latter terms are normally quite large.

NOCHTR = switch off charge transfer in EFP2
 NODISP = switch off dispersion in EFP2
 NOEXREP = switch off exchange repulsion (EFP1/EFP2)
 NOPOL = switch off polarization (implies NOPSCR)
 NOPSCR = switch off polarization screening, only

The following parameters are related to screening of some terms in the potentials, when fragments are at close distances. Note that they are relevant only to EFP2 runs. Prior to May 2009, the defaults were

ISCRELEC=0 ISCRPOL=0 ISCRDISP=0

at which time the defaults were changed to

ISCRELEC=0 ISCRPOL=1 ISCRDISP=1

If you need to reproduce results or continue an ongoing set of computations, simply input the old defaults.

- ISCRELEC = fragment-fragment electrostatic screening, a correction for "charge penetration":

$$E(\text{elec}) = E(\text{multipoles}) + E(\text{chg.pen.})$$
 = 0 damping by various formulae is controlled by SCREEN1, SCREEN2, or SCREEN3 input sections in the \$FRAGNAME group(s). If none are found, there will be no charge penetration screening of electrostatics. (default)
- = 1 use an overlap based damping correction

$$E(\text{chg.pen.}) = -2(S^{**2}/R)/\text{sqrt}(-2\ln|S|)$$
 to the classical multipole energy. Since the overlap integrals used here, as well as in ISCRDISP must be evaluated as part of the exchange repulsion energy, there is essentially no overhead for selecting this.
- ISCRPOL = fragment-fragment polarization screening.
- = 0 damping is controlled by POLSCR sections in the \$FRAGNAME groups. If not found, there will be no screening. If POLSCR is found, you must also use ISCRELEC=0 and SCREEN3.
- = 1 damping will use a Tang/Toennies-type formula,

$$(1 - \exp(-aR^{**2})) (1 + aR^{**2})$$
 where the default value of $a=0.6$. In order to change the 'a' parameter, give
 POLAB <a's value>
 STOP
 in the \$FRAGNAME group. A smaller value may be useful for ionic EFPs. (default)
- ISCRDISP = fragment-fragment dispersion screening
- = 0 Use Tang-Toennies damping, with a fixed parameter $a=1.5$.
- = 1 use an overlap based damping factor,

$$1 - S^{**2} (1 - 2\ln|S| + 2\ln^{**2}|S|)$$
 instead. There is no parameterization, so there's no other input. (default)

It is possible to choose ISCRELEC, ISCRPOL, and ISCRDISP independently, as they apply to distinct parts of the fragment-fragment effective potential, and apart from POLSCR/SCREEN3, are independently implemented.

I, DISTANCE, J, BEND, K, TORSION = the usual Z-matrix connectivity internal coordinate definition. The atoms I, J, K must be atoms in the ab initio system from in \$DATA, or fragment points already defined in the current fragment or previously defined fragments.

If COORD=INT, line -3- must be given a total of three times to define this fragment's position.

If COORD=CART, line -3- must be given three times, which is sufficient to orient the rigid EFP particle. However, it is good form to read in any remaining nuclei in the EFP, for example all 12 atoms in a benzene EFP, although only the first three lines determine the entire EFP's position, whenever you have the data for the extra nuclei.

Repeat lines -2- and -3- to enter as many fragments as you desire, and then end the group with a \$END line.

Note that it is quite typical to repeat the same fragment name at line -2-, to use the same type of fragment system at many different positions.

=====
* * * * *
For tips on effective fragment potentials
see the 'further information' section
* * * * *

=====

\$FRAGNAME group

(required for each FRAGNAME given in \$EFRAG)

This group gives all pertinent information for a given Effective Fragment Potential (EFP). This information falls into three categories, with the first two shared by the EFP1 and EFP2 models:

 electrostatics (distributed multipoles, screening)
 polarizability (distributed dipole polarizabilities)

The EFP1 model contains one final term,

 fitted exchange repulsion

whereas the EFP2 model contains a collection of terms,

 exchange repulsion, dispersion, charge transfer...

An Effective Fragment Potential is input using several different subgroups. Each subgroup is specified by a particular name, and is terminated by the word STOP. You may omit any of the subgroups to omit that term from the EFP. All values are given in atomic units.

To input monopoles,	follow input sequence -EM-
To input dipoles,	follow input sequence -ED-
To input quadrupoles,	follow input sequence -EQ-
To input octopoles,	follow input sequence -EO-
To input electrostatic screening,	follow input seq. -ES-
To input polarizable points,	follow input sequence -P-
To input polarizability screening,	follow input seq. -PS-
To input fitted "repulsion",	follow input sequence -R-
To input Pauli exchange,	follow input sequence -PE-
To input dispersion,	follow input sequence -D-
To input charge transfer,	follow input sequence -CT-

The data contained in a \$FRAGNAME is normally generated by performing a RUNTYP=MAKEFP using a standard \$DATA group at initio computation on the desired solvent molecule. A MAKEFP run will generate all terms for an EFP2 potential, including multipole screening parameters. The screening option is controlled by \$DAMP and \$DAMPGS input, and by you checking the final fitting parameters for reasonableness.

Note that the ability to fit the "repulsion" term in an EFP1 potential is not included in GAMESS, meaning that EFP1 computations normally use built-in EFP1 water potentials.

-1- a single descriptive title card

-2- COORDINATES

COORDINATES signals the start of the subgroup containing the multipolar expansion terms (charges, dipoles, ...). Optionally, one can also give the coordinates of the polarizable points, or centers of exchange repulsion.

-3- NAME, X, Y, Z, WEIGHT, ZNUC

NAME is a unique string identifying the point.
X, Y, Z are the Cartesian coordinates of the point, and must be in Bohr units.
WEIGHT, ZNUC are the atomic mass and nuclear charge, and should be given as zero only for points which are not nuclei.

In EFP1 potentials, the true nuclei will appear twice, once for defining the positive nuclear charge and its screening, and a second time for defining the electronic distributed multipoles.

Repeat line -3- for each expansion point, and terminate the list with a "STOP".

Note: the multipole expansion produced by RUNTYP=MAKEFP comes from Stone's distributed multipole analysis (DMA). An alternative expansion, from a density based multipole expansion (DBME) performed on an adaptive grid is placed in the job's PUNCH file. This alternative multipole expansion may be preferable if large basis sets are in use (the DMA expansion is basis set sensitive). The DBME values can be inserted in place of the DMA values, for -EM-, -ED-, -EQ-, and -EO- sections, if you wish. Experience suggests that DBME multipoles are about as accurate as those obtained using DMA.

-EM1- MONOPOLES

MONOPOLES signals the start of the subgroup containing

the electronic and nuclear monopoles.

-EM2- NAME, CHARGE1, CHARGE2

NAME must match one given in the COORDINATES subgroup.
CHARGE1 = electronic monopole at this point.
CHARGE2 = nuclear monopole at this point. Omit or enter
zero if this is a bond midpoint or some other
expansion point that is not a nucleus.

Repeat -EM2- to define all desired charges.
Terminate this subgroup with a "STOP".

-ED1- DIPOLES

DIPOLES signals the start of the subgroup containing the
dipolar part of the multipolar expansion.

-ED2- NAME, MUX, MUY, MUZ

NAME must match one given in the COORDINATES subgroup.
MUX, MUY, MUZ are the components of the electronic dipole.

Repeat -ED2- to define all desired dipoles.
Terminate this subgroup with a "STOP".

-EQ1- QUADRUPOLES

QUADRUPOLES signals the start of the subgroup containing
the quadrupolar part of the multipolar expansion.

-EQ2- NAME, XX, YY, ZZ, XY, XZ, YZ

NAME must match one given in the COORDINATES subgroup.
XX, YY, ZZ, XY, XZ, and YZ are the components of the
electronic quadrupole moment.

Repeat -EQ2- to define all desired quadrupoles.
Terminate this subgroup with a "STOP".

-E01- OCTUPOLES (note: OCTOPOLES is misspelled)

OCTUPOLES signals the start of the subgroup containing
the octupolar part of the multipolar expansion.

-E02- NAME, XXX, YYY, ZZZ, XXY, XXZ,
XYY, YYZ, XZZ, YZZ, XYZ

NAME must match one given in the COORDINATES subgroup.
XXX, ... are the components of the electronic octopole.

Repeat -E02- to define all desired octopoles.
Terminate this subgroup with a "STOP".

-ES1a- SCREEN

SCREEN signals the start of the subgroup containing
Gaussian screening ($A \cdot \exp[-B \cdot r^2]$) for the distributed
multipoles, which account for charge penetration effects.

SCREEN pertains to ab initio-EFP multipole interactions, in
contrast to the SCREENx groups defined just below for EFP-
EFP interactions.

-ES1b- NAME, A, B

NAME must match one given in the COORDINATES subgroup.
A, B are the parameters of the Gaussian screening term.

Repeat -ES1b- to define all desired screening points.
Terminate this subgroup with a "STOP".

note: SCREENx input (any x) is only obeyed if ISCRELEC=0.
SCREENx input will be ignored if ISCRELEC=1.

One (and only one) of the following groups should appear to
define the EFP-EFP multipole screening:

-ES2a- SCREEN1 or SCREEN2 or SCREEN3

SCREEN1 signals the start of the subgroup containing
Gaussian screening ($A \cdot \exp[-B \cdot r^2]$) for the distributed
multipoles, which account for charge-charge penetration
effects.

SCREEN2 signals the start of the subgroup containing
exponential screening ($A \cdot \exp[-B \cdot r]$) for the distributed

multipoles, which account for charge-charge penetration effects. This is often the EFP-EFP screening of choice.

SCREEN3 signals the start of the subgroup containing the screening terms ($A \cdot \exp[-B \cdot r]$) for the distributed multipoles, which account for high-order penetration effects (higher terms means charge-charge, as for SCREEN1 or SCREEN2, but also charge-dipole, charge-quadrupole, and dipole-dipole and dipole-quadrupole terms).

-ES2b- NAME, A, B

NAME must match one given in the COORDINATES subgroup. A, B are the parameters of the exponential screening term.

Repeat -ES2b- to define all desired screening points. Terminate this subgroup with a "STOP".

-P1- POLARIZABLE POINTS

POLARIZABLE POINTS signals the start of the subgroup containing the distributed dipole polarizability tensors, and their coordinates. This subgroup allows the computation of the polarization energy.

-P2- NAME, X, Y, Z

NAME gives a unique identifier to the location of this polarizability tensor. It might match one of the points already defined in the COORDINATES subgroup, but often does not. Typically the distributed polarizability tensors are located at the centroids of localized MOs.

X, Y, Z are the coordinates of the polarizability point. They should be omitted if NAME did appear in COORDINATES. The units are controlled by UNITS= in \$CONTRL.

-P3- XX, YY, ZZ, XY, XZ, YZ, YX, ZX, ZY

XX, ... are components of the distributed polarizability, which is not a symmetric tensor. XY means $d\mu_x/dF_y$, where μ_x is a dipole component, and F_y is a component of an applied field.

Repeat -P2- and -P3- to define all desired polarizability tensors, and terminate this subgroup with a "STOP".

-PS1- POLSCR

This section must not be given if ISCRPOL=1. If not given, when ISCRPOL=0, no polarization screening is performed.

POLSCR signals the start of the subgroup containing the screening (by $\exp[-B*r]$) for the induced dipoles. It pertains only to EFP-EFP interactions. It requires that you be using SCREEN3 damping of the multipole-multipole interactions! It applies to charge/induced dipole, dipole/induced dipole, quadrupole/induced dipole, and induced dipole/induced dipole terms.

-PS2- NAME, B

NAME must match one of the distributed dipole points given in the POLARIZABLE subgroup.

B is the exponent of the exponential screening term, and a typical value is about 1.5.

Repeat -PS2- to define all desired screening points. Terminate this subgroup with a "STOP".

FORCE POINT

This section controls coarse graining of the gradient, if FRCPNT is selected in \$EFRAG. The input consists of the coordinates of the desired points:

```
COM x y z
FP1 x y z
FP2 x y x
...
STOP
```

where x,y,z are the coordinates of center of mass (COM) and also any desired "force points" FP1, FP2, ...

Terminate this subgroup with a "STOP".

EFP1 versus EFP2

The EFP1 model consists of a fitted potential, which is a remainder term, after taking care of electrostatics and polarization with the input described above. The fitted term is called a "repulsive potential" because its largest contribution stems from Pauli exchange repulsion. The fit actually contains several other interactions, since it is just a fit to the total interaction potential's remainder after subtracting the electrostatic and polarization interactions.

The EFP2 model uses analytic representations for exchange repulsion and other terms, and these are documented after the EFP1's "repulsive potential".

-R1- REPULSIVE POTENTIAL

See also the \$FRGRPL input group, which defines the fit for the EFP1-EFP1 repulsion term.

REPULSIVE POTENTIAL signals the start of the subgroup containing the fitted exchange repulsion potential, for the interaction between the fragment and the ab initio part of the system. This term also accounts, in part, for other effects, since it is a fit to a remainder. The fitted potential has the form

$$\sum_i^N C_i * \exp[-D_i * r^{**2}]$$

-R2- NAME, X, Y, Z, N

NAME may match one given in the COORDINATES subgroup, but need not. If NAME does not match one of the known points, you must give its coordinates X, Y, and Z, otherwise omit these three values. N is the total number of terms in the fitted repulsive potential.

-R3- C, D

These two values define the i -th term in the repulsive potential. Repeat line -R3- for all N terms.

Repeat -R2- and -R3- to define all desired repulsive potentials, and terminate this subgroup with a "STOP".

The following terms are part of the developing EFP2 model. This model replaces the "kitchen sink" fitted repulsion in the EFP1 model by analytic formulae. These formulae are to be specific for each kind of physical interaction, and to pertain to any solvent, not just water. The terms which are programmed so far are given below.

-PE1- PROJECTION BASIS SET
-PE2- PROJECTION WAVEFUNCTION n m
-PE3- FOCK MATRIX ELEMENTS
-PE4- LMO CENTROIDS

These four sections contain the data needed to compute the Pauli exchange repulsion, namely

1. the original basis set used to extract the potential.
2. the localized orbitals, expanded in that basis.
3. the Fock matrix, in the localized orbital basis.
4. the coordinates of the center of each localized orb.

The information generated by a MAKEFP that follows these four strings is largely self explanatory. Note, however, that the orbitals (PE2) must have two integers giving the number of occupied orbitals $-n-$ and the size of the basis set $-m-$. The PE2 and PE3 subsections do not contain STOP lines.

-D1- DYNAMIC POLARIZABLE POINTS

DYNAMIC POLARIZABLE POINTS signals the start of the subgroup containing the distributed imaginary frequency dipole polarizability tensors, and their coordinates. This information permits the computation of dispersion energies.

-D2- NAME, X, Y, Z

NAME gives a unique identifier to the location of this polarizability tensor. It might match one of the points already defined in the COORDINATES subgroup, but often does not. Typically the distributed polarizability tensors are located at the centroids of localized MOs.

X, Y, Z are the coordinates of the polarizability point. They should be omitted if NAME did appear in COORDINATES. The units are controlled by UNITS= in \$CONTRL.

-D3- XX, YY, ZZ, XY, XZ, YZ, YX, ZX, ZY

XX, ... are components of the distributed polarizability, which is not a symmetric tensor. XY means $d\mu_x/dF_y$, where μ_x is a dipole component, and F_y is a component of an applied field.

Repeat -D2- and -D3- to define all desired polarizability tensors, and then repeat for all desired imaginary frequencies. MAKEFP jobs use 12 imaginary frequencies at certain internally stored values, to enable quadrature of these tensors, to form the C6 dispersion coefficient. Thus D2 and D3 input is repeated 12 times. Terminate this subgroup with a "STOP".

-CT1- CANONVEC n m

-CT2- CANONFOK

These two sections contain the data needed to compute the charge transfer energy, namely

1. the canonical orbitals, expanded in the -PE1- basis.
2. the Fock matrix, in the canonical orbital basis.

The information generated by a MAKEFP that follows these two strings is largely self explanatory. The MO and AO sizes given by -n- and -m- have the same meaning as for the -PE2- group. The CT1 group does not have a STOP line.

The EFP2 model presently can generate the energy for a system with an ab initio molecule and EFP2 solvents, if only Pauli exchange repulsion is used. The AI-EFP gradient

for this term is not yet programmed, nor are there AI-EFP codes for dispersion or charge transfer. Thus use of the EFP2 model, for all practical purposes, is limited to EFP-EFP interactions only, via COORD=FRAGONLY.

=====

The entire \$FRAGNAME group is terminated by a " \$END".

=====

\$FRGRPL group

This group defines the inter-fragment repulsive potential for EFP1 potentials. It accounts primarily for exchange repulsions, but also includes charge transfer. Note that the functional form used for the fragment-fragment repulsion differs from that used for the ab initio-fragment repulsion, which is defined in the \$FRAGNAME group. The form of the potential is

$$\sum_i^N A_i * \exp[-B_i * r]$$

-1- PAIR=FRAG1 FRAG2

specifies which two fragment repulsions are being defined. \$FRAGNAME input for the two names FRAG1 and FRAG2 must have been given.

-2- NAME1 NAME2 A B
 or
 NAME1 NAME2 'EQ' NAME3 NAME4

NAME1 must be one of the "NAME" points defined in the \$FRAG1 group's REPULSION POTENTIAL section. Similarly NAME2 must be a point from the \$FRAG2 group. In addition, NAME1 or NAME2 could be the keyword CENTER, indicating the center of mass of the fragment.

A and B are the parameters of the fitted repulsive potential.

The second form of the input allows equal potential fits to be used. The syntax implies that the potential between the points NAME1 and NAME2 should be taken the same as the potential previously given in this group for the pair of points NAME3 and NAME4.

If there are NPT1 points in FRAG1, and NPT2 points in FRAG2, input line -2- should be repeated NPT1*NPT2 times.

Terminate the pairs of potentials with a "STOP" card.
Any pairs which you omit will be set to zero interaction.

Typically the number of points on which fitted potentials
might be taken to be all the nuclei in a fragment, plus
the center of mass.

Repeat lines -1- and -2- for all pairs of fragments, then
terminate the group with a \$END line.

=====

\$EWALD group (relevant for all-EFP runs with PBC)

This group controls evaluation of the electrostatic energy of EFP calculations by means of the Ewald sum formulae. This gives a more accurate evaluation of these long range interactions than the minimum image convention, which sums only up to a distance of one box, centered on each particle. Ewald sum formulae are not used for the other, shorter range interactions in the EFP model, such as exchange repulsion and polarization, which are always evaluated by the minimum image convention. This group is relevant if and only if a periodic box is defined in the \$EFRAG group.

IFEWLD = a flag to activate Ewald sums for electrostatics
The default is .FALSE.

LEVEL = 1 means Ewald sum charge-charge interactions only,
 which is the default if IFEWLD is turned on.
 = 2 charge-charge, charge-dipole, dipole-dipole
 = 3 charge-charge, charge-dipole, dipole-dipole, and
 charge-quadrupole terms should be Ewald summed.

TNFOIL = a flag to select tin foil boundary conditions,
 which uses a metallic continuum past the cutoffs,
 instead of a vacuum. The default is .TRUE.

BETA = parameter for the direct summation, in 1/Bohr.
 It should be 1.7/cutoff. Cutoffs are specified
 in \$EFRAG, with the periodic box sizes, use a
 cutoff in units Angstrom in this formula, as the
 value 1.7 includes the conversion factor.
 The default=0.2

KMAX = number of reciprocal vectors in each direction.
 This should be $k_{max} \geq 3.2L/\text{cutoff}$, where the
 radial cutoff, and box side L are both given in
 your \$EFRAG. The default=10

=====

\$MAKEFP group (relevant if RUNTYP=MAKEFP)

This group controls generation of the effective fragment potential (EFP2 style) from the wavefunction of a single monomer. EFP generation is allowed for SCFTYP=RHF and ROHF. Multipole moments for electrostatics are always generated, and the default for the keywords below is to generate all additional terms.

FRAG = a string of up to 8 letters to identify this EFP. For example, WATER or BENZENE or CH3OH or ... (default=FRAGNAME, which you can hand edit later)

SCREEN = a flag to generate screening information for the multipole electrostatics, and maybe polarizability screening. See \$DAMP and \$DAMPGS. (default=.TRUE. for RHF, so far ROHF is not coded)

POL = a flag to generate dipole polarizabilities. (default=.TRUE.)

See POLNUM in \$LOCAL for an alternative way to generate the polarizabilities, which may be faster for large molecules.

EXREP = a flag to generate exchange repulsion parameters. (default=.TRUE.)

CHTR = a flag to generate charge transfer parameters. (default=.TRUE. for RHF, so far ROHF is not coded)

DISP = a flag to generate information for dispersion. (default=.TRUE. for RHF, so far ROHF is not coded)

See also similar inputs NOPOL, NOEXREP, NOCHTR, NODISP in the \$EFRAG group, to ignore these terms if they are generated.

=====

=====

\$PRTEFP group (optional)

This group provides control for generating integer charge EFP fragments for constructing large EFPs. See P.A.Molina, H.Li, J.H.Jensen J.Comput.Chem. 24, 1971-1979 (2003)

This group is mainly used in RUNTYP=MAKEFP runs. However, in MOPAC RUNTYP=ENERGY runs, the presence of a \$PRTEFP group causes AM1 or PM3 charges to be printed and punched out in a suitable format for EFP calculations.

NOPRT = an array specifying the atoms for which EFP multipole and polarizability points will not be printed/punched out.
Example: For a molecule with the connectivity A1-A2-A3-A4-A5, NOPRT(1)=4,5 means that multipoles centered on atoms 4 and 5, and bond midpoints B034 and B045 are not part of the EFP.

MIDPRT = an array specifying atoms whose bond midpoints neglected by using NOPRT should be printed out.
Example: MIDPRT(1)=3 forces the printout of bond midpoint B034.

The neglect of monopoles leads to EFPs with overall non-integer charge. The next keyword defines "collection points" to which the removed monopoles are added. Thus, the net charge of the EFP=ICHARG. The presence of this "fictitious" charge is compensated for by adding an opposing dipole to the collection point.

NUMFFD = an array that defines (1) a collection point, (2) the number of atoms contributing to monopoles to this point, and (3) the numbers of the atoms. More than one collection point can be defined. An opposing dipole is calculated as $-0.5Q*r$ (Q = sum of neglected monopoles, r = distance between collection point and nearest neglected monopole) and placed at the collection point.

Example: NUMFFD(1)=3,2,4,5. The sum of monopoles

at A4, A5, B034 and B045 (Q) is added to the A3 monopole. A dipole, $-0.5Q*r$, is placed on A3, where r is the distance between A3 and B034. If MIDPRT(1)=3, Q does not include the B034 monopole, r is the distance between B034 and A4, and the resulting dipole is centered on B034.

=====

=====
\$DAMP group (optional, relevant if RUNTYP=MAKEFP)

This group provides control over the screening of the charge term in the distributed multipole expansion used by the EFP model for electrostatic interactions, to account for charge penetration. See

M.A.Freitag, M.S.Gordon, J.H.Jensen, W.A.Stevens
J.Chem.Phys. 112, 7300-7306(2000)

L.V.Slipchenko, M.S.Gordon
J.Comput.Chem. 28, 276-291(2007)

The screening exponents are optimized by fitting a damped multipolar electrostatic potential to the actual quantum mechanical potential of the wavefunction, computed on concentric layers of united spheres (namely, "GEODESIC" layers for WHERE=PDC in \$ELPOT). See \$STONE's generation of the unscreened classical multipoles, \$PDC's generation of the true quantum potential, and \$DAMPGS.

Different multipole damping functions can be generated. The first contains a single exponential form,

$$(1 - \beta \exp(-\alpha r))$$

and the second function is a single Gaussian form,

$$(1 - \beta \exp(-\alpha r^2))$$

The exponent 'alpha' values are optimized (normally with $\beta=1$), with starting values defined in \$DAMPGS. The exponential fit is used for fragment-fragment charge penetration screening, while the Gaussian fit is used in ab initio-fragment screening. See equations 28 and 4 in the reference. These two screen only the charge-charge interactions.

It is also possible to generate a "higher order exponential" screening term, meaning that in addition to the charge-charge energy, also affects charge-dipole, charge-quadrupole, and dipole-dipole energy terms.

Words of advice:

1. Higher order screening is usually similar in accuracy to just charge-charge screening, except in molecules without dipole moment, such as ethylene or benzene.

2. If the bond midpoints have smaller charges, it may be more physically reasonable to screen only the atomic monopoles, see ISCCHG.
3. Use of the numerical Stone distributed multipole analysis may not be fully converged with respect to the level of highest used multipole moment (octapole) and corresponding energy terms (quadrupole-quadrupole), which makes screening much more problematic.
4. Accuracy of screening with the damping function of a single exponential form depends on a region of fitting the quantum mechanical electrostatic potential, i.e., a radius of first sphere with grid points (parameter VDWSCL in \$PDC). A general trend is that for molecules with stronger electrostatic interaction, and, consequently, shorter intermolecular separations, e.g., methanol and water, smaller values of VDWSCL are preferable, whereas for weaker interacting molecules, e.g., dichloromethane and acetone, bigger VDWSCL values are more acceptable. Our recommended VDWSCL values are 0.4-0.5 for methanol, 0.5-0.8 for water, and 0.7-0.9 for weaker bonded molecules. Note that VDWSCL values of 1.0 and higher often result in not converged or badly converged damping parameters, and are not recommended. The default VDWSCL value is 0.7.
5. If the non-linear parameters alpha increase to 10, that term is effectively removed from the screening. This happens sometimes with buried atoms, and fairly often with bond mid-points.
6. Double check the numerical results carefully.

ISCCHG = 0 use both atoms and bond midpoints as screening centers (the default)

1 use only atoms as screening centers

IFTTYP = selects the type of multipole screening fit:

0 means generate a Gaussian fit, for use as SCREEN input in \$FRAGNAME.

2 means generate an exponential charge-charge fit, for use as SCREEN2 input in \$FRAGNAME.

3 means generate an exponential higher order fit, for use as SCREEN3 input in \$FRAGNAME.

If you wish to use Gaussian screening for EFP-EFP, simply copy the SCREEN output into a SCREEN1 section.

IFTFIX = 0 means the coefficients in the fit (beta) are free parameters

1 means the coefficients are held to unity. In case the linear coefficients become large, and particularly if they are negative, a fit with unit coefficients is more reasonable.

The default is to do both fits in one run, IFTTYP(1)=2,0, using unit coefficients, IFTFIX(1)=1,1.

The remaining parameters are seldom given:

NMAIN = the number of centers to receive a smaller alpha initial value, 2.0, which defaults to the number of atoms. The remaining centers, usually the bond midpoints, receive a larger starting value, 4.0. \$DAMPGS gives more control of the values.

MAXIT = maximum iterations in the fit, default=30.

THRSH = printing threshold for large deviations. The default is 100.0 kcal/mol.

=====

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\$DAMPGS group (relevant if \$DAMP was given)

This is a free-format, line by line input group that sets the initial values damping functions used to screen the multipole expansion. A check run may be helpful in listing the names of the expansion points that are chosen by MAKEFP jobs. Very often the input group contains only type -1- lines, and only in its second form.

```
-1-      <exp.pt.> <nterms>
      or   <exp.pt.>=<prev.exp.pt.>
```

This line gives the name of the expansion point, and how many terms are in the damping function (always 1 at present). The second form of this line lets you equate the current point to some previous point's values in \$DAMPGS, skipping line -2-.

```
-2-   <coef> <exponent>
```

The linear coefficient (usually 1.0) and exponent of this term in the damping function. Repeat -2- <nterms> times. If not given, the starting exponent for atoms is 2.0, and for bond midpoints, 4.0.

An example, for water, enforcing equivalent points, is:

```
$dampgs
01 1
  1.0 2.0
H2 1
  1.0 2.0
H3=H2
B021 1
  1.0 4.0
B031=B021
$end
```

or much more simply,
since the left is default exponents,
\$dampgs
H3=H2
B031=B021
\$end

The "B0" is short for bond midpoint.

=====

\$PCM group (optional)

This group controls solvent effect computations using the Polarizable Continuum Model. If this group is found in the input file, a PCM computation is performed. The default calculation, chosen by selecting only the SOLVNT keyword, is to compute the electrostatic free energy. Appropriate numerical constants are provided for a wide range of solvents. Typical input might be as simple as

```
$PCM SOLVNT=H2O $END
```

There is in fact little need to give other PCM input data, except perhaps atomic radii in \$PCMCAV if your molecule contains an unusual atom.

Additional keywords allow for more sophisticated computations, namely cavitation, repulsion, and dispersion free energies. The methodology for these is general, but numerical constants are provided only for water. See the Fragment Molecular Orbital section of the References chapter for information on using PCM within the FMO model. Polarizabilities in solution may be found by RUNTYP=TDHF.

Calculations are possible on either a solute embedded in a PCM continuum, or a system combining a solute & EFP explicit solvent molecules, embedded in a PCM continuum. The energy and/or nuclear gradients are programmed for each, for RHF, ROHF, UHF, GVB, and MCSCF wavefunctions, and their DFT counterparts, but not for TD-DFT, CI, or Coupled Cluster, or for semiempirical MOPAC runs. MP2 permits energy evaluation, but not gradient runs. Parallel computation is enabled, with scaling that should resemble the scaling of the corresponding gas phase calculation.

There is additional information on PCM in the References chapter of this manual. This includes information on which keyword combinations were default values in the past.

SOLVNT = keyword naming the solvent of choice. The eight numerical constants defining the solvent are internally stored for the following:

WATER (or H2O)	
CH3OH	C2H5OH
CLFORM (or CHCl3)	CTCL (or CCl4)
METHYCL (or CH2Cl2)	12DCLET (or C2H4Cl2)
BENZENE (or C6H6)	TOLUENE (or C6H5CH3)
CLBENZ (or C6H5Cl)	NITMET (or CH3NO2)
NEPTANE (or C7H16)	CYCHEX (or C6H12)
ANILINE (or C6H5NH2)	ACETONE (or CH3COCH3)
THF	DMSO (or DMETSOX)

The default solvent name is "INPUT" which means you must give the 8 numerical values defining some other solvent, as described below.

--- the next set of parameters controls the computation:
IEF, ICOMP, ICAV, IDISP, IREP, IDP.

Note: IDISP and IREP/IDP can only be used with RHF.

IEF switch to choose the type of PCM model used.
 The default is -10.

- = 0 isotropic dielectrics using the original formulation of PCM for dielectrics (D-PCM)
- = 1 anisotropic dielectric using the Integral Equation Formalism (IEF) of PCM, see \$IEFPCM
- = 2 ionic solutions using IEF-PCM, see \$IEFPCM
- = 3 isotropic dielectrics using IEF-PCM with matrix inversion solver, see \$IEFPCM
- = -3 isotropic dielectric IEF-PCM with iterative solver, see \$PCMITR.
- = 10 conductor-like PCM (C-PCM) with matrix inversion. Charge scaling is $(\text{Eps}-1.0)/\text{Eps}$
- = -10 C-PCM, with iterative solver. See \$PCMITR.

D-PCM should be considered obsolete, while C-PCM is normally a better choice than IEF-PCM.

Technical issues are: IEF=0 should normally choose ICOMP=2. Options IEF=1 or 2 are incompatible with gradients and must choose ICOMP=0, and presently contain bugs (do not choose these!). IEF=3 may not choose ICOMP=3, but if diffuse

functions are in use, this IEF choice may benefit from ICOMP=2. The iterative solvers chosen by IEF=-3 or -10 usually reproduce the energy of the explicit solvers IEF=3 or 10 to within $1.0d-8$ Hartrees, and will be much faster and use less memory for large molecules.

ICOMP = Compensation procedure for induced charges.
Gradient runs require ICOMP be 0 or 2 only.
= 0 None. (default)
= 1 Yes, each charge is corrected in proportion to the area of the tessera to which it belongs.
= 2 Yes, using the same factor for all tesserae.
= 3 Yes, with explicit consideration of the portion of solute electronic charge outside the cavity, by the method of Mennucci and Tomasi. See the \$NEWCAV group.

ICAV = calculate the cavitation energy, by the method of Pierotti and Claverie.
= 0 skip the computation (default)
= 1 perform the computation.

If ICAV=1, the following parameter is relevant:

TABS = the temperature, in Kelvin. (default=298.0)

Inclusion of cavitation energy in geometry optimizations will lead to a degradation of the gradient accuracy, due to its numerical computation with a GEPOLE-GB cavity.

There are two procedures for the calculation of the repulsion and dispersion free energy. Parameterizations were obtained for RHF cases, so the implementation permits their use only for RHF.

IDISP is older, and is incompatible with IREP and IDP. Its use is permitted with gradients (see ICLAV in \$DISREP), but will lead to a degradation of the gradient accuracy due to its numerical computation with a GEPOLE-GB cavity.

IDISP = Calculation of both dispersion and repulsion free energy through the empirical method of Floris and Tomasi.
= 0 skip the computation (default)
= 1 perform the computation. See \$DISREP group.

The next two options add repulsive and dispersive terms to the solute hamiltonian, in a more ab initio manner, by the method of Amovilli and Mennucci. These may be used only in single point energy calculations.

IREP = Calculation of repulsion free energy
= 0 skip the computation (default)
= 1 perform the computation. See \$NEWCAV group.

IDP = Calculation of dispersion free energy
= 0 skip the computation (default)
= 1 perform the computation. See \$DISBS group.

If IDP=1, then three additional parameters must be defined. The two solvent values correspond to water, and therefore these must be input for other solvents.

WA = solute average transition energy. This is computed from the orbital energies for RHF, but must be input for MCSCF runs.
(default=1.10)

WB = ionization potential of solvent, in Hartrees.
(default=0.451)

ETA2 = square of the zero frequency refractive index of the solvent. (default=1.75)

--- interface to Fragment Molecular Orbital method:

IFMO specifies "n" for the n-body FMO expansion of the total electron density to be used in PCM. Non-zero IFMO can be used only within the regular FMO framework (q.v. for further FMO limitations). IFMO should be less or equal than NBODY in \$FMO, Not all PCM options can be used with FMO! The following are explicitly permitted:
IEF=-3,-10; ICOMP=0,1,2; MTHALL=2;
IDISP=0,1; IDP=0; IREP=0,1.

Gradient runs require IFMO=0.
IFMO may take the values of 0,1,2,3. (default=0)

--- the next 8 values define the solvent, if SOLVNT=INPUT:

RSOLV = the solvent radius, in units Angstrom
EPS = the dielectric constant
EPSINF = the dielectric constant at infinite frequency.
This value must be given only for RUNTYP=TDHF,
if the external field frequency is in the optical
range and the solvent is polar; in this case the
solvent response is described by the electronic
part of its polarization. Hence the value of the
dielectric constant to be used is that evaluated
at infinite frequency, not the static one (EPS).
This value also must be given for TD-DFT/PCM,
when NONEQ is selected in \$TDDFT.
For nonpolar solvents, the difference between
the two is almost negligible.
TCE = the thermal expansion coefficient, in units 1/K
VMOL = the molar volume, in units ml/mol
STEN = the surface tension, in units dyne/cm
DSTEN = the thermal coefficient of log(STEN)
CMF = the cavity microscopic coefficient

Values for TCE, VMOL, STEN, DSTEN, CMF need to be given
only for the case ICAV=1. Input of any or all of these
values will override an internally stored value, if you
have chosen a solvent by its name.

--- the next set of keywords defines the molecular cavity,
used for electrostatic (surface charge) calculations. See
also \$PCMCAV, \$TESCAV, and \$NEWCAV for other cavities.

NESFP = option for spheres forming the cavity:
= 0 centers spheres on each nucleus in the quantum
solute, and every atom in EFP. (default)
= N use N initial sphere, whose centers XE, YE, ZE
and radii RIN must be specified in \$PCMCAV.

The cavity generation algorithm may use additional
spheres to smooth out sharp grooves, etc. If you are
interested in smoother cavities, see the SVPE and SS(V)PE

methods, which use a cavity based on isodensity surfaces. The following parameters control how many extra spheres are generated:

OMEGA and FRO = GEPOL parameters for the creation of the 'added spheres' defining the solvent accessible surface. When an excessive number of spheres is created, which may cause problems of convergence, the value of OMEGA and/or FRO must be increased. For example, OMEGA from 40 to 50 ... up to 90,
FRO from 0.2 ... up to 0.7.
(defaults are OMEGA=40.0, FRO=0.7)

RET = minimum radius (in Å) of the added spheres. Increasing RET decreases the number of added spheres. A value of 100.0 (default) inhibits the addition of any spheres, while 0.2 fills in many. The use of added spheres is strongly discouraged.

MODPAR = cavity generation's parallelization option:
0 parallelize tessellation, 1= do not parallelize. The present parallel code is inefficient, so MODPAR=0 is recommended. (default=0)
Don't confuse this with running PCM in parallel!

MXSP = the maximum number of spheres.
Default: MXATM parameter in GAMESS.

MXTS = the maximum number of tesserae.
Default: $N_{\text{sph}} * N_{\text{TSALL}} * 2/3$, where N_{sph} is the number of spheres (usually equal to the number of atoms). If less than 20 spheres are present, default is $N_{\text{sph}} * N_{\text{TSALL}}$. For GEPOL-RT, $N_{\text{TSALL}}=960$ is used in setting the default value.

Note on MXSP and MXTS: PCM usually constructs more than one cavity (for example, a different one for the cavitation energy). MXSP and MXTS must be large enough to handle every possible cavity.

--- arcane parameters:

IPRINT = 0 normal printing (default)
= 1 turns on debugging printout

IFIELD = At the end of a run, calculate the electric potential and electric field generated by the apparent surface charges.
= 0 skip the computation (default)
= 1 on nuclei
= 2 on a planar grid

If IFIELD=2, the following data must be input:

AXYZ,BXYZ,CXYZ = each defines three components of the vertices of the plane where the reaction field is to be computed (in Angstroms)
A ==> higher left corner of the grid
B ==> lower left corner of the grid
C ==> higher right corner of the grid
NAB = vertical subdivision (A--B edge) of the grid
NAC = horizontal subdivision (A--C edge) of the grid.

=====

\$PCMCAV group

(optional)

This group controls generation of the cavity holding the solute during Polarizable Continuum Model runs. The cavity is a union of spheres, according to NESFP given in \$PCM. The data in this group supplements cavity data given in \$PCM. It is unlikely that users will input anything here, except perhaps a few RIN values. The data given here must be in Angstrom units.

XE,YE,ZE = arrays giving the coordinates of the spheres.
if NESFP=0, the atomic positions will be used.
if NESFP>0, you must supply NESFP values here.

RADII = three tables of values (Angstroms!) are available:
VANDW selects van der Waals radii (default)
This table has radii for atoms
H,He, B,C,N,O,F,Ne, Na,Al,Si,P,S,Cl,Ar,
K,As,Se,Br,Kr, Rb,Sb,Te,I, Cs,Bi
internally tabulated, otherwise give RIN.
= VDWEFP, similar to VANDW, except that radii not
tabulated by VANDW are assigned as 1.60A.
This option is most useful for protein-EFP
calculations.
= SUAHF, the simplified united atomic radii will be
be used for the array RIN, namely
H:0.01 C:1.77 N:1.68 O:1.59 P:2.10 S:2.10
For the other elements with Z<16, 1.50 is used.
For the elements with Z>16, 2.30 will be applied.

RIN = an array giving the sphere radii. Radii given here
will overwrite the values selected by RADII's tables.
RIN values are multiplied by ALPHA, see just below.
if NESFP=0, the program will look up the internally
data according to the RADII keyword.
if NESFP>0, give NESFP values.

Example: Suppose the 4th atom in your molecule is Fe, but
all other atoms have van der Waals radii. You
decide a good guess for Fe is twice the covalent
radius: \$PCMCAV RIN(4)=2.33 \$END. Due to ALPHA,
traditionally 1.2, the Fe radius will be 2.796.

The source for the van der Waals radii is "The Elements", 2nd Ed., John Emsley, Clarendon Press, Oxford, 1991, except for C,N,O where the Pisa group's experience with the best radii for PCM treatment of singly bonded C,N,O atoms is taken. The radii for a few transition metals are given by A.Bondi, J.Phys.Chem. 68, 441-451(1964).

ALPHA = an array of scaling factors, for the definition of the solvent accessible surface. If only the first value is given, all radii are scaled by the same factor. (default is ALPHA(1)=1.2)

=====

=====

\$TESCAV group (optional)

This group controls the tessellation procedure for the cavity surfaces in PCM computations. The default values for this group will normally be satisfactory. Use of the FIXPVA mechanism for dividing the surface of the atomic spheres into tesseræ should allow for convergent PCM geometry optimizations. To converge to small OPTTOL values may require the use of internal coordinates, since the tessellation amounts to a finite grid (so the PCM energy is not strictly rotationally invariant).

Cartesian geometry optimizations may require a high density of tesseræ on the cavity surface:

NTSALL=240 (or 960)

This may require raising the maximum number of tesseræ, see MXTS in \$PCM. It is reasonable to just try internal coordinates first, as this should be sufficient w/o increasing the tesseræ density. See also IFAST=1 in \$PCMGRD.

--- The first two arrays control the density of tesseræ and the method to generate the tesseræ.

INITS = array defines the initial number of tesseræ for each sphere. Only 60, 240 and 960 are allowed, but the value can be different for each sphere. (Default is INITS(1)=60,60,60,...) See NTSALL.

METHOD = array defining the tessellation method for each sphere. The value can be different for each sphere. The default is 4 for all spheres, e.g. METHOD(1)=4,4,4,... See also MTHALL.

= 1 GEPOL-GB, "Gauss-Bonet" tessellation.

= 2 GEPOL-AS, "area scaling" tessellation.

= 3 GEPOL-RT, "regular tessellation".

= 4 FIXPVA, "Fixed points with variable area".

--- The next three parameters are presets for filling the arrays INITS and METHOD with identical values.

NTSALL = 60, 240 or 960 (default = 60)

All values in the array INITS are set to NTSALL

MTHALL = 1, 2, 3, or 4 (default = 4)
All values in the array METHOD are set to MTHALL

MTHAUT = 0 or 1 (default = 0)
If RUNTYP=OPTIMIZE and frozen atoms are defined by IFCART, MTHAUT=1 will select METHOD=1 for frozen atoms. See also AUTFRE and NTSFRZ.

note: Explicitly defining INITS and METHOD from the input deck will overrule the presets from NTSALL, MTHALL and/or MTHAUT.

--- The following two parameters control GEPOL-RT

AREATL = The area criterion (A^2) for GEPOL-RT. Tesserae with areas $< AREATL$ at the boundary of intersecting spheres will be neglected. Default=0.010 A^2 . Smaller AREATL cause larger number of tesserae. $AREATL < 0.00010$ is not recommended.

BONDRY = Controls (by scaling) the distance within which tesserae are considered "close" to the boundary. Such tesserae will be recursively divided into smaller ones until their areas are $< AREATL$. The default (= 1.0) means the distance is the square root of the tessera area. A large BONDRY value like 1000.0 will lead to fine tessellation for the entire surface with all tessera areas $< AREATL$.

--- The next two parameters are only relevant if MTHAUT=1

AUTFRE = Distance (A) for frozen atoms to be treated as moving atoms when MTHAUT=1. Default=2.0 A.

NTSFRZ = 60, 240 OR 960, initial tessera number for frozen atoms. Default=60

=====

\$NEWCAV group (optional)

This group controls generation of the "escaped charge" cavity, used when ICOMP=3 or IREP=1 in \$PCM. This cavity is used only to calculate the fraction of the solute electronic charge escapes from the original cavity.

IPTYPE = choice for tessalation of the cavity's spheres.
= 1 uses a tetrahedron
= 2 uses a pentakis dodecahedron (default)

ITSNUM = m, the number of tessera to use on each sphere.
if IPTYPE=1, input $m=30*(n**2)$, with $n=1,2,3$ or 4
if IPTYPE=2, input $m=60*(n**2)$, with $n=1,2,3$ or 4
(default is 60)

*** the next three parameters pertain to IREP=1 ***

RHOW = density, relative to liquid water (default = 1.0)

PM = molecular weight (default = 18.0)

NEVAL = number of valence electrons on solute (default=8)

The defaults for RHOW, PM, and NEVAL correspond to water, and therefore must be correctly input for other solvents.

\$PCMGRD group (optional)

This group controls the PCM gradient computations. It is of a technical nature, and is seldom given.

IPCDER = selects different methods for PCM gradients

- 1 use $U_x(q)$ approximation (C-PCM and IEF-PCM), or use charge-derivative method (D-PCM). This is the default for D-PCM.
- 2 Variable-Tessera-Number Approximation. Implemented only for C-PCM and IEF-PCM, and the default for GEPOL-AS tessellation.
- 3 The same as 2, but for FIXPVE tessellation.

The program will pick the correct default for IPCDER!

note: If ICAV = 1 or IDISP = 1 in \$PCM, the derivatives of the cavitation energy or dispersion-repulsion, respectively, will automatically be calculated. These particular steps are evaluated numerically. You must be using the following input:

```
$PCM ICAV=1 IDISP=1 $END
$DISREP ICLAV=1 $END
```

IFAST = Controls the PCM calculations for RUNTYP=OPTIMIZE.

- 0 update PCM charges at each SCF cycle at every geometry (default)
- 1 update PCM charges at each SCF cycle for the initial geometry. For the subsequent geometries, calculate PCM charges at the first SCF cycle and use the PCM charges for the following SCF cycles; after the density change falls below DENTOL, update the PCM charges one time (to save CPU time).

\$IEFPCM group (optional)

This group defines data for the integral equation formalism version of PCM solvation. It includes special options for ionic or anisotropic solutions.

The next two sets are relevant only for anisotropic solvents, namely IEF=1:

EPS1, EPS2, EPS3 =
diagonal values of the dielectric permittivity tensor with respect to the laboratory frame.
The default is EPS in \$PCM

EUPHI, EUTHE, EUPSI =
Eulerian angles which give the rotation of the solvent orientation with respect to the lab frame.
The term lab frame means \$DATA orientation.
The default for each is zero degrees.

The next two are relevant to ionic solvents, namely IEF=2:

EPSI = the ionic solutions's dielectric, the default is EPS from \$PCM.

DISM = the ionic strength, in Molar units (mol/dm**3)
The default is 0.0

=====
\$PCMITR group (optional, for IEF=-3 or -10 in \$PCM)

This group provides control over the iterative isotropic IEF-PCM calculation. See

C.S.Pomelli, J.Tomasi, V.Barone
Theoret.Chem.Acc. 105, 446-451(2001)
H.Li, C.S.Pomelli, J.H.Jensen
Theoret.Chem.Acc. 109, 71-84(2003)

MXDIIS = Maximum size of the DIIS linear equations, the value impacts the amount of memory used by PCM. Memory=2*MXDIIS*NTS, where NTS is the number of tesserae. MXDIIS=0 means no DIIS, instead the point Jacobi iterative method will be used. (Default=50)

MXITR1 = Maximum number of iters in phase 1. (Default=50)

MXITR2 = Maximum number of iters in phase 2. (Default=50)

note: if MXDIIS is larger than both MXITR1 and MXITR2
MXDIIS will be reset to be the larger of these two.

THRES = Convergence threshold for the PCM Apparent Surface Charges (ASC). (Default=1.0D-08)

THRSLs = Loose threshold used in the early SCF cycles when the density change is above DENSLS. If THRSLs < THRESH, this option is turned off. Default is 5.0D-04.

DENSLS = If the density change is above DENSLS the loose threshold THRSLs applies. (Default = 0.01 au)

IDIRECT = 1, Directly compute the electronic potential at each tessera and the ASC potential at the electronic coordinates, with no disk storage. (Default)

0, Compute and save above data to hard disk.

Keywords for region wise multipole expansion of ASCs in approximating interaction among tesserae:

(C.S.Pomelli, J.Tomasi THEOCHEM 537, 97-105(2001))

IMUL = Region wise multipole expansion order in the approximate interaction among tesserae.
= 0, Neglected (Only for test purposes)
= 1, Monopole
= 2, Monopole+Dipole
= 3, Monopole+Dipole+Quadrupole (Default)

RCUT1 = Cutoff radius (Angstrom) for mid-range interactions among tesserae. Default=15.0 A
If RCUT1 is larger than your molecule, the option is effectively turned off.

RCUT2 = Cutoff radius (Angstrom) for long range interactions among tesserae. Default=30.0 A

The remaining keywords apply only to PCM calculations with a QM/EFP solute (see Li et al.)

Keywords for region wise multipole expansion of ASCs in approximating interaction between ASCs and QM region:

IMGASC = 1, Use region wise multipole expansion of ASCs to compute the ASC potential at QM region.
0, no use of the multipole expansion method. (default)

RASC = Cutoff radius (Angstrom) for used of the IMGASC multipole expansion (Default=20.0 A)

Keywords for multipole expansion of the QM region in approximating the QM region potential:

IMGABI = 0, multipole expansion of the QM region is turned off (default).
1, turn multipole expansion of the QM region on.

RABI = Cutoff radius (Angstrom) for used of the IMGABI multipole expansion (Default=4.0 A)

Keywords for the coupling of PCM and EFP polarizability tensors:

IEFPOL = 1, PCM ASCs induce EFP dipoles.(default)

0, PCM ASCs do not induce EFP dipoles.

REFPOL = When IEFPOL=1, if the distance (Angstrom) between a polarizability point and a tessera is less than REFPOL, they are considered too close and the field from the tessera will not induce dipole for the polarizability point. Default=0.0 A means always induce the dipole.

=====

=====

\$DISBS group (optional)

This group defines auxiliary basis functions used to evaluate the dispersion free energy by the method of Amovilli and Mennucci. These functions are used only for the dispersion calculation, and thus have nothing to do with the normal basis given in \$BASIS or \$DATA. If the input group is omitted, only the normal basis is used for the IDP=1 dispersion energy.

NADD = the number of added shells

XYZE = an array giving the x,y,z coordinates (in bohr) of the center, and exponent of the added shell, for each of the NADD shells.

NKTYPE = an array giving the angular momenta of the shells

An example placing 2s,2p,2d,1f on one particular atom,

```
$DISBS  NADD=7  NKTYP(1)= 0 0 1 1 2 2 3
        XYZE(1)=2.9281086  0.0  .0001726  0.2
                2.9281086  0.0  .0001726  0.05
                2.9281086  0.0  .0001726  0.2
                2.9281086  0.0  .0001726  0.05
                2.9281086  0.0  .0001726  0.75
                2.9281086  0.0  .0001726  0.2
                2.9281086  0.0  .0001726  0.2  $END
```

=====

=====

\$DISREP group (optional)

This group controls evaluation of the dispersion and repulsion energies by the empirical method of Floris and Tomasi. The group must be given with IDISP=1 in \$PCM. The two options are controlled by ICLAV and ILJ, only one of which should be selected.

ICLAV = selects Claverie's disp-rep formalism.
 = 0 skip computation.
 = 1 Compute the solute-solvent disp-rep interaction as a sum over atom-atom interactions through a Buckingham-type formula (R^{-6} for dispersion, exp for repulsion). (default)
 Ref: Pertsin-Kitaigorodsky "The atom-atom potential method", page 146.

ILJ = selects a Lennard-Jones formalism.
 = 0 skip computation. (default)
 = 1 solute atom's-solvent molecule interaction is modeled by Lennard-Jones type potentials, R^{-6} for dispersion, R^{-12} for repulsion).

---- the following data must given for ICLAV=1:

RHO = solvent numeral density
 N = number of atom types in the solvent molecule
 NT = an array of the number of atoms of each type in a solvent molecule
 RDIFF = distances between the first atoms of each type and the cavity
 DKT = array of parameters of the dis-rep potential for the solvent
 RWT = array of atomic radii for the solvent

The defaults are chosen for water,

RHO=3.348D-02
 N=2
 NT(1)=2,1
 RDIFF(1)=1.20,1.50
 DKT(1)=1.0,1.36
 RWT(1)=1.2,1.5

DKA = array of parameters of the dis-rep potential for

the solute. Defaults are provided for some common elements:

H: 1.00	Be: 1.00	B: 1.00	C: 1.00
N: 1.10	O: 1.36	P: 2.10	S: 1.40

RWA = array of atomic radii for the solute to compute dis-rep. Defaults are provided for some common elements:

H: 1.20	Be: 1.72	B: 1.72	C: 1.72
N: 1.60	O: 1.50	P: 1.85	S: 1.80

Other elements have DKA and RWA values of 0.0 and must be given in the input deck, or the DIS-REP energy will be 0. For EFP/PCM calculations, only QM atoms need DKA and RWA values to calculate the DIS-REP energy.

---- the following data must given for ILJ=1:

RHO = solvent numeral density

EPSI = an array of energy constants referred to each atom of the solute molecule.

SIGMA = an array of typical distances, relative to each solute atom

=====

=====

\$\$SVP group

(optional)

The presence of this group in the input turns on use of the Surface and Simulation of Volume Polarization for Electrostatics (SS(V)PE) solvation model, or the more exact Surface and Volume Polarization for electrostatics (SVPE) model. These model the solvent as a dielectric continuum, and are available with either an isodensity or spherical cavity, for a solute described by RHF, UHF, ROHF, GVB, or MCSCF wavefunctions. The energy is reported as a free energy, which includes the factor of 1/2 that accounts for the work of solvent polarization assuming linear response. Gradients are not yet available.

Typical use of either the SS(V)PE or the SVPE method will involve a prior step to do an equivalent calculation on the given solute in the gas phase. This provides a set of orbitals that can be used as a good initial guess for the subsequent run including solvent. It also provides the gas phase energy that can be subtracted from the energy in solvent to obtain the electrostatic contribution to the free energy of solvation. The solvation free energy is the difference in the "FINAL" energy found in the gas phase and solvated runs (not to be confused with the "reaction field energy" found on the solvated output).

Many runs will be fine with all parameters set at their default values. The most important parameters a user may want to consider changing are:

- NVLPL = treatment of volume polarization
0 - SS(V)PE method, which simulates volume polarization by effectively folding in an additional surface polarization (default)
N - SVPE method, which explicitly treats volume polarization with N extra layers
- DIELST = static dielectric constant of solvent
(default = 78.39, appropriate for water)
- IVERT = 0 do an equilibrium calculation (default)
1 do a nonequilibrium calculation to get the final state of a vertical excitation - this requires

that IRDRF=1 to read the \$SVPIRF input group that was punched with IPNRF=1 in a run on the initial state - note that a meaningful result is obtained only if the initial and final states both come from the same wavefunction/basis set/geometry/solvation model.

- DIELOP = optical dielectric constant of solvent -
this only relevant if IVERT=1
(default 1.776, appropriate for water)
- EGAS = gas phase energy (optional): if given, the program will output the free energy of solvation and the change in solute internal energy due to solvation. note that a meaningful result is obtained only if EGAS comes from the same wavefunction/basis set/geometry as is used in the solvation calculation
- ISHAPE = sets the shape of the cavity surface
0 - electronic isodensity surface (default)
1 - spherical surface
- RHOISO = value of the electronic isodensity contour used to specify the cavity surface, in electrons/bohr**3
(relevant if ISHAPE=0; default=0.001)
- RADSPH = sphere radius used to specify the cavity surface. A positive value means it is given in Bohr, negative means Angstroms. (relevant if ISHAPE=1; default is half the distance between the outermost atoms plus 1.4 Angstroms)
- INTCAV = selects the surface integration method
0 - single center Lebedev integration (default)
1 - single center spherical polar integration, not recommended; Lebedev is far more efficient
- NPTLEB = number of Lebedev-type points used for single center surface integration. The default value has been found adequate to obtain the energy to within 0.1 kcal/mol for solutes the size of monosubstituted benzenes. (relevant if INTCAV=0)
Valid choices are 6, 14, 26, 38, 50, 86, 110, 146, 170, 194, 302, 350, 434, 590, 770, 974, 1202, 1454, 1730, 2030, 2354, 2702, 3074, 3470, 3890,

4334, 4802, 5294, or 5810. (default=1202)

NPTTHE, NPTPHI = number of (theta,phi) points used for single center surface integration. These should be multiples of 2 and 4, respectively, to provide symmetry sufficient for all Abelian point groups. (relevant if INTCAV=1; defaults = 8,16; these defaults are probably too small for all but the tiniest and simplest of solutes.)

TOLCHG = a convergence criterion on the program variable named CHGDIF, which is the maximum change in any surface charge from its value in the previous iteration (default=1.0D-7). This is checked in each SCF iteration, although the actual value is not printed until final convergence is reached.

The single-center surface integration approach may fail for certain highly nonspherical molecular surfaces. The program will automatically check for this and bomb out with a warning message if need be. The single-center approach succeeds only for what is called a star surface, meaning that an observer sitting at the center has an unobstructed view of the entire surface. Said another way, for a star surface any ray emanating out from the center will pass through the surface only once. Some cases of failure may be fixed by simply moving to a new center with the ITRNGR parameter described below. But some surfaces are inherently nonstar surfaces and cannot be treated with this program until more sophisticated surface integration approaches are implemented.

ITRNGR = translation of cavity surface integration grid

- 0 - no translation (i.e., center the grid at the origin of the atomic coordinates)
- 1 - translate to center of nuclear mass
- 2 - translate to center of nucl. charge (default)
- 3 - translate to midpoint of outermost atoms
- 4 - translate to midpoint of outermost non-Hydrogen atoms
- 5 - translate to user-specified coordinates, in Bohr
- 6 - translate to user-specified coordinates, in Angstroms

TRANX, TRANY, TRANZ = x,y,z coordinates of translated cavity center, relevant if ITRNGR=5 or 6.
(default = 0,0,0)

IROTGR = rotation of cavity surface integration grid

- 0 - no rotation
- 1 - rotate initial xyz axes of integration grid to coincide with principal moments of nuclear inertia (relevant if ITRNGR=1)
- 2 - rotate initial xyz axes of integration grid to coincide with principal moments of nuclear charge (relevant if ITRNGR=2; default)
- 3 - rotate initial xyz axes of integration grid through user-specified Euler angles as defined by Wilson, Decius, Cross

ROTTHE, ROTPHI, ROTCHI = Euler angles (theta, phi, chi) in degrees for rotation of the cavity surface integration grid, relevant if IROTGR=3.
(default=0,0,0)

IOPPRD = choice of the system operator form. The default symmetric form is usually the most efficient, but when the number of surface points N is big it can require very large memory (to hold two N by N matrices). The nonsymmetric form requires solution of two consecutive system equations, and so is usually slower, but as trade-off requires less memory (to hold just one N by N matrix). The two forms will lead to slightly different numerical results, although tests documented in the third reference given in Further Information show that the differences are generally less than the inherent discretization error itself and so are not meaningful.

- 0 - symmetric form (default)
- 1 - nonsymmetric form

The remaining parameters below are rather specialized and rarely of concern. They should be changed from their default values only for good reason by a knowledgeable user.

TOLCAV = convergence criterion on maximum deviation of

calculated vs. requested RHOISO
(relevant if ISHAPE=0; default=1.0D-10)

ITRCAV = maximum number of iterations to allow before
giving up in search for isodensity surface.
(relevant if ISHAPE=0; default=99)

NDRCAV = highest analytic density derivative to use in the
search for isodensity surface.
0 - none, use finite differences (default)
1 - use analytic first derivatives

LINEQ = selects the solvers of linear equation solver
equations that determine the effective point
charges on the cavity surface.
0 - use LU decomposition in memory if space
permits, else switch to LINEQ=2
1 - use conjugate gradient iterations in memory if
space permits, else use LINEQ=2 (default)
2 - use conjugate gradient iterations with the
system matrix stored externally on disk.

CVGLIN = convergence criterion for solving linear equations
by the conjugate gradient iterative method
(relevant if LINEQ=1 or 2; default = 1.0D-7)

CSDIAG = a factor to multiply diagonal elements to improve
the surface potential matrix, S.
(default = 1.104, optimal for Lebedev integration)

IRDRF = a flag to read in a set of point charges as an
initial guess to the reaction field.
0 - no initial guess reaction field (default)
1 - read point charges from \$SVPIRF input group.
It is up to the user to be sure that the
number of charges read is appropriate.

IPNRF = a flag to punch the final reaction field.
0 - no punch (default)
1 - punch in format of \$SVPIRF input group

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\$SVPIRF group (optional; relevant for SVP runs)

Formatted card images of reaction field point charges, as punched by setting IPNRF=1 in a previous SVP run. These can be used by setting IRDRF=1 in a subsequent SVP run to provide an initial guess to the reaction field.

These charges from the initial state are required if IVERT=1 in \$SVP to do a vertical excitation calculation on the final state.

=====

\$COSGMS group (optional)

The presence of this group in the input turns on the use of the conductor-like screening model with molecular shaped cavity for RHF and closed shell MP2. For RHF, the energy and gradient can be computed, while MP2 is limited to the energy only.

EPSI = the dielectric constant, 80 is often used for H2O
This parameter must be given.

RSOLV = the multiplicative factor for the van der Waals
radius used for cavity construction.
(default=1.2)

NSPA = the number of surface points on each atomic
sphere that form the cavity. (default=92)

Additional information on the COSMO model can be
found in the References chapter of this manual.

\$SCRF group

(optional)

The presence of this group in the input turns on the use of the Kirkwood-Onsager spherical cavity model for the study of solvent effects. The method is implemented for RHF, UHF, ROHF, GVB and MCSCF wavefunctions and gradients, and so can be used with any RUNTYP involving the gradient. The method is not implemented for MP2, CI, any of the semiempirical models, or for analytic Hessians.

DIELEC = the dielectric constant, 80 is often used for H₂O

RADIUS = the spherical cavity radius, in Angstroms

G = the proportionality constant relating the solute molecule's dipole to the strength of the reaction field. Since G can be calculated from DIELEC and RADIUS, do not give G if they were given.

Additional information on the SCRF model can be found in the Further Information chapter.

=====

\$ECP group (required if PP=READ in \$CONTRL)

This group lets you read in effective core potentials, for some or all of the atoms in the molecule. You can use built in potentials for some of the atoms if you like. This is a free format (positional) input group.

*** Give a card set -1-, -2-, and -3- for each atom ***

-card 1- PNAME, PTYPE, IZCORE, LMAX+1

PNAME is a 8 character descriptive tag for this potential. If it is repeated for a subsequent atom, no other information need be given on this card, and cards -2- and -3- may also be skipped. The information will be copied from the first atom by this PNAME.

Do not use the option to repeat the previously read ECP for an atom with PTYPE=NONE, instead type "NONE" over and over again.

PTYPE = GEN a general potential should be read.
 = SBKJC look up the Stevens/Basch/Krauss/Jasien/Cundari potential for this type of atom.
 = HW look up the Hay/Wadt built in potential for this type of atom.
 = NONE treat all electrons on this atom.

IZCORE is the number of core electrons to be removed. Obviously IZCORE must be an even number, or in other words, all core orbitals being removed must be completely occupied.

LMAX is the maximum angular momentum occupied in the core orbitals being removed (usually). Give IZCORE and LMAX only if PTYPE is GEN.

*** For the first occurrence of PNAME, if PTYPE is GEN, ***
 *** then give cards -2- and -3-. Otherwise go to -1-. ***

*** Card sets -2- and -3- are repeated LMAX+1 times ***

The potential U(LMAX+1) is given first, followed by U(L)-U(LMAX+1), for L=1,LMAX.

-card 2- NGPOT

NGPOT is the number of Gaussians in this part of the local effective potential.

-card 3- CLP,NLP,ZLP (repeat this card NGPOT times)

CLP is the coefficient of this Gaussian in the potential.

NLP is the power of r for this Gaussian.

ZLP is the exponent of this Gaussian.

Note that PTYPE lets you to type in one or more atoms explicitly, while using built in data for other atoms.

By far the easiest way to use the SBKJC potential for all atoms in the formic acid molecule is to request PP=SBKJC in \$CONTRL. But here we show two alternatives.

The first way is to look up the program's internally stored SBKJC potentials one atom at a time:

```
$ECP
C-ECP SBKJC
H-ECP NONE
O-ECP SBKJC
O-ECP
H-ECP NONE
$END
```

The second oxygen duplicates the first, no core electrons are removed on hydrogen. The order of the atoms must follow that generated by \$DATA. All atoms must be given here in \$ECP, not just the symmetry unique atoms.

The second example reads all SBKJC potentials explicitly:

```
$ECP
C-ECP GEN 2 1
1 ----- CARBON U(P) -----
-0.89371 1 8.56468
2 ----- CARBON U(S)-U(P) -----
1.92926 0 2.81497
14.88199 2 8.11296
H-ECP NONE
O-ECP GEN 2 1
1 ----- OXYGEN U(P) -----
```

```
-0.92550  1 16.11718
2         ----- OXYGEN U(S)-U(P) -----
  1.96069  0  5.05348
 29.13442  2 15.95333
O-ECP
H-ECP NONE
$END
```

Again, the 2nd oxygen copies from the first. It is handy to use the rest of card -2- as a descriptive comment.

As a final example, for antimony we have LMAX+1=3 (there are core d's). One must first enter U(f), followed by U(s)-U(f), U(p)-U(f), U(d)-U(f).

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\$MCP group (required if MCP READ was given on card -6U-)

This group lets you read in model core potentials, for some or all of the atoms in the molecule. This is a fixed format input group. For the review of the MCP method, see M.Klobukowski, S.Huzinaga, and Y.Sakai, pp. 49-74 in J. Leszczynski, "Computational Chemistry", vol. 3 (1999) .

*** Give input -1-, -2-, ..., -9- for each MCP atom ***

-card 1- ANAT

ANAT is a 8 character name for the MCP atom.
It must match the name given for that atom
in the \$DATA group.

-card 2- NOAN, (NO(IS),NG(IS), IS=1,4) FORMAT(9I3)
IS = 1, 2, 3, 4 for s, p, d, and f symmetry, resp.

NOAN is the number of terms in the MCP
NO(IS) is the number of core orbitals in symmetry IS
NG(IS) is the number of basis functions used to
expand the core orbitals in symmetry IS

-card 3- ZEFF, MCPFMT FORMAT(F10.2, A8)

ZEFF is the number of valence electrons, e.g. 7.0
for Fluorine
MCPFMT is the format for reading floating-point
numbers in the MCP data

-card 4- (ACOE(L), L=1,NOAN) FORMAT(MCPFMT)

ACOE(L) is the L-th coefficient in the expansion of
the model core potential; more than one
line may be provided
ACOE(L) is the defined as A(l) in Eq. (38)
of the MCP review paper.

-card 5- (AEXP(L), L=1,NOAN) FORMAT(MCPFMT)

AEXP(L) is the L-th exponent in the expansion of the
model core potential; more than one line
may be provided

AEXPN(L) is the defined as $\alpha(1)$ in Eq. (38) of the MCP review paper.

-card 6- (NINT(L), L=1,NOAN) FORMAT(10I3)

NINT(L) is the power of R in the expansion of the model core potential; NINT(L) is defined as $n(1)$ in Eq. (38) of the MCP review paper.

*** For each symmetry IS present in the core orbitals ***
 *** read the card set -7-, -8-, and -9- ***

-card 7- (BPAR(K), K=1,NO(IS)) FORMAT(MCPFMT)
 BPAR(K) is the constant in the core projector operator, B(k) in Eq. (41) of the review.

-card 8- (EX(I), I=1,NG(IS)) FORMAT(MCPFMT)
 EX(I) is the exponent of the I-th Gaussian function used to expand the core orbitals

*** Repeat -9- for each core orbital in symmetry IS ***

-card 9- (C(I), I=1,NG(IS)) FORMAT(MCPFMT)
 C(I) expansion coefficients of the core orbital

The following example input file is for H2CO, and by the way, provides another example of COORD=HINT.

```
!
$CONTRL  RUNTYP=ENERGY  COORD=HINT  PP=MCP $END
$DATA
Formaldehyde H2CO
CNV      2

C   6.0    LC   0.00          0.0    0.0  - 0 K
MCP READ          <<<< this is an MCP atom
L           3          <<<< (311/311/1) basis
1  18.517235      -0.16370140      0.22673090E-01
2   2.5787547     -0.26304451      0.19109693
3  0.58994362      0.58040872      0.50918856
L           1
1  0.17330638          1.0000000      1.0000000
L           1
1  0.60957120E-01      1.0000000      1.0000000
D 1; 1  0.600  1.0
```

```

O 8.0 LC 1.2031 0.0 0.0 - 0 K
MCP READ <<<< this is an MCP atom
L 3 <<<< (311/311/1) basis
1 44.242510 -0.13535836 0.17372951E-01
2 6.2272700 -0.30476423 0.16466813
3 1.4361751 0.43955753 0.46721611
L 1
1 0.40211473 1.0000000 1.0000000
L 1
1 0.12688798 1.0000000 1.0000000
D 1; 1 1.154 1.0

H 1.0 PCC 1.1012 121.875 0.0 + 0 K I
TZV <<<< not an MCP atom, TZV+pol basis
P 1; 1 1.100 1.0

```

\$END

```

$MCP <<<< start of the MCP data
<<<< empty lines allowed
MCP for C NR (2S/2P) S(2)P(2) <<<< comment
<<<< empty lines allowed
C <<<< MCP for the atom C
2 1 14 <<<< NOAN, NO(1), NG(1)
4.00(4D15.8) <<<< ZEFF, MCPFMT
.41856306 .99599513E-01 <<<< ACOEF
16.910482 7.4125554 <<<< AEXPN
0 0 <<<< NINT
22.676882 <<<< B(1s)
26848.283 8199.1206 2798.3668 1048.2982
423.36984 181.26843 81.068295 37.403931
17.629539 8.4254263 4.0611964 1.9672294
.95541420 .46459041
.10743274D-03 .21285491D-03 .99343100D-03 .28327774D-02
.83154481D-02 .21694082D-01 .52916004D-01 .11618593D+00
.21812785D+00 .32180986D+00 .29375407D+00 .10974353D+00
.70844050D-02 .17825971D-02

```

```

MCP for O NR (2S/2P) S(2)P(4)
O <<<< MCP for the atom O
2 1 16
6.00(4D15.8)
.31002267 .27178756E-01

```

25.973731	13.843290		
0 0			
41.361784			
57480.749	17270.167	5766.9282	2107.0076
829.06758	346.04791	151.12147	68.233250
31.542773	14.815300	7.0298236	3.3561489
1.6077662	.77153240	.37052330	.17799002
.85822477D-04	.18173691D-03	.84803428D-03	.25439914D-02
.76877460D-02	.20823429D-01	.52424753D-01	.11864010D+00
.22782741D+00	.33492260D+00	.28833079D+00	.93046197D-01
.55937988D-02	.16121923D-02	.10915544D-04	.21431633D-03

\$END

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\$RELWFN group (optional)

This group is relevant if RELWFN in \$CONTRL chose one of the relativistic transformations (DK, RESC, or NESC) for elimination of the small components of relativistic wavefunctions, to produce a corrected single component wavefunction. For DK or RESC, only one electron integral corrections are added, whereas for NESC, corrections to two electron integrals are accounted for by means of a relativistically averaged basis set. All relativistic methods in GAMESS neglect two-electron corrections coming from pVp integrals. The 3rd order DK transformation will normally afford the most sound results, from a theoretical point of view.

Analytic gradients are programmed for both RESC and NESC computations. For DK, all non-relativistic gradient terms are analytic, while the relativistic contributions are evaluated numerically by a double difference formula.

During geometry optimizations, in rare cases, the number of nearly linearly independent functions in the Resolution of the Identity (RI) used to evaluate the most difficult integrals may change at some new geometry. If so, the job will quit with an error message, and the user must restart it again manually.

For DK or RESC, ordinary basis sets are used. This however is a misleading statement, for while any basis set will run, accurate answers may be hard to obtain without the use of basis sets constructed using the relativistic approximations. Certainly at least the contraction coefficients must be modified to account for effects such as the s orbital size contraction under relativity, but the reoptimization of exponents may also be important. Early experience suggests that large uncontracted basis sets using non-relativistic exponents are probably OK, but standard contractions from NR atomic calculations can lead to spurious results. As a rule of thumb, elements H-Xe may be OK, but for heavier elements, use relativistically derived basis sets. DK3 basis sets for H-Lr obtained at U. of Tokyo exist in the form of general contractions, <http://www.chem.t.u-tokyo.ac.jp/appchem/labs/hirao/>

publications/dk3bs/periodic_table.html
published by T.Tsuchiya, M.Abe, T.Nakajima, K.Hirao
J.Chem.Phys. 115,4463-4472(2001)

A program to extract this web page into GAMESS's format is provided with GAMESS, see file ~/gamess/tools/dk3.f. Light to medium atom main group (H-Kr) DK2 bases exist, look for the names cc-pVnZ_DK on
<http://www.emsl.pnl.gov:2080/forms/basisform.html>

For NESC, you must provide three basis sets, for the large and small components and an averaged one, which are given in \$DATAL, \$DATAS, \$DATA, respectively. The only possible choice for these basis sets is due to Dyall, and these are available from

<http://www.emsl.pnl.gov:2080/forms/basisform.html>
Their names are similar to cc-pVnZ(pt/sf/lc), pt=point or fi=finite nucleus, sf for spin-free and the final field is lc=large component (\$DATAL), sc=small component (\$DATAS), and wf is a typo for Foldy-Wouthuysen 2e- basis (\$DATA). In GAMESS you can only use point nucleus approximation. The need to input three basis sets means that you cannot use a \$BASIS group, and you must use COORD=UNIQUE style input in the various \$DATA's. The three \$DATA groups must contain identical information except for the primitive expansion coefficients, as the three basis sets must have the same exponents. In case the option to treat only some atoms relativistically is chosen, all non-relativistic atoms must have identical basis input in all three groups.

The finite size of nuclei is not taken into account, so do not use any basis set obtained including this effect.

For NESC, the one electron part of the spin-orbit operator can be corrected, while for RESC, one can compute spin-orbit coupling with relativistic corrections to both one and two electron SOC integrals, unless internal uncontraction is requested (in this case only 1 electron SOC integrals are modified). It should be noted that internally uncontracted basis sets containing very large exponents have large SOC integrals, thus the average asymmetry due to RESC appears larger (before contraction). For any order DK, the 1e- SOC integrals are corrected only to first order (DK1). It has been observed by many people that even the first order correction is small, and thus it should be sufficient.

* * * the next parameter applies only to RELWFN=DK:

NORDER gives the order of the DK transformation to be applied to the one-electron potential:
1 corresponds to the free particle
2 is the most commonly implemented DK method. It has all relativistic corrections to second order. (default)
3 represents 3rd order DK transformation. It does not include all 3rd order relativity corrections, in the sense of collecting all terms in the same order of c (speed of light), due to using only a 2nd order form of the Coulomb potential ($1/r_{ij}$). However, DK3 gives the closest approximation to the Dirac-Coulomb equation of all methods here.

MODEQR is the mode of quasi-relativistic calculation. These options pertain to the DK or RESC methods. The default is 1 (or 3 if ISPHER=1 in \$CONTRL).

These are additive (bitwise) options, meaning you must enter 5 to request options 1+4:

- = 0 use the input contracted atomic basis set for the Resolution of the Identity (RI) used to simplify the pVp relativistic integrals in order to evaluate them in closed form. Use of this option will reproduce RESC results prior to June 2001. As the accuracy of the RI is compromised, this option is not recommended.
- = 1 use the Gaussian primitives constituting the input contracted atomic basis set to define the RI. This produces a considerable increase in accuracy of the integrals.
- = 2 HONDO's implementation of the RI for RESC is mimicked, namely for ISPHER=+1, the space used for the RI will have no spherical contaminants, similar to the MO space. This option is not available for RESC gradients.
- = 4 avoid redundant exponents when splitting L shells into s and p, when generating the internally uncontracted basis set. This is necessary if you are using s or p primitives with the same exponents as in some L shell.

This is unlikely to occur, but if so, the L shell must be entered before the s or p.

Option 4 requires 1.

= 8 use 128 bit precision in the RIs. Select this option if your exponent range is larger than 64 bits can handle (for example, if your basis set's s primitive's exponents run from $1e+14$ to $1e-2$, 16 orders, exhausting the 14-16 decimal places that 64 bits supports on most machines). Note that setting this option also reduces numerical noise in the gradient. This option can be used with or without the internal uncontraction.

1. 128 bit math can be very slow, depending on your CPU and/or compiler's support for it.

Only relativistic $1e-$ integrals use 128 bits.

2. If your FORTRAN library does not support the REAL*16 data type (128 bits), the code compiles itself in 64 bit mode, and will halt if you ask for 128 bits.

NESOC = relativistic corrections for SOC integrals.
Relevant only if OPERAT=HS01, HS02P, or HS02,
for RUNTYP=TRANSITN.

= 0 no corrections (default for no relativity)

= 1 apply corrections to one-electron spin-orbit integrals (default if RESC, NESC, or DK scalar relativity options are chosen)

NRATOM the number of different elements to be treated nonrelativistically. For example, in Pb304, to treat only lead relativistically, enter NRATOM=1. The elements to be treated nonrelativistically are defined by CHARGE. (default=0)

For NESC, this parameter affects the choice of the basis sets, you should use identical large, small, and averaged basis set for such atoms.

For DK or RESC, MODEQR=1 won't uncontract to the primitives of such atoms.

CHARGE is an array containing nuclear charges of the atoms to be treated nonrelativistically.

(e.g. CHARGE(1)=8.0, to drop all oxygen atoms)

CLIGHT gives the speed of light (atomic units), introduced

as a parameter in order to reproduce exactly results published with a slightly different choice.
Default: 137.0359895

* * * the next parameters are used only with DK or RESC:

QMTTOL same as in \$CONTRL, but used for the preparation of the RI space. It is sensible to use a value smaller than \$CONTRL, if desired. (default: from \$CONTRL).

QRTOL parameter for relativistic gradients.

RESC: tolerance for equating nearly degenerate eigenvalues of the kinetic energy and overlaps, when evaluating the gradient. Values that are too large ($>1e-6$) can cause numerical errors in the gradient, approximately on the same order as QRTOL. Too small values can add very large values to the gradient due to division by numbers that are zero within machine precision that are not avoided with this tolerance filter. The recommended values for MODEQR=1 are $1e-6$ for gold to $1e-7$ for silver. For MODEQR=0, $1d-8$ or smaller can be used. (default = smaller of $1d-8$ or QMTTOL).

DK: Coordinate offset in bohr for the numerical differentiation of the relativistic contributions to the gradient (analogous to VIBSIZ in \$HESS, but applied to gradients). Note that the offset is applied to linear combinations of Cartesian coordinates that conserve symmetry, and have the translations and rotations projected out; the change in Cartesian coordinates is equal to the offset times the expansion coefficient.
Default: $1e-2$.

NVIB The number of offsets per coordinate (similar to NVIB in \$FORCE). NVIB can be 1 or 2 (or -1 or -2). This parameter applies only to DK gradients. Positive values correspond to the projected mode, in which translations, rotations, and any modes which are not totally symmetric are projected out. Negative values correspond to using Cartesian coordinates.

In most cases projected modes are superior; however they can cause slight distortions away from the true symmetry -IF- you specify lower symmetry than the molecule actually possesses. (default=2)

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\$EFIELD group (not required)

This group permits the study of the influence of an external electric field on the molecule. The method is general, and so works for all wavefunctions, and for both energies and nuclear gradients.

EVEC = an array of the three x,y,z components of the applied electric field, in a.u., where $1 \text{ Hartree}/e \cdot \text{bohr} = 5.1422082(15) \text{D}+11 \text{ V/m}$
A typical size for the EVEC components is therefore about 0.001 a.u.

SYM = a flag to specify when the field breaks the the molecular symmetry. Since most fields break symmetry, the default is .FALSE.

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Restrictions: analytic Hessians are not available, but numerical Hessians are. Because an external field causes a molecule with a dipole to experience a torque, geometry optimizations must be done in Cartesian coordinates only. Internal coordinates eliminate the rotational degrees of freedom, which are no longer free.

Notes: a Hessian calculation will have two rotational modes with non-zero "frequency", caused by the torque. A gas phase molecule will rotate so that the dipole moment is anti-parallel to the applied field. To carry out this rotation during geometry optimization will take many steps, and you can help save much time by inputting a field opposite the molecular dipole. There is also a stationary point at higher energy with the dipole parallel to the field, which will have two imaginary frequencies in the Hessian. These will appear as the first two modes in a Hessian run, but will not have the i for imaginary included on the printout since they are rotational modes.

For an application, see
H.Kono, S.Koseki, M.Shiota, Y.Fujimura
J.Phys.Chem.A 105, 5627-5636(2001)

Another use for this group is finite difference calculation of the electric dipole. Perform two RUNTYP=ENERGY jobs per component, with fields 0.001 and -0.001 a.u. The central difference formula for each component of the dipole is

$$\mu = 2.541766 * (E(+0.001) - E(-0.001)) / 0.002, \text{ in Debye.}$$

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\$INTGRL group (optional)

This group controls A0 integral formats. Probably the only values that should ever be selected are QFMM or NINTIC, as the program picks sensible values otherwise.

QFMM = a flag to use the quantum fast multipole method for linear scaling Fock matrix builds. This is available for RHF, UHF, and ROHF wavefunctions, and for DFT, but not with any other correlation treatment. You must select DIRSCF=.TRUE. in \$SCF if you use this option. The RHF and closed shell DFT gradients also uses QFMM techniques. The Optimal Parameter FMM code will run at a comparable speed to a ordinary run doing all integrals for molecules about 15 Angstroms in size, and should run faster for 20 Angstroms or more. See also the \$FMM group. (default=.FALSE.)

SCHWRZ = a flag to activate use of the Schwarz inequality to predetermine small integrals. There is no loss of accuracy when choosing this option, and there are appreciable time savings for bigger molecules. Default=.TRUE. for over 5 atoms, or for direct SCF, and is .FALSE. otherwise.

NINTMX = Maximum no. of integrals in a record block. (default=15000 for J or P file, =10000 for PK)

NINTIC = Controls storage of integrals in memory, with any remaining integrals will be stored on disk. Caution: memory set aside for this parameter is unavailable to the quantum chemistry methods. Positive NINTIC indicate the number of integrals, negative the amount of memory used for integrals and labels (in words). At present NINTIC works robustly for RHF, ROHF, or UHF, is thought to work for GVB or MCSCF and mostly works for sequential MP2 as well. Direct SCF does not use this option! (default=0).

Various antiquated or antediluvian parameters follow:

NOBK = 0 PK integral option on, which is permissible
for RHF, UHF, ROHF, GVB energy/gradient runs.
= 1 PK option off (default for all jobs).
Must be off for anything with a transformation.

NORDER = 0 (default)
= 1 Sort integrals into canonical order. There
is little point in selecting this option, as
no part of GAMESS requires ordered integrals.
See also NSQUAR through NOMEM.

NSQUAR = 0 Sorted integrals will be in triangular
canonical order (default)
= 1 instead sort to square canonical order.

NDAR = Number of direct access logical records to be
used for the integral sort (default=2000)

LDAR = Length of direct access records (site dependent)

NBOXMX = 200 Maximum number of bins.

NWORD = 0 Memory to be used (default=all of it).

NOMEM = 0 If non-zero, force external sort.

The following parameters control integral restarts.

IST=JST=KST=LST=1 NREC=1 INTLOC=1

Values shown are defaults, and mean not restarting.

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\$FMM group (relevant if QFMM selected in \$INTGRL)

This group controls the quantum fast multipole method evaluation of Fock matrices. The defaults are reasonable, so there is little need to give this input.

ITGERR = Target error in final energy, to $10^{**-(ITGERR)}$ Hartree. The accuracy is usually better than the setting of ITGERR, in fact QFMM runs should suffer no loss of accuracy or be more accurate than a conventional integral run (default=7).

QOPS = a flag to use the Quantum Optimum Parameter Searching technique, which finds an optimum FMM parameter set. (Default=.TRUE.)

If QOPS=.FALSE., the ITGERR value is not used. In this case the user should specify the following parameters:

NP = the highest multipole order for FMM (Default=15).

NS = the highest subdivision level (Default=2).

IWS = the minimum well-separateness (Default=2).

IDPGD = point charge approximation error ($10^{**(-IDPGD)}$) of the Gaussian products (Default=9).

IEPS = very fast multipole method (vFMM) error, ($10^{**(-IEPS)}$) (Default=9)

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\$TRANS group (optional for -CI- or -MCSCF-)
 (relevant to analytic Hessians)
 (relevant to energy localization)

This group controls the integral transformation. MP2 integral transformations are controlled instead by the \$MP2 input group. There is little reason to give any but the first variable.

DIRTRF = a flag to recompute AO integrals rather than storing them on disk. The default is .FALSE. for MCSCF and CI runs. If your job reads \$SCF, and you select DIRSCF=.TRUE. in that group, a direct transformation will be done, no matter how DIRTRF is set.

Note that the transformation may do many passes over the AO integrals for large basis sets, and thus the direct recomputation of AO integrals can be very time consuming.

CUTTRF = Threshold for keeping transformed two electron integrals. (default= 1.0d-9, except FMO=1.0d-12)

IPURFY = orbital purification, like PURIFY in \$GUESS.
= 0 skip orbital purification before transform.
= 1 perform purification once per geometry, for example, in the first iteration of MCSCF only.
= 2 purify during every MCSCF iteration.
The default is 0. Use of 2 causes example 9 to take one more iteration to converge, due to the small upsetting of the orbitals between each iteration by this purification. This option is useful if PURIFY in \$GUESS at the initial geometry is insufficient purification.

NOSYM = disables the orbital symmetry test completely. This is not recommended, as loss of orbital symmetry is likely to mean a calculation is turning into garbage. It has the same meaning as the keyword in \$CONTRL, but pertains to just the integral transform. (Default is 0)

The remaining keywords refer almost entirely to the serial integral transformation codes, not the distributed memory routines:

MPTRAN = method to use for the integral transformation.
the default is try 0, then 1, then 2.
0 means use the incore method
1 means use the segmented method.
2 means use the alternate method, which uses
less memory than 2, but much more disk.

NWORD = Number of words of fast memory to allow. Zero
uses all available memory. (default=0)

AOINTS = AO integral storage during parallel runs.
It pertains only to CPHF=MO analytic Hessians.
DUP stores duplicated AO lists on each node.
DIST distributes the AO integral file across
all nodes.

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\$FMO group (optional, activates FMO option)

The presence of this group activates the Fragment Molecular Orbital option, which divides large molecules (think proteins or clusters) into smaller regions for faster computation. The small pieces are termed 'monomers' no matter how many atoms they contain. Calculations within monomers, then 'dimer' pairs, and optionally 'trimer' sets act so as to approximate the wavefunction of the full system. The quantum model may be SCF, DFT, MP2, CC, or MCSCF.

Sample inputs, and auxiliary programs, and other information may be found in the GAMESS source distribution in the directory ~/gamess/tools/fmo.

NBODY = n-body FMO expansion:
0 only run initial monomer guess (maybe remotely useful to create the restart file, or as an alternative to EXETYP=CHECK).
1 run up to monomer SCF
2 run up to dimers (FMO2, the default)
3 run up to trimers (FMO3)

I. The following parameters define layers.

NLAYER = the number of layers (default: 1)

MPLEVL = an array specifying n in MPn PT for each layer, n=0 or 2. (default: all 0s).
Note that MCQDPT is not available and therefore one may not choose this for MCSCF.

DFTTYP = an array specifying the DFT functional type for each layer. (default: DFTTYP in \$DFT).
See \$DFT for possible functionals. Only grid-based DFT is supported (all functionals).

SCFTYP = an array specifying SCF type for each layer.
At present the only valid choices are RHF and MCSCF (default: SCFTYP in \$CONTRL for all).

CCTYP = an array specifying CC type for each layer, which may be only the following choices from \$CONTRL:
 LCCD, CCD, CCSD, CCSD(T), CCSD(T), CCSD(TQ),
 CR-CCL, or non-size extensive R-CC or CR-CC.
 Since FMO's CC methods involve adding corrections from pairs of monomers together, it is better to choose a size extensive method.

TDTYP = an array specifying TDDFT type for each layer, of the same kind as TDDFT in \$CONTRL.
 Default: TDDFT in \$CONTRL for all layers.

II. Parameters defining FMO fragments:

NFRAG = the number of FMO fragments (default: 1)

FRGNAM = an array of names for each fragment (each 1-8 character long) (default: FRG00001,FRG00002...).

INDAT = an array assigning atoms to fragments. Two styles are supported (the choice is made based on INDAT(1): if it is nonzero, choice (a) is taken, otherwise INDAT(1) is ignored and choice (b) is taken):

a) INDAT(i)=m assigns atom i to fragment m.
 INDAT(i) must be given for each atom.

b) the style is
 a1 a2 ... ak 0
 b1 b2 ... bm 0

...

Elements a1...ak are assigned to fragment 1, then b1...bm are assigned to fragment 2, etc.

An element is one of the following:

I or I -J

where I means atom I, and a pair I,-J means the range of atoms I-J. There must be no space after the "-"!

Example:

indat(1)=1,1,1,2,2,1 is equivalent to

indat(1)=0, 1,-3,6,0, 4,5,0

Both assign atoms 1,2,3 and 6 to fragment 1, and 4,5 to fragment 2.

ICHARG = an array of charges on the fragments

(default: all 0 charges)

MULT = an array of multiplicities for each fragment.
At most one fragment is allowed to differ from a singlet, and then only for the MCSCF fragment.
(default: all 1's)

SCFFRG = an array giving the SCF type for each fragment
At present the only combination you can choose is at most one is MCSCF and the rest must be RHF. The values in SCFTYP overwrite SCFFRG, that is, if you want to do a 2-layer calculation, the first layer being RHF and the other MCSCF, then you would use SCFTYP(1)=RHF,MCSCF and SCFFRG(N)=MCSCF, where you should replace N by your MCSCF fragment number. Then the first layer will be all RHF and the other will have one MCSCF fragment. In special cases, some SCFFRG values may be set to NONE, in which case SCF is not performed. This is useful in conjunction with ATCHRG.
(default: SCFTYP in \$CONTRL).

MOLFRG = an array listing fragments for selective FMO, where not all dimers (and/or trimers) are computed. Setting MOLFRG imposes various restrictions, such as RUNTYP=ENERGY only. See MODMOL. Default: all 0.

NOPFRG = printing and other additive options, specified for each fragment,

- 1 set the equivalent of \$CONTRL NPRINT=7 (printing option). Useful if you want to print orbitals only for a few selected monomers.
- 2 set MVOQ to +6 to obtain better virtual orbitals (ENERGY runs only, useful mostly to prepare good initial orbitals for MCSCF).
- 4 generate cube file for the specified fragment, the grid being chosen automatically.
(default: all 0s)
- 64 use frozen atomic charges (defined in ATCHRG) instead of the variational ones to compute converged fragment densities, to describe the electrostatic field from a fragment acting upon other fragments.
- 128 apply options 1 and 4 above only at the final SCF

iteration (correlation or GRADIENT only).

NACUT = automatically divides a molecule into fragments by assigning NACUT atoms to each fragment (useful for something like water clusters). This sets FRGNAM and INDAT, so they need not be given. If 0, the automatic option is disabled. (default: 0)

IEXCIT = a list of options for FMO-TDDFT calculations:

IEXCIT(1): the ordinal number for the TDDFT fragment. There is no default for IEXCIT(1), you should always set it.

IEXCIT(2): chooses n for FMO_n-TDDFT. n=1 means only the fragment given in IEXCIT(1) is computed with TDDFT, n=2 adds dimer corrections (from fragment pairs involving IEXCIT(1)). IEXCIT(2) should not exceed NBODY. Default: 1.

IEXCIT(3): (relevant for FMO2-TDDFT only)
= 0 economic mode: only TDDFT dimer calculations are performed (skipping all other dimers).
= 1 all dimer calculations are performed to obtain not just the excitation but also the total excited state energy.
Default: 0.

IEXCIT(4): excited state matching method in FMO2-TDDFT used to determine which excitations in dimers correspond to those in the TDDFT fragment given in IEXCIT(1). Default=2.
= 0 trivial or identity matching (assume the same order of the excited states in monomers and dimers).
= 1 match the dominant orbital pair (aka DRF) coefficient.
= 2 match the whole excitation vector.

Methods 1 and 2 try to match monomer dimer orbitals first, and then use DRF coefficients. In difficult cases (i.e., if the orbitals in a dimer are very delocalised), methods 1 and 2 may not be able to find the right transition, so some visual checking is recommended.

ATCHRG = array of atomic charges, to be used with NOPFRG, set for some fragments to 64 (i.e., to freeze some of fragment electrostatic potentials during SCC).

Nota bene: the order of atoms in ATCHRG is not the same as in FMOXYZ. In ATCHRG, you should specify atomic charges for all atoms in fragment 1, then for fragment 2 etc, as a single array. For covalently connected fragments there are formally divided atoms (some redundant), and ATCHRG should then list charges for them as well, all in the exact order of atoms in which fragments are defined in FMO. The number of entries in ATCHRG is NATFMO+NBDFFG, where NATFMO is the number of atoms in \$FMOXYZ and NBDFFG is the number of bonds defined in FMOBND.

NATCHA = option applicable to molecular clusters made exclusively of the same molecules. Only NATCHA atoms are then specified in ATCHRG, and the rest are copied from the first set.

RAFO = array of three thresholds defining model systems in FMO/AFO. All of them are multiplicative factors applied to distances. Two atoms are considered covalently bonded if they are separated by the predefined distance determined by their van der Waals radii. Larger RAFO values make further separated atoms to be considered as bonded.

All atoms within RAFO(1) distance from BDA or BAA are included into the model system in AFO (\$FMOBND lists BDAs and BAAs in this order as -BDA BAA). Atoms within RAFO(2) from the set defined by RAFO(1) are replaced by hydrogens. AO coefficients expanding localized orbitals to be frozen are saved for use in FMO for atoms within RAFO(3) from BDA or BAA. A nonzero RAFO(1) turns on FMO/AFO, else FMO/HOP is used. Default: 0,0,0.

MODMOL = additive options for dimers and trimers in the selective FMO based on MOLFRG.

- 1 only do selected correlated calculations,
- 0 do selected correlated and all SCF dimers/trimers. This is the default.
- 2 modifies the choice of dimers/trimers to those within MOLFRG; else (0) to those involving exactly one fragment from MOLFRG.
- 4 do not store NFRAG**3 arrays in FMO3, to be used with MODMOL=2, to reduce memory in special cases. No property summary will be provided, just whatever is printed in SCF for each trimer.

III. Parameters defining FMO approximations

- MODESP = options for ESP calculations.
0 the original distance definition (uniform),
1 an improved distance definition (many-body consistent, applied to unconnected n-mers),
2 an improved distance definition (many-body consistent, applied to all n-mers).
(default: 0 (FMO2) or 1 (FMO3))
- MODGRD = 0 subtract the external potential from the Lagrangian (default).
1 do not do that.
2 add ESP derivatives (MODESP should be 0)
8 add Mulliken charge derivatives to MODGRD=2
MODGRD=1 is kept to reproduce the old MP2 gradient results.
Default: 10 (for FMO2) or 0 (for FMO3).
- RESPAP = cutoff for Mulliken atomic population approx, namely, usage of only diagonal terms in ESPs. It is applied if the distance between two monomers is less than RESPAP, the distance is relative to van der Waals radii; e.g. two atoms A and B separated by R are defined to have the distance equal to $R/(R_A+R_B)$, where R_A and R_B are van der Waals radii of A and B). RESPAP has no units, as may be deduced from the formula. RESPAP=0.0 disables this approximation. (default: 0.0)
- RESPPC = cutoff for Mulliken atomic point charge approximation, namely replacing $2e$ integral contributions in ESPs by effective $1e$ terms). See RESPAP. (default: 2.0 (FMO2) or 2.5 (FMO3))
- RESDIM = cutoff for approximating the SCF energy by electrostatic interaction ($1e$ terms), see RESPAP. This parameter must be nonzero for ab initio electron correlation methods. RESDIM=0 disables this approximation. (default: 2.0 (FMO2) or RITRIM(1)+RITRIM(3) for FMO3 energy, 0 for FMO3 gradient)

RCORSD = cutoff that is compared to the distance between two monomers and all dynamic electron correlation during the dimer run is turned off if the distance is larger than this cutoff. RCORSD must be less than or equal to RESDIM and it affects only MP2, CC and TDDFT.
(default: 2.0 (FM02), RITRIM(1)+RITRIM(4) for FM03 energy, 0 for FM03 gradient)

RITRIM = an array of 4 thresholds determining neglect of 3-body terms (FM03 only). The first three are for uncorrelated trimers and the exact definition can be found in the source code. The fourth one neglects correlated trimers with the separation larger than the threshold value. RITRIM(4) should not exceed RITRIM(3).
(default: 1.25,-1.0,2.0,2.0, which corresponds to the medium accuracy with medium basis sets, see REFS.DOC).

VDWRAD = array of van der Waals radii in Angstrom, one for each atom in the periodic table. Reasonable values are set only for a few light atoms and otherwise a value of 2.5 is used. VDWRAD values are used only to compute distance between fragments and thus somewhat affect all distance-based approximations.

ORSHFT = orbital shift, the universal constant that multiplies all projection operators. The value of $1e+8$ was sometimes erroneously quoted instead of the actual value of $1e+6$ in some FMO publications.
(default: $1e+6$).

MAXKND = the maximum number of hybrid orbital sets (one set is given for each basis set located at the atoms where bonds are detached). See also \$FMOHYB.
(default: 10)

MAXCAO = the maximum number of hybrid orbitals in an LMO set. (default: 5)

MAXBND = the maximum number of detached bonds.
(default: $NFG*2+1$)

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\$FMOPRP group (optional for FMO runs)

Options setting up SCF convergers, parallelization and properties are given here.

I. Parameters for SCF convergers and initial guess

- MAXIT = the maximum number of monomer SCF iterations.
(default 30)
- CONV = monomer SCF energy convergence criterion.
It is considered necessary to set CONV in \$SCF to a value less or equal to the CONV in \$FMO. Usually $1e-7$ works well, but for poorly converging monomer SCF (frequently seen with DFT) one order, smaller value for CONV in \$SCF is recommended, ($1e-7$ in \$FMO and $1e-8$ in \$SCF) (default: $1e-7$).
- NGUESS = controls initial guess (cumulative options, add all options desired) (default=2):
- 1 run free monomer SCF
 - 2 if set, dimer density/orbitals are constructed from the "sum" of monomer quantities, otherwise Huckel guess will be used.
 - 4 insert HMO projection operator in Huckel guess
 - 8 apply dimer HO projection to dimer initial guess
 - 16 do RHF for each dimer and trimer, then run DFT.
 - 128 do not use orbitals from the previous geometry during geometry optimization. This is mostly useful for multilayer optimizations, when this choice must always be set if basis sets differ .
 - 512 reorder initial orbitals manually using \$GUESS options (IORDER), applies to MCSCF layers only.
- IJVEC = Index array enabling reading \$VEC groups defining initial orbitals for individual runs (monomers and dimers). This consists of pairs:
ifg1,jfg1, ifg2,jfg2, ...
The first pair indexes \$VEC1 with ifg1,jfg1, the second pair handles \$VEC2 etc.
ifg,jfg defines a dimer if both are non-zero or a monomer if jfg is zero. The first 0,0 pair ends

the list, which means if \$VEC1, \$VEC3, \$VEC4 are given only \$VEC1 will be used.
(default: all 0s; at most 100 can be given)

MODORB = controls whether orbitals and energies are exchanged between fragments (additive options).
1 exchange orbitals if set, otherwise densities
2 exchange energies
DFT and MCSCF require MODORB=3, otherwise use MODORB=0. (Default: 0 for RHF, 3 for DFT/MCSCF.)

MCONV = an array specifying SCF convergers for each FMO step. Individually (MCONV(2) is for monomers, MCONV(4) for dimers, MCONV(7) for trimers). Each array element is set to A1+A2+A3, where A1 determines SCF and A2 MCSCF convergers, and A3 is the direct/conventional bit common for all SCF methods. MCONV is an additive option:

A1(SCF):	A2(MCSCF):	A3(direct)
1 EXTRAP	1024 FOCAS	256 FDIFF
2 DAMPH	2048 SOSCF	512 DIRSCF
4 VSHIFT	4096 DROPC	
8 RSTRCT	8192 CANONC	
16 DIIS	16384 FCORE	
32 DEM	32768 FORS	
64 SOSCF	65536 NOCI	
	131072 EKT	
	262144 LINSER	
	524288 JACOBI	
	1048576 QUD	

There are some limitations on joint usage for each that can be understood from \$SCF or \$MCSCF. If set to -1, the defaults given in \$SCF or \$MCSCF are used. See MCONFG. (default: all -1's).

MCONFG = an array specifying SCF convergers for each fragment during the monomer SCF runs. The value -1 means use the default (defined by MCONV). The priority in which convergers are chosen is:
MCONFG (highest), if not defined MCONV,
if not defined, \$SCF (lowest).
This option is useful in case of poor convergence caused by charge fluctuations and SCF converger problems in particular, SOSCF instability for poor initial guess. Default: all -1.

- ESPSCA = scale factors for up to nine initial monomer SCF iterations. ESPs will be multiplied by these factors, to soften the effect of environment and help convergence. At most nine factors can be defined. (default: all 1.0's)
- CNVDMP = damping of SCF convergence, that is, loosen convergence during the initial monomer SCF iterations to gain speed. CONV in \$SCF and ITOL and ICUT in \$CONTRL are modified. CONV is set roughly to $\min(\text{DE}/\text{CNVDMP}, 1\text{e-}4)$, where DE is the convergence in energy at the given monomer SCF iteration. It is guaranteed that CONV, ITOL and ICUT at the end will be set to the values given in \$SCF. Damping is disabled if CNVDMP is 0. Reasonable values are 10-100. Care should be taken for restart jobs: since restart jobs do not know how well FMO converged, restart jobs start out at the same rough values as nonrestart jobs, if CNVDMP is used. Therefore for restart jobs either set CNVDMP appropriately for the restart (i.e., normally 10-100 times larger than for the original run) or turn this option off, otherwise regressive convergence can incur additional iterations (default: 0).
- COROFF = parameter turning off DFT in initial monomer SCF, similar to SWOFF. COROFF is used during monomer SCF, and it turns off DFT until monomer energies converge to this threshold. If COROFF is nonzero, SWOFF is ignored during monomer SCF, but is used for dimers and trimer iterations. Setting COROFF=1e-3 and SWOFF=0 usually produces good DFT convergence. COROFF may be thought as a macro-analogue of SWOFF. If monomer SCF converges poorly (>25 iterations), it is also recommended to raise CONV in \$SCF to 1e-8 (if CONV in \$FMO is 1e-7). Default:1.0E-3 (0.0 skips this option).
- NPCMIT = the maximum number of FMO/PCM[m] iterations, applicable to $m > 1$ only (for $m = 1$, \$FMOPRP MAXIT is used). NPCMIT=2 can be thought as having special meaning: it is used to define FMO/PCM[l(m)] runs by forcing the FMO/PCM loop run only twice, which

corresponds to determining PCM charges during the first iteration (and the m-body level) and then using them during the second iteration (l-body). For FMO/PCM[l(m)] only l=1 is implemented and "m" is given in \$PCM IFMO. Default: 30.

CNVPCM = convergence threshold for FMO/PCM[m] iterations, applicable to m>1 only (for m=1, \$FMOPRP CONV is used). CNVPCM is applied to the total FMO energy Default: 1.0D-07 Hartree.

PCMOFF = parameter turning PCM off in initial monomer SCF iterations, analogous to COROFF. PCM is turned off, until convergence reaches PCMOFF. PCMOFF=0 disables this feature. Default: 0.0

NCVSCF = an array of 2 elements to alter SCF convergers. After NCVSCF(1) monomer SCF iterations the SCF converger will switch between SOSCF <-> FULLNR. This option is useful in converging difficult cases in the following way:
\$SCF diis=.t. soscf=.f. \$end
\$FMOPRP NCVSCF(1)=2 mconv(4)=65 \$end
This results in the initial 2 monomer SCF iterations being done with DIIS, then a switch to SOSCF occurs. mconv(4)=65 switches to SOSCF for dimers.
Note that NCVSCF(1) will only overwrite MCONV, but not MCONFG. The SCF converger in MCONV(2) will be enforced after NCVSCF(2) monomer SCF iterations, overwriting MCONFG as well. This is useful for the most obnoxiously converging cases. See other FMO documentation.
Default: 9999,9999 (which means do not use).

NAODIR = a parameter to decide whether to enforce DIRSCF. Useful for incore integral runs in parallel. NAODIR is the number of AO orbitals that is expected to produce 100,000,000 non-zero integrals. Using this and assuming NAO**3.5 dependence, the program will then guess how many integrals will each n-mer have and whether they will fit into the available memory. If they are determined not to fit, DIRSCF will be set true. This option overwrites MCONV but not MCONFG.

If set to 0, then the default in-core integral strategy is used. (default=0)

II. Parameters defining parallel execution

MODPAR = parallel options (additive options)

- 1 turns on/off heavy job first strategy (reduces waiting on remaining jobs at barrier points) (see also 8)
- 2 changes ESP parallelization strategy:
 - 0 parallelise loops over shells in each fragment
 - 2 parallelise loop over fragmentsThe former option is nearly always preferred.
- 4 broadcast all fragments done by a group at once rather than fragment by fragment.
- 8 alters the behavior of fragment initialization: if set, fragments are always done in the reverse order (nfg, nfg-1, ...1) because distance calculation costs decrease in the same order and they usually prevail over making Huckel orbitals or running free monomer SCF. Note that during SCC (monomer SCF) iterations the order in which monomers are done is determined by MODPAR=1.
- 16 if set, hybrid orbital projectors will not be parallelized (may be useful on slow networks)
- 32 reserved
- 64 Broadcast F40 for FMO restarts. F40 should only be precopied to the grand master scratch directory and it should NOT exist on all slaves. (default: 13, which is 1+4+8)
- 256 Replace I/O to fragment density file by parallel broadcasts from group masters

NGRFMO = an array that sets the number of GDDI groups during various stages of the calculation. The first ten elements are used for layer 1, the next 10 for layer 2, etc.

- ngrfmo(1) monomer SCF
- ngrfmo(2) dimers
- ngrfmo(3) trimers
- ngrfmo(4) correlated monomers
- ngrfmo(5) separated dimers
- ngrfmo(6) SCF monomers in FMO-MCSCF (MCSCF monomer will be done with ngrfmo(1) groups)
- ngrfmo(7) SCF dimers in FMO-MCSCF (MCSCF dimer

be done with ngrfmo(2) groups)
ngrfmo(8-10) reserved
If any of them is zero, the corresponding stage runs with the previously defined number of groups. If NGRFMO option is used, it is recommended to set NGROUP in \$GDDI to the total number of nodes. (default: 0,0,0,0).

MANNOD = manually define node division into groups. Contrary to MANNOD in \$GDDI and here it is defined for each FMO stage (see NGRFMO) in each layer. If MANNOD values are set at all, it is required that they be given corresponding to the first nonzero NGRFMO value. The MANNOD values should be given for each nonzero NGRFMO.
E.g. ngrfmo(1)=6,3,0,0,0, 0,0,0,0,0, 4,3
mannod(1)=4,2,2,2,2,2, 5,5,4, 4,4,3,3, 6,6,2
where 6 groups are defined for monomers in layer 1, then 3 for dimers in layer 1, and 4 and 3 groups for monomers and dimers in layer 2. (default: all -1 which means do not use).

III. Orbital conversion

File F40 that contains orbital density can be manipulated in some way to change the information stored in it without running any FMO calculations. Such conversion requires irest=2 and the basis sets in the input should define the old (before conversion) format. The results will be stored in F30. You should then rename it to F40 and use in a consequent run (with irest>=2).

Two basic conversion types are supported: A) changing RHF into MCSCF and B) changing basis sets for RHF. RHF and MCSCF use different structure of the restart file (F40) and therefore conversion is necessary.

For type A the following orbital reordering manipulation before storing the results can be done, for example
\$guess guess=modaf norder=1 iorder(28)=34,28

Type B is typically used for preparing good initial orbitals for hard to converge cases. E.g., you can use something like 6-21G to converge the orbitals and then convert F40 to be used with 6-311G*. At present there is a

limitation that only density based (MODORB=0) files may be converged, i.e. you cannot do it for DFT and MCSCF.

MAXAOC = The new (i.e., after conversion) maximum number of AOs per fragment. If you don't know what it should be you can run a CHECK job with the new basis set and find the number in "Max AOs per frg:". If this number is equal to the old value, then type A is chosen.

IBFCON = the array giving pairs of the old and new numbers of AOs for each atom in \$DATA (type B only).

MAPCON = maps determining how to copy old orbitals into new (type B only). See the example.

Example: \$DATA contains only H and O (in this order), F40 was computed with 6-31G and you want to convert to 6-31G**. One water per fragment.

```
MAXAOC=25    25=5*2+15=new basis size for 6-31G**
IBFCON(1)=2,5, 9,15
    2 and 5 for H (6-31 and 6-31G**), 9 and 15 for O
MAPCON(1)=1,2,0,0,0,
    1,2,3,4,5,6,7,8,9,0,0,0,0,0,0
```

Here we copy the two s functions of each H, and add p polarization p to each H (3 0's), and similarly we copy nine s,p functions for O, and add d polarization (6 0's)

In order to construct MAPCON, you should know in what order Gaussian primitives are stored. The easiest way to learn this is to run a simple calculation and check the output (SHELL information).

IV. Printing, properties, restart, and dimensions.

NPRINT = controls print-out (bit additive)

bits 1-2

0 normal output

1 reduced output (recommended for single points)

2 minimum output (recommended for optimizations)

4 print interfragment distances. Note: any of RESPAP, RESPPC, or RESDIM must be non-zero or otherwise nothing will be printed. If you only want the distances but no approximations, set the thresholds to huge values, e.g. resdim=1000.

8 print Mulliken charges

Note: RESPPC must be set (non-zero), see above.

PRTDST = array of three print-out thresholds:

1. print all pairs of fragments separated by less than PRTDST(1).

2. print a warning if two fragments are closer than PRTDST(2), intended mostly to monitor suspicious geometries during optimization.

3. print a warning if two fragments are closer than PRTDST(3) and have no detached bond between them, intended to check input.

PRTDST(3) values should slightly exceed the longest detached bond in the system.

Using zero for PRTDST(1) and PRTDST(2) turns them off. Similarly, use PRTDST(3)=-1 to turn it off.

PRTDST has no units, as it applies to unitless FMO distances (e.g., 0.5 means half the sum of van der Waals radii for the closest pair of atoms).

(default: 0.0,0.5,0.6)

IREST = restart level (all non-zero values require file .F40 with restart data be precopied to each node). (unless MODPAR=64 is set) See CNVDMP

0 no restart

2 restart monomer SCF (SCC).

4 restart dimers. Requires monomer energies be given in \$FMOENM. Some or no dimer energies may also be given in \$FMOEND, in which case those dimers with energies will not be run. Usually the only property that can be obtained with IREST=4 is the energy. The only exception is: a) IREST=1024 was set when monomer SCF was run and b) the property restart files (*.F38*) from each node were saved and copied to the scratch directory for the IREST=1028 job. If these two conditions are met, gradient and ES moments can be restarted with IREST=1028.

1024 write property restart files during monomer SCF and/or use them to restart gradient and/or ES moments. No other property may be restarted.

Default: 0.

MODPRP = some extra FMO properties (bit additive)

1 total electron density (AO-basis matrix, written

- to F10: useful to create initial orbitals for ab initio).
- 2 reserved.
- 4 electron density on a grid, produces a Gaussian cube file.
- 8 electron density on a grid, produces a sparse cube file.
- 16 automatically generate grid for modprp = 4 or 8. Only one bit out of 4 and 8 may be set. Default: 0.

NGRID = three integers, giving the number of 3D grid points for monomers with NOPFRG=4 in x,y and z directions (default 0,0,0).

GRDPAD = Grid padding. Contributions to density on grid will be restricted to the box surrounding an n-mer with each atom represented by a sphere of GRDPAD vdW radii. In general the finer effects one is interested in, the larger GRDPAD should be. For example, if one plots not density, but density differences and a very small cutoff is used, then a larger value of GRDPAD (2.5 or 3.0) may be preferred. Default: 2.0.

IMECT = The partitioning method for the interfragment charge transfer (computed from Mulliken charges). IMECT pertains only to those dimers between which a bond is detached. IMECT=0,1,2,3,4 are supported (see source code). (default: 4)

V. Interaction analysis (PIEDA)

IPIEDA = 0 skip the analysis (default)
1 perform brief PL-state analysis (FMO pair interactions)
2 perform full PL-state analysis with the PL0-state data.

NOBDA = gives the number of detached bonds. This parameter should be set to a nonzero value only in runs that produce BDA pair energies. (default: 0)

ROBDA = array of the detached bond lengths, whose number is NOBDA. ROBDA must be given if EOBDA is used.

EOBDA = the array of BDA pair energies, whose number is NOBDA*4.

EFMO0 = the array of the free state fragment energies, first NFRAG correlated, then NFRAG uncorrelated values.

EPLODS = monomer polarization energies, first NFRAG values of PLOd, then NFRAG values of PLOs, then NFRAG values of PLODI.

EINT0 = the total components for the PL0 state:
ES0, EX0, CT+mix0, DI0.

None of the PIEDA input values (except IPIEDA) are to be manually prepared, all should come from the punch file of preceding calculations.

The brief order of IPIEDA=2 execution is:

1. run FMO0.
2. compute BDA energies (if detached bonds are present), using sample files in tools/fmo/pieda. To do this, one needs only ROBDA for a given system. ROBDA is punched by any FMO run at the very beginning, so NBODY=0 type of run might be used to generate it.
3. The results of (1) are EFMO0; the results of (2) are EOBDA; use them to run PL0, whose results will be EPLODS and EINT0.
4. Run PL with the results of (1),(2) and (3).

The alternative is to run IPIEDA=1, which requires none of the above data, but it will use EOBDA if available.

=====

=====

\$FMOXYZ group

(given for FMO runs)

This group provides an analog of \$DATA for \$FMO, except that no explicit basis set is given here. It contains any nonzero number of lines of the following type:

A.N Q X Y Z

A is the dummy name of an atom.

N is an optional basis set number (if omitted, it will be set to 1). N is intended for mixed basis set runs, for example, if you want to put diffuse functions on carboxyl groups.

Q is the atomic charge.

Z is the integer atomic charge.

X, Y and Z are Cartesian coordinates. These obey UNITS given in \$CONTRL.

There is no default, this group must always be given for FMO runs. Alternatively, you may use the chemical symbol instead of Q. Note that "A" is ignored in all cases, but must be given.

Here is how \$DATA is used in FMO:

Each atom given in \$DATA defines the basis set for that atom type, entirely omitting Cartesian coordinates (which are in \$FMOXYZ). There are two ways to input basis sets in FMO.

I. easy!

This works only if you want to use the same built-in basis set for all atoms. It is possible to use EXTFIL as usual for externally defined basis sets.

1. Define \$BASIS as usual
2. Put each atom type in \$DATA, e.g. for (H2O)2,

```
$DATA
```

```
H2O
```

```
C1 ! FMO does not support symmetry, so always use C1
```

```
H 1
```

```
O 8
```

\$end

II. advanced.

This allows you to mix basis sets, have multiple layers or a non-standard without involving EXTFIL.

1. Do not define \$BASIS.
2. Put each atom type in \$DATA, followed by basis set, either explicit or built in.

The names of atoms in \$DATA have the following format, where brackets indicate optional parameters:

S[.N][-L]

N and L may be omitted (taking the default value of 1),

S is the atom name (discarded upon reading),

N is the basis set ordinal number,

L is the layer.

S[.N][-L] may not exceed 8 characters.

Example: 2-layer water dimer. In the first layer, you want to use STO-3G for the first molecule and your own basis set for the second. In the second layer, you want to use 6-31G and 6-31G* for the first and second molecules, respectively.

\$DATA

water dimer (H2O)2

C1

H-1 1 ! explanation: layer 1, basis 1 (STO-3G) for Hydr.

sto 3

O-1 8 ! explanation: layer 1, basis 1 (STO-3G) for Oxygen

sto 3

H.2-1 1 ! layer 1, basis 2 (manual) for hydrogen

s 1 ; 1 2.0 1

O.2-1 8 ! explanation: layer 1, basis 2 (manual) for Oxygen

s 2

1 100.0 0.8

2 10.0 0.6

l 1

1 5.0 1 1

```
H-2 1 ! explanation: layer 2, basis 1 (6-31G) for Hydr.
n31 6

O-2 8 ! explanation: layer 2, basis 1 (6-31G) for Oxygen
n31 6

H.2-2 1 ! layer 2, basis 2 (6-31G* = 6-31G) for Hydrogen
n31 6

O.2-2 8 ! explanation: layer 2, basis 2 (6-31G*) for Oxygen
n31 6
d 1 ; 1 0.8 1
```

\$end

Your \$FMOXYZ will then look as follows:

```
$FMOXYZ
O 8 x y z
H 1 x y z
H 1 x y z
O.2 8 x y z
H.2 1 x y z
H.2 1 x y z
$END
```

Note that if you define mixed basis sets for the atoms where bond detachment occurs (do not do this for basis sets with diffuse functions), then you should provide all required sets in \$FMOHYB as well, and define \$FMOBND properly.

=====

=====

\$OPTFMO group (relevant if RUNTYP=OPTFMO)

This group controls the search for stationary points using optimizers developed for the Fragment Molecular Orbital (FMO) method. There is no restriction on the number of atoms in the molecule, whereas optimising FMO with standard optimizers (RUNTYP=OPTIMIZE) has a restriction to 2000 atoms (unless you rebuild your GAMESS appropriately). OPTFMO runs may be restarted by providing the updated coordinates in \$FMOXYZ and, optionally, optimization restart data (punched out for each step) in \$OPTRST (the data differs for each method).

METHOD = optimization method

STEEP steepest descent

CG conjugate gradient

BFGSL approximate BFGS numeric updates of the inverse Hessian, that do not require explicitly storing that matrix.

HSSUPD numeric updates of the inverse Hessian

Default: HSSUPD.

HESS = initial inverse Hessian for METHOD=HSSUPD

GUESS diagonal guess of 3

READ read from F38 (advanced option)

Default: GUESS.

UPDATE = inverse Hessian update scheme for METHOD=HSSUPD

BFGS Broyden-Fletcher-Goldfarb-Shanno

DFP Davidon-Fletcher-Powell

Default: BFGS.

OPTTOL = gradient convergence tolerance, in Hartree/Bohr.

Convergence of a geometry search requires the largest component of the gradient to be less than OPTTOL, and the root mean square gradient less than 1/3 of OPTTOL. (default=0.0001)

NSTEP = maximum number of steps to take. Restart data are punched at each step. (default=200)

IFREEZ = array of coords to freeze during optimization.

The usage is the same as for the similar option in \$STATPT.

- STEP = initial step factor. This multiplies the gradient to prevent large steps. The values of 0.1-0.2 are considered useful in the vicinity of minimum, and 0.5-1.0 is probably OK at the start. (default: 1)
- STPMIN = the minimum permitted value of dynamically chosen STEP size (see STPFAC). (default: 0)
- STPMAX = the maximum permitted value of dynamically chosen STEP size (see STPFAC). (default: 1)
- STPFAC = Dynamic adjustment of STEP. If the energy goes down considerably, the new STEP is set to the old STEP multiplied by 1/STPFAC, if the energy goes up significantly, STEP is set to STEP*STPFAC, both constrained by STPMIN and STPMAX. The default is 1, which means do not use dynamic adjustment. The value 0.9 may be useful if dynamically adjusted steps are desired.

=====

```
=====
$FMOHYB group                (optional, for FMO runs)
                        (this group was previously known as $FMOLMO)
```

Hybrid orbitals are used to describe bond detachment when dividing a molecule into fragments. These are the familiar sp³ orbitals for C, plus the 1s core orbital. One set is given for each basis set used. This group is not required if no detached bonds are present, for example in water clusters, where the FMO boundaries do not detach bonds. FMO/AFO also does not use \$FMOHYB and this group may be omitted.

Format:

```
NAM1 L1 M1
I1,1  J1,1  C1,1  C2,1  C3,1  ...  CL1,1
...
I1,M1 J1,M1 C1,M1 C2,M1 C3,M1 ... CL1,M1
NAM2 L2 M2
I2,1  J2,1  C1,1  C2,1  C3,1  ...  CL1,1
...
I2,M2 J2,M2 C1,M2 C2,M2 C3,M2 ... CL2,M2
```

where NAM are set names (up to 8 characters long), L1 is the basis set size, M1 is the number of hybrid orbitals in this set.

C_{i,j} are LCAO coefficients (i is AO, j is MO) so it is the transposed matrix of what is usually considered. I_{i,j} and J_{i,j} are bond assignment numbers, defining to which side the corresponding projection operator is added. Usually one of each pair of I and J is 1, and the other 0.

(default: nothing, that is, no detached bonds).

```
=====
```

```
=====
$FMOBND group                               (optional, for FMO
runs)
```

The atom indices involved in the bond detachment are given, in pairs for each bond.

```
-I1 J1 NAM1,1 NAM1,2 ... NAM1,n ICH1 IMUL1
-I2 J2 NAM2,1 NAM2,2 ... NAM2,n ICH2 IMUL2
...
```

I and J are positive integers giving absolute atom indices.

NAMs are hybrid orbital set names, defined in \$FMOHYB.

Each line is allowed to have different set of NAMs, which can happen if different type of bonds are detached, for example, one line describing C-C bond and another C-N. Every bond given is detached in such a way that the I-atom will get nothing of it, effectively remove one electron (1/2 of a single covalent bond) from its fragment. The J-atom will get all of the bond and thus adds one electron to its fragment (e.g., formally heterolytic assignment, although in practice all electrons remain through the Coulomb field). The number 'n' above is the number of layers.

ICH and IMUL are ignored in FMO/HOP. For FMO/AFO, they define the charge and multiplicity of the model system constructed for the given bond (both 0 by default). IMUL follows the same rules and in \$CONTRL. In FMO/AFO any name should be used in place of NAM as NONE, if ICH or MUL should be specified, otherwise only -I and J may be given (i.e., omitting NAM, ICH and MUL).
(default: nothing, that is, no detached bonds).

Example, for a two-layer run with STO-3G and 6-31G* in the first and second layers, respectively.

```
$FMOBND
-10 15 STO-3G 6-31G*
-20 27 STO-3G 6-31G*
$END
```

```
=====
```

\$FMOENM group (optional, for FMO runs)

This group defines monomer energies for restart jobs. The group should be taken from a previous run.

The format is IFG and ILAY, followed by 4 monomer energies, of which only the first two are used (noncorrelated and correlated).

IFG is the fragment number and ILAY is the layer number. This group is required for FMO restarts IREST=4.

\$FMOEND group (optional, for FMO runs)

Dimer energies for restart jobs. The group should be taken from a previous run.

The format is IFG, JFG and ILAY, followed by 2 dimer energies, (E'_{IJ} and $\text{Tr}(\Delta_{DIJ} \cdot V_{IJ})$). IFG and JFG describe the dimer and ILAY is the layer number.

This group is optional for FMO restarts IREST=4 and is otherwise ignored. Note that for parallel restarts, \$FMOEND groups from all nodes should be collected and merged into one group.

\$OPTRST group (optional, for RUNTYP=OPTFMO)

Restart data for FMO geometry optimizations. The data inside vary for each optimization method, and are supposed to be taken from a previous run (from the punch file).

=====

\$GDDI group (parallel runs only)

This group controls the partitioning of a large set of processors into sub-groups of processors, each of which might compute separate quantum chemistry tasks. If there is more than one processor in a group, the task assigned to that group will run in parallel within that group. Note that the implementation of groups in DDI requires that the group boundaries be on SMP nodes, not individual processors.

At present, the only procedure in GAMESS that can utilize processor groups is the FMO method, which can farm out different monomer or dimer computations to different groups. This is advantageous, as the monomers are fairly small, and therefore do not scale to very many processors, although the monomer, dimer, and maybe trimer calculations are numerous, and can be farmed out on a large parallel system.

NGROUP = the number of groups in GDDI. Default is 0 which means use standard DDI.

PAROUT = flag to create punch and log files for all nodes. It is recommended to set this flag to .TRUE. if you switch the number of groups on the fly (such as in FMO).

BALTYP = load balancing at the group level, otherwise similar to the one in \$SYSTEM. BALTYP in \$SYSTEM is used for intragroup load balancing and the one in \$GDDI for intergroup. It is very seldom when .FALSE. is useful (default: .FALSE.).

MANNOD = manual node division into groups, which is useful for multi-hub networking. Provide an array of group sizes, whose sum should be equal to NGROUP. Note that this is nodes, that is, if you are using six dual-CPU nodes, you might enter
NGROUP=3 MANNOD(1)=2,2,2
so that four CPUs are in each subgroup. In other words, the sum of MANNOD must equal the number of

SMP enclosures defined by ddikick.x.

Note on memory usage in GDDI. Memory is allocated for each group individually. This means the same amount MEMDDI will be used to allocate memory PER GROUP. Especially if you use groups of various sizes, to avoid confusion it is recommended that you set NGROUPS to the total number of nodes so that you have one node per group and later you switch to whatever group sizes you want (see \$FMO).

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SELG group (polymer elongation calculation)

This group of parameters provides control of elongation calculations, which steadily increase the size of aperiodic polymers, by adding attacking monomers to the end of an existing chain. The existing chain consists of two parts: an A region, with a frozen electron density, farthest from the new monomer, and a B region at whose end the monomer attacks. The wavefunction of the B region and the new monomer are optimized quantum mechanically. Disk files containing integrals and/or wavefunction information must be saved from one elongation run to the next.

A large number of examples are provided with the source code distribution, see ~/gamess/tools/elg for this, perhaps starting with the (gly)5, (gly)6, (gly)7 examples. See the literature cited below for more help.

NELONG = a flag to activate an elongation calculation,
0 means normal GAMESS run (default)
1 same as 0 but without reorientation of geometry
2 means elongation starting cluster calculation,
this initiates a chain's A and B regions.
3 implies the monomer elongation of the chain.

NATM = NUMBER OF ATOMS IN A-REGION
Coordinates of the A-region atoms must be listed
at the beginning of the input geometry in \$DATA

NASPIN = multiplicity of the A-region

NTMLB = NUMBER OF TERMINAL ATOMS IN B-REGION

NCT = CONTROLLER FOR AO-CUT
0 means no AO-cut
1 means AO-cut activated

IPRI = PRINT LEVEL
0 minimum printing (default)
3 debugging printing

LDOS = LOCAL DENSITY OF STATES CALCULATION
0 means no LDOS calculation

1 means LDOS calculation

I2EA = READ-IN 2E-INTEGRALS FOR A-REGION

0 means A-region 2e-integrals are recalculated

1 means A-region 2e-integrals are read from a previous calculation

ATOB = Flag to shift one unpaired electron to the A- or the B-region, for covalently bonded A and B.

.TRUE. means shift one electron to B-region

.FALSE. means shift one electron to A-region

For more information on this method, see

A.Imamura, Y.Aoki, K.Maekawa

J.Chem.Phys. 95, 5419-5431(1991)

Y.Aoki, A.Imamura J.Chem.Phys. 97, 8432-8440(1992)

Y.Aoki, S.Suhai, A.Imamura

Int.J.Quantum Chem. 52, 267-280(1994)

Y.Aoki, S.Suhai, A.Imamura

J.Chem.Phys. 101, 10808-10823(1994)

and particularly the new implementation described in

"Application of the elongation method to nonlinear optical properties: finite field approach for calculating static electric (hyper)polarizabilities"

F.L.Gu, Y.Aoki, A.Imamura, D.M.Bishop, B.Kirtman

Mol.Phys. 101, 1487-1494(2003)

"A new localization scheme for the elongation method"

F.L.Gu, Y.Aoiki, J.Korchowiec, A.Imamura, B.Kirtman

J.Chem.Phys. 121, 10385-10391(2004)

"Elongation method with cutoff technique for linear SCF scaling"

J.Korchowiec, F.L.Gu, A.Imamura, B.Kirtman, Y.Aoki

Int.J.Quantum Chem. 102, 785-794(2005)

"Elongation method at Restricted Open-Shell Hartree-Fock level of theory"

J.Korchowiec, F.L.Gu, Y.Aoki

Int.J.Quantum Chem. 105, 875-882(2005)

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\$DANDC group (optional, relevant if SCFTYP=RHF)

This group controls the divide-and-conquer (DC) SCF calculations, in which the total 1-electron density matrix is obtained as sum of subsystem density matrices. In this calculation, the total system is partitioned into several disjoint subsystems (central regions). A subsystem density matrix is expanded by bases in the central region and its neighboring environmental region (buffer).

The present implementation allows single geometry energy calculations, for HF, DFT, and semi-empirical runs, only for SCFTYP=RHF. DC correlation energies are also available in MP2 and CC frameworks, see \$DCCORR. No solvation models are available.

The initial guess is given by a density matrix, not orbitals. The only available options are GUESS=HUCKEL, HCORE, HUCSUB, and DMREAD.

For more information on the DC-SCF method, see
W.Yang, T.-S.Lee

J.Chem.Phys. 103, 5674-5678(1995)

T.Akama, M.Kobayashi, H.Nakai

J.Comput.Chem. 28, 2003-2012 (2007)

T.Akama, A.Fujii, M.Kobayashi, H.Nakai

Mol.Phys. 105, 2799-2804(2007)

For more information on DC-MP2 and DC-CC, see

M.Kobayashi, Y.Imamura, H.Nakai

J.Chem.Phys. 127, 074103/1-7(2007)

M.Kobayashi, H.Nakai

J.Chem.Phys. 129, 044103/1-9(2008)

Of course, the trick to methods that divide up a large problem into small ones is to control the errors that result. A simple way to set up a DC-MP2 calculation is with atomic partitions:

```
$contrl scftyp=rhf mplevl=2 runtyp=energy $end
```

```
$system mwords=25 $end
```

```
$scf dirscf=.true. $end
```

```
$dandc dcflg=.true. subtyp=atom bufrac=8.0 $end
```

```
$dccorr dodccr=.true. rbufcr=5.0 $end
```

\$guess guess=hucsub \$end (if DC-SCF is used)

This leads to as many subsystems as there are atoms, with the buffer region around the central atom being defined by a radius. This input recognizes that exchange effects in Hartree-Fock are longer range than correlation, and thus uses dual level radii. It may be reasonable to simply do a conventional and thus fully accurate SCF computation by DCFLG=.FALSE., obtaining only the MP2 correlation energy by the divide and conquer method. Faster run times may result from other partitionings, such as manually dividing a protein into subsystems containing a single amino acid.

DCFLG = flag to activate DC-SCF calculation.
(default=.FALSE.)

Note: If you want to treat only the correlated MP2/CC procedure in the DC manner, after a standard HF calculation, this option may be set to .FALSE.

SUBTYP = chooses a method to construct disjoint subsystems (central region).
 = ATOM individual atom is 1 subsystem.
(default if NSUBS=0 or not given)
 = MANUAL manually selects using NSUBS and LBSUBS keywords. (default if NSUBS>=1)
 = CARD reads from card. \$SUBSCF is used for SCF and \$SUBCOR for MP2/CC calculation.
 = AUTO constructs subsystems automatically by dividing total system by cubic grid.
Grid size can be set by SUBLNG.
 = AUTBND considers bond strength after AUTO.

NSUBS = number of subsystems when SUBTYP=MANUAL.

LBSUBS = an array assigning atoms to subsystems.
The style is the same as INDAT keyword in \$FMO.
Two styles are supported (the choice is made based on LBSUBS(1): if it is nonzero, choice (a) is taken, otherwise LBSUBS(1) is ignored and choice (b) is taken):
 a) LBSUBS(i)=m assigns atom i is to subsystem m.
LBSUBS(i) must be given for each atom.
 b) the style is
a1 a2 ... ak 0

b1 b2 ... bm 0

...

Elements a1...ak are assigned to subsystem 1, then b1...bm are assigned to subsystem 2, etc.

An element is one of the following:

I or I -J

where I means atom I, and a pair I,-J means the range of atoms I-J. There must be no space after the "-"!

Example:

LBSUBS(1)=1,1,1,2,2,1 is equivalent to

LBSUBS(1)=0, 1,-3,6,0, 4,5,0

Both assign atoms 1,2,3 and 6 to subsystem 1, and 4,5 to subsystem 2.

SUBLNG = grid length of cube used in SUBTYP=AUTO or AUTOBND. This value should be in the unit given by UNITS keyword in \$CONTRL. (default=2.0 Angstroms).

BUFTYP = chooses a method to construct buffer region.
 = RADIUS selects atoms included in spheres centered at atoms in the central region (default). The radius is given by BUFRAD keyword for DC-SCF and by the RBUFCR keyword in \$DCCORR for DC-MP2/CC.
 = RADSUB selects subsystems containing one or more atom(s) which is included in spheres centered at atoms in the central region. This selection can avoid cutting bonds within each subsystem.
 = CARD reads from \$SUBSCF or \$SUBCOR card. Only available when SUBTYP=CARD.

BUFRAD = buffer radius in DC-SCF calculation. This value should be in the units given by UNITS keyword in \$CONTRL (default=5.0 Angstroms).

FRBETA = inverse temperature parameter of Fermi function used in DC-SCF procedure in a.u. (default=200.0) Reducing this value may improve SCF convergence but may obtain worse total energy.

MXITDC = maximum number of iteration cycles for determining Fermi level (default=100). Usually, you need not care about this keyword.

- FTOL = Fermi function cutoff factor (default=15.0).
= p The value of Fermi function less than $10^{**}(-p)$ is considered as 0. The value greater than $[1 - 10^{**}(-p)]$ is considered as 1.
- NDCPRT = DC print-out option which is the sum of followings (default=0).
= +1 not used (reserved).
= +2 prints density matrix (\$DM section) on punch.
= +4 prints energy corresponding to each subsystem. Gives correct energy only in HF calculation.
= +8 prints orbitals in each subsystem.
- IORBD = selects molecular orbital in total system whose electron density is to be computed. Print format is given in \$ELDENS.
= -1, -2, ... correspond to HOMO, HOMO-1, ...
= 1, 2, ... correspond to LUMO, LUMO+1, ...
= 0 no calculation (default).

In the DC-SCF procedure, rhw available SCF acceleration techniques are DIIS, DAMP, EXTRAP as well as DC-DIIS which is specific to the DC-SCF. In DC-SCF calculation, neither DIIS nor DC-DIIS are used by default. In DC-DFT calculation, only DIIS is used by default, but it is strongly recommended to use DC-DIIS (DIIDCF=.TRUE.) for convergence. The following keywords control (DC-)DIIS convergence technique.

- EXTDII = energy error threshold in absolute value for exiting DIIS (default=0.0).
- PEXDII = percentage threshold of energy error change for exiting DIIS (default=1.0). PEXDII is preferential to EXTDII.
- DIIDCF = a flag to activate DC-DIIS interpolation (default=.FALSE.).
- ETHRDC = energy error threshold for initiating DC-DIIS. Increasing ETHRDC forces DC-DIIS on sooner (default = 1.D-4 if DIIDCF=.TRUE.).

Next are options for printing density of states (DOS).

DOSITV = Interval between plot points in Hartree. The default is zero, meaning no DOS print-out. If you print out DOS, DOSITV=0.05 may be sufficient.

DOSRGL = Left end of the plot range in Hartree.
(default=-2.0)

DOSRGR = Right end of the plot range in Hartree.
(default=+2.0)

BDOS = Inverse temperature parameter (beta) for distributing states. This value should not be given because it is set to be equivalent to FRBETA in \$DANDC by default.

=====

=====
\$DCCORR group (optional, relevant if SCFTYP=RHF,
for MLEVEL=2 or for CCTYP=LCCD, CCD, CCSD)

This group controls the linear-scaling DC-based MP2 or CC calculations. In this method, subsystem correlation energy is evaluated in each subsystem by means of subsystem MOs. Total correlation energy is obtained by summing up subsystem contributions.

The present implementation allows only RHF reference. DC-MP2 calculations can be run in parallel, but DC-CC is limited to serial execution. Coupled cluster code is only available for CCTYP=LCCD, CCD, and CCSD. The current coupled cluster code cannot run if DIRSCF=.TRUE. No solvation models are available.

Note: Although \$DANDC input is usually used together to select subsystem and buffer information, DC-SCF calculation is not indispensable to perform DC correlation calculation. You can perform DC correlation calculation without DC-SCF by setting DCFLG=.FALSE. in \$DANDC and DODCCR=.TRUE.

For more information (and references), see \$DANDC.

DODCCR = a flag to activate DC-MP2/CC calculation. This is forced to be .TRUE. if DCFLG=.TRUE. in \$DANDC. This keyword enables to perform DC-MP2/CC calculation after standard (non-DC) RHF.

RBUFCR = buffer radius used in DC-MP2/CC calculation. This value should be in the unit given by UNITS option in \$CONTRL. By default, RBUFCR is set to be equal to BUFRAD in \$DANDC. This keyword is mainly used to perform so-called dual-buffer DC-MP2/CC calculations, see the paper on the DC-CC method for more details.

RMKORB = a flag to remake orbitals in each subsystems. This is forced to be .TRUE. if RBUFCR is different from BUFRAD in \$DANDC or standard HF calculation was performed. Apart from these cases, RMKORB=.FALSE.

by default. This keyword is meant for debug purposes.

- WOCC = a parameter determining proportion of occupied contribution. This option only works in DC-MP2 calculation. This should be between 0 and 1. The proportion of virtual contribution becomes [1 - WOCC]. (default=1.0)
This is forced to be 1.0 in DC-CC calculation. We recommend 1.0 to obtain accurate results.
- ONLYOC = a flag to disable MP2 calculation for virtual contributions. This is forced to be .FALSE. if WOCC is not 1.0, and to be .TRUE. in DC-CC calculation.
= .TRUE. Performs DC-MP2 calculation only for occupied contributions. This option will accelerate the CPU time. (default)
= .FALSE. Performs DC-MP2 calculation for occupied and virtual contributions.
- ISTCOR = restart option for DC-MP2/CC.
= 0 does DC-MP2/CC calculation from the beginning (default).
= n reads subsystem correlation energies corresponding to subsystem 1-(n-1) from input and perform DC-MP2/CC calculation from n-th subsystem. \$MP2RES and \$CCRES inputs are required for DC-MP2 and DC-CC calculations, respectively.
- FZCORE = a flag to freeze core electrons in DC-MP2 or DC-CC calculation. Other frozen orbital options such as NACORE in \$MP2 and NCORE in \$CCINP do not pertain to DC-MP2/CC calculations. The default is .TRUE. to freeze cores.

=====

```
=====
$SUBSCF group      (relevant during Divide and Conquer)
$SUBCOR group
```

These groups specify the central and buffer regions when SUBTYP=CARD or BUFTYP=CARD in \$DANDC. \$SUBSCF is used for DC SCF and \$SUBCOR is for DC-MP2/CC. If BUFTYP is not CARD, only central region is specified by these groups. They consist of free format integer numbers of which the style is like this:

```
$SUBSCF
! SUBSYSTEM      1
  1  3 -5  0
  2  6 -8  0 0
! SUBSYSTEM      2
  2  6 -9 11  0
  1  3  4 10 12 -14 0 0
! SUBSYSTEM      3
  ...
$END
```

Lines starting with ! are comments neglected when reading.

First, atoms in the central region of subsystem 1 is specified according to the (b) style of LBSUBS in \$DANDC. A single 0 separates the central and buffer region of the same subsystem. Then, specify atoms in the buffer region of subsystem 1. A double 0 separates subsystems. These are iterated until all subsystems are specified.

In the above case, the subsystems are the followings:

```
Subsystem 1 central: 1,3,4,5
              buffer : 2,6,7,8
Subsystem 2 central: 2,6,7,8,9,11
              buffer : 1,3,4,10,12,13,14
```

```
=====
```



```
=====
$MP2RES group          (restart data for DC-MP2 runs)
$CCRES  group          (restart data for DC-CC runs)
```

Restart data (consisting of subsystem correlation energies) for Divide and Conquer correlation calculations. The appropriately named input group is required if ISTCOR is selected in \$DCCORR. The format of these two groups is slightly different for DC-MP2 or DC-CC, but the data should be given in exactly the same format that it was written to file RESTART, adding only a \$END line.

Examples:

```
$MP2RES
 1 -0.133110332082E+00  0.000E+00 -0.133110332082E+00
 2 -0.130740147906E+00  0.000E+00 -0.130740147906E+00
 3 -0.130483660838E+00  0.000E+00 -0.130483660838E+00
$END
```

```
$CCRES
2
 1 -0.135031928183E+00 -0.132440119981E+00
 2 -0.132589691149E+00 -0.131009546477E+00
 3 -0.132673391144E+00 -0.130832334600E+00
 4 -0.133163592168E+00 -0.131377855474E+00
$END
```

The integer in the first line indicates the CC method.

```
=====
```

The remaining groups apply only to MCSCF and CI runs.

```

* * * * *
  For hints on how to do MCSCF and CI
  see the 'further information' section
* * * * *

```

=====

\$SCIINP group (optional, relevant for any CITYP)

This group is the control box for Graphical Unitary Group Approach (GUGA) CI calculations or determinant based CI. Each step which is executed potentially requires a further input group described later.

NRNFG = An array of 10 switches controlling which steps of a CI computation are performed.
1 means execute the module, 0 means don't.

- NRNFG(1) = Generate the configurations. See either \$CIDRT or \$CIDET input. (default=1)
- NRNFG(2) = Transform the integrals. See \$TRANS. (default=1)
- NRNFG(3) = determinants: skip the CI iterations.
GUGA: Sort integrals and calculate the Hamiltonian matrix, see \$CISORT and \$GUGEM. (default=1)
- NRNFG(4) = determinants: meaningless
GUGA: Diagonalize the Hamiltonian matrix, see \$GUGDIA or \$CIDET. (default=1)
- NRNFG(5) = Construct the one electron density matrix, and generate NO's. See \$GUGDM or \$CIDET. (default=1)
- NRNFG(6) = Construct the two electron density matrix. See \$GUGDM2 or \$CIDET. (default=0 normally, but 1 for CI gradients)
- NRNFG(7) = Construct the Lagrangian of the CI function. Requires DM2 matrix exists. See \$LAGRAN. (default=0 normally, but 1 for CI gradients)
This does not apply to determinants.
- NRNFG(8-10) are not used.

Users are not encouraged to change these values, as the defaults are quite reasonable.

NPFLG = An array of 10 switches to produce debug printout.
There is a one to one correspondance to NRNFG, set
to 1 for output. (default = 0,0,0,0,0,0,0,0,0,0)
The most interesting is NPFLG(2)=1 to see the
transformed 1e- integrals, NPFLG(2)=2 adds the
very numerous transformed 2e- integrals to this.

IREST = n Restart the -CI- at stage NRNFG(n).

=====

```
=====
$DET group (required by MCSCF if CISTEP=ALDET or ORMAS)
$GEN group          (required by MCSCF if CISTEP=GENCI)
$CIDET group (required if CITYP=ALDET, ORMAS, or FSOCI)
$CIGEN group          (required if CITYP=GENCI)
```

This group describes the determinants to be used in a MCSCF or CI wavefunction:

a) For full CI calculations (ALDET) the \$DET/\$CIDET will generate a full list of determinants. If the CI is part of an MCSCF, this means the MCSCF is of the FORS type (which is also known as CASSCF).

b) For Occupation Restricted Multiple Active Space (ORMAS) CI, the input in \$ORMAS will partition the active orbitals defined here into separate spaces, that is, provide both \$DET/\$CIDET and \$ORMAS.

c) For Full Second Order CI, provide \$CIDET and \$SODET inputs.

d) For a general CI (meaning user specified space orbital products) provide \$DET/\$CIDET plus \$GEN/\$CIGEN and most likely \$GCILST (according to the keyword GLIST).

In the above, group names for MCSCF/CI jobs are separated by a slash.

Determinants contain several spin states, in contrast to configuration state functions. The S_z quantum number of each determinant is the same, but the Hamiltonian eigenvectors will have various spins $S=S_z, S_z+1, S_z+2, \dots$ so NSTATE may need to account for states of higher spin symmetry. In Abelian groups, you can specify the exact spatial symmetry you desire.

```
GLIST = general determinant list option
       The keyword GLIST must not be given in a $DET or
       $CIDET input group! These both generate full
       determinant lists, automatically.
       = INPUT means an input $GCILST group will be read.
       = EXTRNL means the list will be read from a disk
       file GCILIST generated in an earlier run.
       = SACAS requests generation of several CAS spaces
       of different space symmetries, specified by
```

the input IRREPS. This option is intended for state averaged calculations for cases of high symmetry, where degenerate irreps of the true group may fall into different irreps of the Abelian subgroup used.

* * * The next four define the orbital spaces * * *
There is no default for NCORE, NACT, and NELS:

NCORE = total number of orbitals doubly occupied in all determinants.

NACT = total number of active orbitals.

NELS = total number of active electrons.

SZ = azimuthal spin quantum number for each of the determinants, two times SZ is therefore the number of excess alpha spins in each determinant. The default is $SZ=S$, extracted from the $MULT=2S+1$ given in \$CONTRL.

* * * The following determine the state symmetry * * *

GROUP = name of the point group. The default is to copy this from \$DATA, if that group is Abelian (C2, Ci, Cs, C2v, C2h, D2, or D2h). If not, the group is set to C1 (no symmetry used).

ISTSYM = specifies the spatial symmetry of the state. This table is exactly the same as in \$DRT input.

ISTSYM=	1	2	3	4	5	6	7	8
---------	---	---	---	---	---	---	---	---

C1	A							
----	---	--	--	--	--	--	--	--

Ci	Ag	Au						
----	----	----	--	--	--	--	--	--

Cs	A'	A''						
----	----	-----	--	--	--	--	--	--

C2	A	B						
----	---	---	--	--	--	--	--	--

C2v	A1	A2	B1	B2				
-----	----	----	----	----	--	--	--	--

C2h	Ag	Bu	Bg	Au				
-----	----	----	----	----	--	--	--	--

D2	A	B1	B2	B3				
----	---	----	----	----	--	--	--	--

D2h	Ag	B1g	B2g	B3g	Au	B1u	B2u	B3u
-----	----	-----	-----	-----	----	-----	-----	-----

Default is ISTSYM=1, the totally symmetric state.

IRREPS = specifies the symmetries of the GLIST=SACAS space

determinant list. This variable should always be an array, as a single symmetry is more quickly obtained by the regular full CI code. The values given have the same meaning as the ISTSYM table.

* * * the following control the diagonalization * * *

NSTATE = Number of CI states to be found, including the ground state. The default is 1, meaning ground state only. The maximum number of states is 100. See also IROOT below (two places).

PRTTOL = Printout tolerance for CI coefficients, the default is to print any larger than 0.05.

ANALYS = a flag to request analysis of the CI energy in terms of single and double excitation pair correlation energies. This is normally used in CI computations, rather than MCSCF, and when the wavefunction is dominated by a single reference, as the analysis is done in terms of excitations from the determinant with largest CI coefficient. The default is .FALSE.

ITERMX = Maximum number of Davidson iterations per root. The default is 100. A CI calculation will fail if convergence is not obtained before reaching the limit. MCSCF computations will not bomb if the iteration limit is reached, instead the last CI vector is used to proceed into the next orbital update. In cases with very large active spaces, it may be faster to input ITERMX=2 or 3 to allow the program to avoid fully converging the CI eigenvalue problem during the early MCSCF iterations. For small active spaces, it is best to allow the CI step to be fully converged on every iteration.

CVGTOL = Convergence criterion for Davidson eigenvector routine. This value is proportional to the accuracy of the coefficients of the eigenvectors found. The energy accuracy is proportional to its square. The default is 1.0E-5, but 1E-6 if gradients, MPLEVEL, CITYP, or FMO selected).

- NHGSS = dimension of the Hamiltonian submatrix which is diagonalized to obtain the initial guess eigenvectors. The determinants forming the submatrix are chosen on the basis of a low diagonal energy, or if needed to complete a spin eigenfunction. The default is 300.
- NSTGSS = Number of eigenvectors from the initial guess Hamiltonian to be included in the Davidson's iterative scheme. It is seldom necessary to include extra states to obtain convergence to the desired states. The default equals NSTATE.
- MXXPAN = Maximum number of expansion basis vectors in the iterative subspace during the Davidson iterations before the expansion basis is truncated. The default is the larger of 10 or 2*NSTGSS. Larger values might help convergence, do not decrease this parameter below 2*NSTGSS.
- CLOBBR = a flag to erase the disk file containing CI vectors from the previous MCSCF iteration. The default is to use these as starting values for the current iteration's CI. If you experience loss of spin symmetry in the CI step, reverse the default, to always take the CI from the top. Default = .FALSE.
- * * * the following control the 1st order density * * *
These are ignored during MCSCF, but are used during a CI.
- IROOT = the root whose density is saved on the disk file for subsequent property analysis. Only one root can be saved, and the default value of 1 means the ground state. Be sure to set NFLGDM to form the density of the state you are interested in! IROOT has a similar meaning for MCSCF, see below.
- NFLGDM = Array controlling each state's density formation.
0 -> do not form density for this state.
1 -> form density and natural orbitals for this state, print and punch occ.num. and NOs.
2 -> same as 1, plus print density over MOs.

The default is NFLGDM(1)=1,0,0,...,0 meaning only ground state NOs are generated.

* * * the following control the state averaged
* * * 1st and 2nd order density matrix computation
Usually ignored by CI runs, these are relevant to MCSCF.

PURES = a flag controlling the spin purity of the state averaging. If true, the WSTATE array pertains to the lowest states of the same S value as is given by the MULT keyword in \$CONTRL. In this case the value of NSTATE will need to be bigger than the total number of weights given by WSTATE if there are other spin states present at low energies. If false, it is possible to state average over more than one S value, which might be of interest in spin-orbit coupling jobs. The default is .TRUE.

WSTATE = An array of up to 100 weights to be given to the densities of each state in forming the average. The default is to optimize a pure ground state, WSTATE(1)=1.0,0.0,...,0.0
A small amount of the ground state can help the convergence of excited states greatly.
Gradient runs are possible only with pure states.
Be sure to set NSTATE above appropriately!

IROOT = the MCSCF state whose energy will be used as the desired value. The default means to use the average (according to WSTATE) of all states as the FINAL energy, which of course is not a physically meaningful quantity. This is mostly useful for the numerical gradient of a specific state obtained with state averaged orbitals. (default=0).
IROOT has a similar meaning for CI, see above.

=====

The number of active electrons is NELS in \$DET or \$CIDET, and the program will check that MINE/MAXE values are consistent with this total number.

QCORR = a flag to request Davidson-style +Q corrections. If this is not sensible for your CI choice, the program will not print this correction, anyway. The default is .TRUE.

FDIRCT = a flag to choose storage in memory of some intermediates. This is very large, and slower in the case of many occupied orbitals, but helpful with a smaller number of orbitals. Therefore the default for this is .TRUE. for MCSCF runs, but .FALSE. during CI computations.

*** See REFS.DOC for more information on using ORMAS ***

=====

=====
\$CEEIS group (optional, for extrapolation to FCI limit)

The method termed Correlation Energy Extrapolation by Intrinsic Scaling (CEEIS) allows one to extrapolate sequences of CI energies, computed with the ORMAS program, to what is effectively the full CI limit for a given basis set. Typically, the energy for SD and SDT excitation levels using all orbitals ($m=M$, meaning occupied + all virtuals) is combined, using certain scaling relations, with explicit computations using m orbitals for quadruple, quintuple... excitations (x), using a smaller m for each higher excitation, to obtain the extrapolated FCI limit, within an estimated error bar. When this is done for several basis sets, it is possible to extrapolate the individual full CI energies to the limit of the complete basis set.

A series of papers combines complete basis set CEEIS energies with scalar relativistic, spin-orbit, and long range electrostatic corrections to produce a very accurate rotational-vibrational spectrum of F2, see
L.Bytautas, T.Nagata, M.S.Gordon, K.Ruedenberg
J.Chem.Phys. 127, 164317/1-20, (2007)
L.Bytautas, N.Matsunaga, T.Nagata, M.S.Gordon, K.Ruedenberg
J.Chem.Phys. 127, 204301/1-12, (2007)
L.Bytautas, N.Matsunaga, T.Nagata, M.S.Gordon, K.Ruedenberg
J.Chem.Phys. 127, 204313/1-19, (2007)

The input description below is quite terse. A full description of how to use CEEIS with ORMAS is provided in a separate file (a Word document) named

~/gamess/tools/ceeis/CEEIS.doc
containing a much more detailed description of how to do this kind of calculation. This document explains how to use an Excel spreadsheet to allow visual checking of the energy data that are being extrapolated. Several input examples are given in the same directory.

ENREF = reference energy, usually either a zero-excited ORMAS reference wavefunction, or some SCF level energy (if the reference is one determinant).

ISTPEX = highest excitation level considered by the CEEIS,

the default is 8 (octuple excitations).

M1M2EX = an array to specify the various ORMAS computations to be performed, at each excitation level x . 0's start the specification of m values for each level $x=3,4,\dots$ ISTPEX. Some examples follow,

```
M1M2EX(1)= 0,0,0,
            0,7,10,-14,20
            0,7,10,-14   ISTEPX=5
```

The final two zero's on the first (SDT) line mean do the SDT computations with the entire virtual space, and also for all m values used at the higher excitations. The SDTQ energies are found for $m=7,10,11,12,13,14,20$, that is, the minus sign implies all values in the range 10-14. The SDTQ5 computations do not include $m=20$. If there is not enough memory to do the entire SDT calculation, this can be extrapolated (losing accuracy in the entire CEEIS process), by input such as

```
M1M2EX(1)= 0,7,10,-14,20,27,
            0,7,10,-14,20
            0,7,10,-14   ISTEPX=5
```

Changing the 0,0 part of the triples line to what is shown extrapolates from $m=27$. Note that it is an error not to include the same m values that higher excitations will use. There is no input for doubles, as in all cases the program will generate the SD energy for the entire virtual space, and additional SD energies for the m values chosen for use by the higher excitation levels.

M1M2EX(1)= all 0's will carry out a fully automated CEEIS using MMIN to MMIN+4, testing convergence, possibly adding MMIN+5 to MMIN+9 and so forth.

IDELTM = range increment for the m_1, m_2 ranges given as $\{m_1, -m_2\}$ in M1M2EX. Default=1.

ISCHME = extrapolation choice (the default is 1) for energy increments (DEMAT = differences of EMAT values):
 = 1 means extrapolate excitation level "x" by

$$\text{DEMAT}(m,x) = a \cdot \text{DEMAT}(m,x-2) + b$$

 = 2 means extrapolate quadruples as above, but
 $x=5+6$ or $x=7+8,\dots$ are extrapolated together:

$$\text{DEMAT}(m,x) = A \cdot \text{DEMAT}(m,2) + B \cdot \text{DEMAT}(m,3) + C$$

 In this case energies for odd excitation levels are not needed, and their computation can be

avoided by making the odd levels in M1M2EX be the same input for 5+6, 7+8, ...

MMIN = "m" value of the lowest virtual orbital to be considered in the extrapolation. The default is $NCORE + 1 + \text{MAX}(\text{no. valence } e^-, \text{ no. valence orbs})$, which is in fact the lowest "m" that should ever be used.

XTRTOL = an array of thresholds for each extrapolated energy $E(x)$, if the automated CEEIS is being used. default = $2D-4$ Hartree for all levels x .

NSEXT = an array containing NSPACE entries. Each entry corresponds to an ORMAS orbital group defined by MSTART in \$ORMAS, and can be either 0 or 1. An entry of 1 means include excitations from this space during the CEEIS. 0 means do not include any such excitations, meaning electrons in this subspace are NOT being correlated, apart from the correlation built into the original ORMAS. The final entry in the list is the virtual space, and must be given as 1. The default is all 1's.

RESTRT = a flag to say that the CEEIS calculation is being restarted, in which case energies provided in the \$CEDATA group are read, and only the missing energies will be calculated. Default = .FALSE.

IEXPND = expands the excitation level in restarts, e.g. if the previous data was computed for ISTPEX=6, and you now wish to use ISTPEX=8, enter IEXPND=2 to add two more columns to the matrix EMAT(m,x) being read in \$CEDATA.

=====
\$CEDATA group (optional restart data for CEEIS runs)

This group contains previously computed ORMAS energies, forming the EMAT array, to be used to restart CEEIS runs. It is required if RESTRT in \$CEEIS is true.

=====

```
=====
$GCILST group      (required by MCSCF if CISTEP=GENCI)
                    (required if CITYP=GENCI)
```

This group defines space products to be used in the general CI calculation, or in a MCSCF wavefunction. The input is free format.

Line 1: NSPACE ISYM

The first line gives the total number of space products to be entered in the second lines. The option ISYM can be omitted, or given as 0, in which case the program will verify that all space products typed in the second lines indeed have the spatial symmetry defined by ISTDY in the \$GEN or \$CIGEN input groups. If ISYM is 1, the user is indicating that more than one space symmetry is known to be in the list, that this is intentional, and the program should proceed with the calculation. This might be of use in state averaging two representations in a group that has more than two total representations, and therefore faster than turning symmetry off completely by GROUP=C1. ISYM=2 has the same meaning but turns on additional printing.

Line 2 is repeated NSPACE times. Each line 2 contains NACT integers, which must be 0, 1, or 2, and therefore tells the occupation of each of the active orbitals in each space product. An example input is:

```
$GEN      GLIST=INPUT NELLS=6 NACT=4 SZ=0.0 $END
$GCILST
5
2 2 2 0
2 1 2 1
2 0 2 2
2 2 0 2
0 2 2 2
$END
```

which generates 6 Ms=0 determinants, much less than the 16 determinants in a C1 symmetry full list for 6 e- in 4 MOs.

The second space product above generates two determinants. All space products with singly occupied orbitals are used to form all possible determinants, to ensure that the final states are eigenfunctions of the S**2 operator (meaning

they will be pure spin states).

Note that there is no way at present to generate lists such as singles and doubles from a single reference.

Convergence of MCSCF calculations will depend on how well chosen your determinant list is, and may very well require the use of the FULLNR or JACOBI convergers.

=====

of doubly occupied orbitals, and NMOFZC. In this case the program will obtain NMODOC by subtraction, namely $NMODOC = NMOCOR - NMOFZC$.

NMOACT: number of active orbitals in the MCSCF (no default)

NMOFZV: number of virtual orbitals to be omitted from the PT step. The default is 0, retaining all virtuals.

NELACT: number of active electrons. Since the default is computed from the total number of electrons given in \$DATA and \$CONTRL's ICHARG, minus 2*NMOFZC minus 2*NMODOC, there is little reason to input this.

MULT: multiplicity of the state, with the default being taken from MULT in \$CONTRL.

ISTSYM: a set of integers specifying the spatial symmetry of the electronic state. Choose from the table

ISTSYM=	1	2	3	4	5	6	7	8
---------	---	---	---	---	---	---	---	---

C1	A							
----	---	--	--	--	--	--	--	--

Ci	Ag	Au						
----	----	----	--	--	--	--	--	--

Cs	A'	A''						
----	----	-----	--	--	--	--	--	--

C2	A	B						
----	---	---	--	--	--	--	--	--

C2v	A1	A2	B1	B2				
-----	----	----	----	----	--	--	--	--

C2h	Ag	Au	Bu	Bg				
-----	----	----	----	----	--	--	--	--

D2	A	B1	B3	B2				
----	---	----	----	----	--	--	--	--

D2h	Ag	Au	B3u	B3g	B1g	B1u	B2u	B2g
-----	----	----	-----	-----	-----	-----	-----	-----

Caution, this table differs from ISTSYM tables in other input groups. Default is $ISTSYM(1)=1,0,0$

If you are treating a system with degenerate states in an appropriate Abelian subgroup of the true group, up to three ISTSYM values can be given, to specify all components of that originally degenerate state.

2. data to specify the MCSCF CI (and PT's reference CI):

The type of general MCSCF reference is specified by REFTYP, which can be MRX, ORMAS, or RAS:

REFTYP= MRX means multi-reference determinant list, plus excitations (default). The determinants will be

given in a \$PDET group, and the keywords NPDET and NEXCIT defined below are required.

REFTYP= RAS means the active space is divided into three subspaces, known as RAS1, RAS2, and RAS3. Keywords MSTART and NEXCIT defined below are required. For example, MSTART(1)=4,6,9 defines a RAS with three orbitals in the NMOFZC/NMODOC spaces, while the RAS1, RAS2, and RAS3 subspaces contain 2, 3, and NMOACT-5 orbitals. It remains only to specify the excitation level NEXCIT between these spaces.

REFTYP= ORMAS defines even more general subspaces than RAS, and requires inputs NSPACE, MSTART, MINE, and MAXE. These have the same meaning as the \$ORMAS keywords.

NPDET is the number of parent determinants, to be given as NPDET lines in the \$PDET group. A value is required for REFTYP=MRX.

NEXCIT is an excitation level. A value is required for REFTYP=MRX or REFTYP=RAS.

NSPACE is the number of subspaces into which the active space is divided. Required for REFTYP=ORMAS.

MSTART is an array telling the starting MO of each orbital space. It is required for REFTYP=RAS and ORMAS.

MINE is an array giving the minimum number of electrons occupying each subspace. Required for REFTYP=ORMAS.

MAXE is an array giving the maximum number of electrons occupying each subspace. Required for REFTYP=ORMAS.

NSPACE, MSTART, MINE, and MAXE have the same meaning as in the \$ORMAS group. See there, and also in the MCSCF/CI section of REFS.DOC, for help in understanding the power of the ORMAS type of reference determinant list.

3. data to define the reference CI states:

KSTATE is an array of states to be used. As an example, KSTATE(1)=0,1,0,1 means use states 2 and 4. The

default is the ground state, KSTATE(1)=1,0,0...

WSTATE is a set of weights for each state. The default is equal weight assigned to every state selected by KSTATE (WSTATE(1)=1.0, 1.0, 1.0, ...)

ISPINA spin adaptation (default=0)
0 means off, 1 means on (strictly), -1 means on (loosely). Proper spin states are picked up automatically so this input is usually skipped. See NSOLUT in this context.

KNOSYM a flag to turn off space symmetry use, i.e. ISTSYM.
.FALSE. will ignore symmetry (default=.TRUE.)

KNOSPN a flag to ignore spin symmetry, i.e. MULT. Give as .FALSE. to ignore the spin (default=.TRUE.)

The next few influence the Davidson CI diagonalization, and are quite similar to \$MCQDPT keywords, so the description here is terse.

NSOLUT is the number of roots to be obtained. If there are not enough states of the correct spin found in the first NSOLUT states to satisfy KSTATE/WSTATE, increase this parameter to find enough.

MXITER is the maximum number of Davidson iterations to find the states (default=200)

THRCON is the convergence criterion on the CI coefficient convergence (default= 1.0d-6)

THRENE is a convergence criterion on the total energy of the states. This is ignored if given as a negative number. (default = -1.0d-12 Hartree)

MAXBAS maximum expansion space size in the Davidson diagonalization subspace (default=100)

MDI dimension of the initial guess subspace used to initiate the Davidson iterative CI solver. See NHGSS in \$DET for more information (default=300).

4. data to define the perturbation computation:

- IWGT selects wavefunction analysis (default=1)
0 means off, 1 means on (external), -1 means on (internal orbitals). This will compute the approximate weight of the MCSCF reference CI in the first order wavefunction. It is therefore a very useful diagnostic for the quality of the calculation, as the MCSCF state should be a high percentage. The formula for the decomposition is changed from the original CAS-type MCQDPT (REFWGT in \$MCQDPT). The reference for this option is given below. Select IWGT=0 if the fastest speed is desired.
- KFORB flag to request canonicalization (default=.TRUE.)
Canonicalization within the core, virtual, and any rotationally invariant active subspaces yields a well defined theoretical model. You would not normally turn this option off.
- KSZDOE flag to use spin (Sz) dependent orbital energies.
If .TRUE., alpha and beta orbital canonicalization will involve unequal energies. Default=.TRUE.
- THRWGT threshold weight on the square of CI coefficients, for determinant selection. The default is 1.0d-8. Give as a negative number to retain all of the determinants, even those of very little importance, in the reference of the perturbation treatment.
- THRGEN threshold on generator constants. Default=1.0d-9
Raising lowers accuracy but produces speedups. Lowering to 1.0d-12 should give full accuracy for benchmarking purposes.

The next two deal with the so-called "intruder states". There are theoretical difficulties with either one. THRDE just drops terms, so the potential surface may have small discontinuities. EDSHFT always shifts results a little bit, even if no small denominators (aka intruder states) are actually present. Clearly both are "band-aids"!

- THRDE is a threshold to simply drop out any term whose energy denominator is too small. The default for

this is 0.005 Hartree. Change to zero to turn this option off.

EDSHFT is similar to the same keyword in \$MCQDPT. The denominators D are changed to $D + \text{EDSHFT}/D$. Turn off THRDE if you select this option. A reasonable value to try is 0.02, the default is 0.0.

5. miscellaneous data

CEXCEN = string defining the units for the excitation energy. Choose from these 4 strings (any case):
eV (default), cm-1, Kcal/mol, KJ/mol

DDTFPT = a flag requesting the distributed data integral transformation be used, if the run is parallel. This option requires MEMDDI in \$SYSTEM. If there is not enough memory to allow this, turn this option off to use an alternate parallel transformation (DEFAULT=.TRUE.).

There are additional technical parameters documented in the source code file gmcpt.src.

References for GMCPT:

- a) H.Nakano, R.Uchiyama, K.Hirao
J.Comput.Chem. 23, 1166-1175(2002)
- b) M.Miyajima, Y.Watanabe, H.Nakano
J.Chem.Phys. 124, 044101/1-9(2006)
- c) R.Ebisuzaki, Y.Watanabe, H.Nakano
Chem.Phys.Lett. 442, 164-169(2007)

The first paper introduced the theory, with further developments including reference state weights given in the second. The present computer code is based on the efficient formulation involving ionized intermediate determinants, as described in the third paper.

=====

=====

\$PDET group (required if NPDET>0 in \$GMCPT)

This group defined the "parent" determinants, which will be excited to excitation level NEXCIT. There must be a total of NPDET determinants given in the group. Each determinant may have spaces at the front or rear, but not embedded within the string. An example, presuming NPDET=3, is

```
$PDET
  2200
  2+-0
  2-+0
$END
```

=====

\$ADDDDET group (optional, if NPDET>0 in \$GMCPT)
\$REMDDET group (optional, if NPDET>0 in \$GMCPT)

These two groups add (or remove) determinants from the reference list. The first line in the group tells how many determinants are contained in the group.

```
$ADDDDET/$REMDDET
  2
  2002
  +-02
$END
```

These two determinants would be generated if the \$PDET list was used with NEXCIT=2 (or higher), but this \$REMDDET would remove them from the generated total reference CI.

=====

\$\$SODET group

(required if CITYP=FSOCI)

This group controls a full second order CI calculation using determinants (see also the keyword SOCI in \$CIDRT). Most of the characteristics of the active space (such as NCORE, NACT, NELN) must be given in a \$CIDET group, as a preliminary full CI according to \$CIDET will be made. The FCI states will then be used as the initial guess for the full second order CI. A few additional parameters may be given in this group, but many runs will not need to give any of these.

NEXT = the number of external orbitals to be included.
The default is the entire virtual MO space.

NSOST = the number of states to be found in the SOCI.
The default is copied from NSTATE in \$CIDET.

MAXPSO = maximum expansion space size used in the SOCI.
The default is copied from MXXPAN in \$CIDET.

ORBS = MOS means use the MCSCF orbitals, which should be allowed to undergo canonicalization (see the CANONC keyword in \$MCSCF), or the input \$VEC group in case SCFTYP=NONE. (default)
NOS means to instead use the natural orbitals of the MCSCF.

=====
\$DRT group (required by MCSCF if CISTEP=GUGA)
\$CIDRT group (required if CITYP=GUGA)

This group describes the Configuration State Functions (CSFs) used by the MCSCF or CI calculation. The Distinct Row Table (DRT) is the means by which the Graphical Unitary Group Approach (GUGA) specifies configurations. The group is spelled \$DRT for MCSCF runs, and \$CIDRT for CI runs. The main difference in these is NMCC versus NFZC.

There is no default for GROUP, and you must choose one of FORS, FOCI, SOCI, or IEXCIT.

GROUP = the name of the point group to be used. This is usually the same as that in \$DATA, except for RUNTYP=HESSIAN, when it must be C1. Choose from the following: C1, C2, CI, CS, C2V, C2H, D2, D2H, C4V, D4, D4H. If your \$DATA group is not listed, choose only C1 here.

FORS = flag specifying the Full Optimized Reaction Space set of configuration should be generated. This is usually set true for MCSCF runs, but if it is not, see FORS in \$MCSCF. (Default=.FALSE.)

FOCI = flag specifying first order CI. In addition to the FORS configurations, all singly excited CSFs from the FORS reference are included. Default=.FALSE.

SOCI = flag specifying second order CI. In addition to the FORS configurations, all singly and doubly excited configurations from the FORS reference are included. (Default=.FALSE.)

IEXCIT= electron excitation level, for example 2 will lead to a singles and doubles CI. This variable is computed by the program if FORS, FOCI, or SOCI is chosen, otherwise it must be entered.

INTACT= flag to select the interacting space option. See C.F.Bender, H.F.Schaefer J.Chem.Phys. 55, 4798-4803(1971). The CI will include only those

CSFs which have non-vanishing spin couplings with the reference configuration. Note that when the Schaefer group uses this option for high spin ROHF references, they use Guest/Saunders orbital canonicalization.

* * the next variables define the single reference * *

The single configuration reference is defined by filling in the orbitals by each type, in the order shown. The default for each type is 0.

Core orbitals, which are always doubly occupied:

NMCC = number of MCSCF core MOs (in \$DRT only).

NFZC = number of CI frozen core MOs (in \$CIDRT only).

Internal orbitals, which are partially occupied:

NDOC = number of doubly occupied MOs in the reference.

NAOS = number of alpha occupied MOs in the reference, which are singlet coupled with a corresponding number of NBOS orbitals.

NBOS = number of beta spin singly occupied MOs.

NALP = number of alpha spin singly occupied MOs in the reference, which are coupled high spin.

NVAL = number of empty MOs in the reference.

External orbitals, occupied only in FOCI or SOCI:

NEXT = number of external MOs. If given as -1, this will be set to all remaining orbitals (apart from any frozen virtual orbitals).

NFZV = number of frozen virtual MOs, never occupied.

* * the next two help with state symmetry * *

ISTSYM= irreducible representation for GUGA wavefunction.

This option overwrites whatever symmetry is implied by NALP/NAOS/NBOS. Default=0 means ISTSYM will be inferred from the symmetry of the reference, namely from the symmetry of NALP/NAOS/NBOS orbitals.

ISTSYM= 1 2 3 4 5 6 7 8

C1 A

Ci Ag Au

Cs A' A''

C2 A B

C2v A1 A2 B1 B2

```

      C2h  Ag  Bu  Bg  Au
      D2   A  B1  B2  B3
      D2h  Ag  B1g B2g B3g Au  B1u B2u B3u

```

It is no doubt easier to just select the desired ISTDY directly. Its computation from the singly occupied orbitals is kept merely to preserve old input files.

NOIRR= controls labelling of the CI state symmetries.
 = 1 no labelling (default)
 = 0 usual labelling. This can be very time consuming if the group is non-Abelian.
 =-1 fast labelling, in which all CSFs with small CI coefficients are ignored. This can produce weights quite different from one, due to ignoring small coefficients, but overall seems to work OK.
 Note that it is normal for the weights not to sum to 1 even for NOIRR=0 because for simplicity the weight determination is focused on the relative weights rather than absolute. However weight do not sum to one only for row-mixed MOs.
 = -2,-3... fast labelling and sets SYMTOL=10**NOIRR for runs other than TRANSITN. All irreps with weights greater than SYMTOL are considered.

* * * the final choices are seldom used * * *

MXNINT = Buffer size for sorted integrals. (default=20000)
 Adjust this upwards if the program tells you to, which may occur in cases with large numbers of external orbitals.

MXNEME = Buffer size for energy matrix. (default=10000)

NPRT = Configuration printout control switch.
 This can consume a HUMUNGUS amount of paper!
 0 = no print (default)
 1 = print electron occupancies, one per line.
 2 = print determinants in each CSF.
 3 = print determinants in each CSF (for Ms=S-1).

=====

\$MCSCF group

(for SCFTYP=MCSCF)

This group controls the MCSCF orbital optimization step. The difference between the five convergence methods is outlined in the Further Information chapter, which you should carefully study before trying MCSCF computations.

--- the next chooses the configuration basis ---

CISTEP = ALDET chooses the Ames Lab. determinant full CI, and requires \$DET input. (default)
= ORMAS chooses an Occupation Restricted Multiple Active Space determinant CI, requiring both \$DET and \$ORMAS inputs.
= GUGA chooses the graphical unitary group CSFs, and requires \$DRT input. This is the only value usable with the QUAD converger.
= GENCI chooses the Ames Laboratory general CI, and requires \$GEN input.
= GMCCI chooses the Kyushu University general CI, and requires \$GMCPT input.

--- the next five choose the orbital optimizer ---

FOCAS = a flag to select a method with a first order convergence rate. (default=.FALSE.)
Parallel runs with FOCAS do not use MEMDDI.

SOSCF = a flag selecting an approximately second order convergence method, using an approximate orbital hessian. (default=.TRUE.)
Parallel runs with SOSCF do not use MEMDDI.

FULLNR = a flag selecting a second order method, with an exact orbital hessian. (default=.FALSE.)
Parallel runs with FULLNR require input of MEMDDI.

QUAD = a flag to pick a fully quadratic (orbital and CI coefficient) optimization method, which is applicable to FORS or non-FORS wavefunctions. QUAD may not be used with state-averaging. (default = .FALSE.)
This converger can be used only in serial runs.

JACOBI = a flag to pick a program that minimizes the MCSCF energy by a sequence of 2x2 Jacobi orbital rotations. This is very systematic in forcing convergence, although the number of iterations may be high and the time longer than the other procedures. This option does not compute the orbital Lagrangian, hence at present nuclear gradients may not be computed. (default = .FALSE.)
This converger can be used only in serial runs.

Note that FOCAS must be used only with FORS=.TRUE. in \$DRT. The other convergers are usable for either FORS or non-FORS wavefunctions, although convergence is always harder in the latter case, when FORS below must be set .FALSE.

--- the next apply to all convergence methods ---

FORS = a flag to specify that the MCSCF function is of the Full Optimized Reaction Space type, which is sometimes known as CAS-SCF. .TRUE. means omit active-active rotations from the optimization. Since convergence is usually better with these rotations included, the default is sensible: .TRUE. for FOCAS, .FALSE. for FULLNR or QUAD, and for SOSCF, .TRUE. for ALDET/GUGA but .FALSE. for ORMAS/GENCI)

ACURCY = the major convergence criterion, the maximum permissible asymmetry in the Lagrangian matrix. (default=1E-5, but 1E-6 if MPEVL, CI, or FMO is selected.)

ENGTOL = a secondary convergence criterion, the run is considered converged when the energy change is smaller than this value. (default=1.0E-10)

MAXIT = Maximum number of iterations (default=100 for FOCAS, 60 for SOSCF, 30 for FULLNR or QUAD)

MICIT = Maximum number of microiterations within a single MCSCF iteration. (default=5 for FOCAS or SOSCF, or 1 for FULLNR or QUAD)

- NWORD = The maximum memory to be used, the default is to use all available memory. (default=0)
- CANONC = a flag to cause formation of the closed shell Fock operator, and generation of canonical core orbitals. This will order the MCC core by their orbital energies. (default=.TRUE.)
- EKT = a flag to cause generation of extended Koopmans' theorem orbitals and energies. (Default=.FALSE.)
For this option, see R.C.Morrison and G.Liu, J.Comput.Chem., 13, 1004-1010 (1992). Note that the process generates non-orthogonal orbitals, as well as physically unrealistic energies for the weakly occupied MCSCF orbitals. The method is meant to produce a good value for the first I.P.
- NPUNCH = MCSCF punch option (analogous to \$SCF NPUNCH)
0 do not punch out the final orbitals
1 punch out the occupied orbitals
2 punch out occupied and virtual orbitals
The default is NPUNCH = 2.
- NPFLG = an array of debug print control. This is analogous to the same variable in \$CIINP. Elements 1,2,3,4,6,8 make sense, the latter controls debugging the orbital optimization.
- the next refers to SOSCF optimizations ---
- NOFO = number of FOCAS iterations before switching to the SOSCF converger. May be 0, 1, ... (default=1). One FOCAS iteration at the first geometry permits a canonicalization of the virtual space to occur, which is likely to be crucial for convergence.
- MCFMO = set to 1 to remove redandant orbital Lagrangian elements in FMO-MCSCF. Note that corresponding orbital rotations will still be optimised but not considered when deciding whether a run converged. This option is only in effect if detached bonds are present (for which redundant orbitals exist). Default: 1.

(This variable is irrelevant except to FMO runs)

--- the next three refer to FOCAS optimizations ---

CASDII = threshold to start DIIS (default=0.05)

CASHFT = level shift value (default=1.0)

NRMCAS = renormalization flag, 1 means do Fock matrix renormalization, 0 skips (default=1)

--- the next applies to the QUAD method ---
(note that all FULLNR input is also relevant to QUAD)

QUDTHR = threshold on the orbital rotation parameter, SQCDF, to switch from the initial FULLNR iterations to the fully quadratic method. (default = 0.05)

--- The JACOBI converger accepts FULLNR options ---
--- NORB, NOROT, MOFRZ, and FCORE as input ---

--- all remaining input applies only to FULLNR ---

DAMP = damping factor, this is adjusted by the program as necessary. (default=0.0)

METHOD = DM2 selects a density driven construction of the Newton-Raphson matrices. (default).
= TEI selects 2e- integral driven NR construction. See the 'further information' section for more details concerning these methods. TEI is slow!

LINSER = a flag to activate a method similar to direct minimization of SCF. The method is used if the energy rises between iterations. It may in some circumstances increase the chance of converging excited states. (default=.FALSE.)

FCORE = a flag to freeze optimization of the MCC core orbitals, which is useful in preparation for RUNTYP=TRANSITN jobs. Setting this flag will automatically force CANONC false. This option is incompatible with gradients, so can only be used with RUNTYP=ENERGY. It is a good idea to

decrease TOLZ and TOLE in \$GUESS by two orders of magnitude to ensure the core orbitals are unchanged during input. (default=.FALSE.)

--- the last four FULLNR options are seldom used ---

- DROPC = a flag to include MCC core orbitals during the CI computation. The default is to drop them during the CI, instead forming Fock operators which are used to build the correct terms in the orbital hessian. (default = .TRUE.)
- NORB = the number of orbitals to be included in the optimization, the default is to optimize with respect to the entire basis. This option is incompatible with gradients, so can only be used with RUNTYP=ENERGY. (default=number of AOs given in \$DATA).
- MOFRZ = an array of orbitals to be frozen out of the orbital optimization step (default=none frozen).
- NOROT = an array of up to 250 pairs of orbital rotations to be omitted from the NR optimization process. The program automatically deletes all core-core rotations, all act-act rotations if FORS=.T., and all core-act and core-virt rotations if FCORE=.T. Additional rotations are input as I1,J1,I2,J2... to exclude rotations between orbital I running from 1 to NORB, and J running up to the smaller of I or NVAL in \$TRANS.

=====

=====

\$MRMP group (relevant if SCFTYP=MCSCF, MPLEVL=2)

This group allows you to specify which multi-reference perturbation program is executed. At the present time, the determinant and CSF programs produce identical results, so the choice is largely one of computer efficiency.

- MRPT = DETMRPT requests a determinant program. The MCSCF computation must use CISTEP=ALDET, as this program inherits orbital spaces, and state selection options only from a \$DET group. See \$DETPT for related input.
(default for most runs)
- = MCQDPT requests a CSF (GUGA based) program. This is the only program that can do spin-orbit MRPT, apply energy denominators in case of so-called "intruder states", or find the weight of the MCQDPT zeroth order state. CISTEP can be ALDET or GUGA, your choice. See \$MCQDPT for related input.
(default for RUNTYP=TRANSITN)
- = GMCPT requests a determinant based program that can use non-CAS type reference functions, including ORMAS or user defined lists. See \$GMCPT for related input.

Both the DETMRPT and MCQDPT programs produce numerically identical results, if you select a tight value of THRGEN=1D-12 for the latter program (in some cases you may also need to tighten the CI convergence criteria). Eight or more decimal place energy agreement between the two codes has been observed, when being careful about these cutoffs. This is true whether the codes are running in single state mode, which the literature calls MRMP, or in multi-state mode, which the literature calls MCQDPT.

Generally speaking, the determinant code uses direct CI technology to avoid disk I/O, and is much faster when used with larger active spaces (particularly above 12 active orbitals). The determinant code uses essentially no disk space beyond that required by the MCSCF itself. The determinant code uses native integral transformation codes,

including the distributed memory parallel transformation. However, the determinant code is perhaps a bit slower when there is a small active space and very many filled valence orbitals included in the PT. Both codes exploit distributed memory parallelization.

The determinant program is relatively new, and still lacks complete control of state weights and canonicalization. Be careful to read in only canonicalized core, active, and virtual MOs if you pick RDVECS=.TRUE. with this program. If you have any doubts about transitioning to the determinant code, please try running a calculation both ways, checking that you get the same results.

Please note that the CASPT2 equations are not implemented in GAMESS, and thus your runs should be described as being MRMP/MCQDPT in any publications. See REFS.DOC for more details about different multireference PTs.

RDVECS = a flag controlling whether the orbitals should be MCSCF optimized in this run. A value of .TRUE. means that your converged MCSCF orbitals are being given in \$VEC, and the program will branch to the perturbation treatment. (default=.FALSE.)

notes:

If you select RDVECS, and are not doing spin-orbit coupling with the CSF program, \$GUESS method GUESS=MOREAD is used to process the orbitals. Its options such as NORB and PURIFY will apply to reading the \$VEC group, and as always, MOREAD in \$GUESS will orthogonalize.

If you are the CSF program for spin-orbit coupling, \$GUESS is ignored, and the \$VEC or \$VECn group must contain all virtuals. The orbitals will not be reorthogonalized unless you select the MODVEC option.

In either case, if your orbitals are not orthogonal, you are better off repeating MCSCF with RDVECS=.FALSE.!

MODVEC = 0 skip orthogonalization (default)
= 1 do orthogonalization in the SO-MCQDPT program.

=====

=====

\$DETPT group (relevant if SCFTYP=MCSCF and MPLEVL=2)

This input group applies to the determinant-based multi-reference perturbation theory program, if chosen by MRPT=DETMRPT in \$MRMP group.

When applied to only one state, the theory is known as multi-reference Moller-Plesset (MRMP), but the term MCQDPT is used when this theory is used in its multi-state form. Please note that this perturbation theory is not the same thing as the CASPT2 theory, and should -NEVER- be called that. A more complete discussion may be found in the 'Further Information' chapter.

IVAL = number of filled valence orbitals in the MCSCF to be included in the dynamic correlation treatment. This is analogous to NMODOC in the \$MCQDPT group. The number of frozen cores orbitals is found by subtracting IVAL from NCORE in \$DET, so that you need not specify the chemical core's size. Also, there is no input for specifying the active space, which is inherited from \$DET. The default for IVAL correlates valence orbitals, but freezes any chemical cores.

NEXT = number of external orbitals to use. The default means to use all of them (default=-1).

NOS = a flag to use MCSCF natural orbitals rather than canonicalized orbitals as the basis of the PT. This changes the numerical results!!!

Omitting NPTST, IPTST, and WPTST is the simplest option, meaning that any state with a non-zero WSTATE in \$DET is included in the perturbation. Canonicalization of the orbitals is normally done by the MCSCF program, see CANONC in \$MCSCF. However, if not, or if the state weights are changed, the canonicalization is done in the perturbation code, according to CANON in this group. The default is the most computationally efficient.

CANON = flag to request canonicalization. Default=.TRUE.

Turning off canonicalization is for experimental purposes, so most runs should not avoid it. The canonicalization will be done in the perturbation code under three circumstances,

RDVECS=.TRUE. was used, at the first geometry, the MCSCF step skipped canonicalization, or you enter NPTST/IPTST/SPTST information.

Canonicalization uses the state averaged density matrix to build the "standard Fock operator", and involves diagonalizing its diagonal sub-blocks.

NPTST = the number of states to include in generation of the unperturbed CAS states. If NPTST is chosen, spins of the states will be ignored, like using PURES=.F. in \$DET, so you must be careful in your matching IPTST input.

IPTST = an array of CAS-CI states to be included in the perturbation theory, give NPTST values.

WPTST = an array of state weights. Like NPTST/IPTST, the default for WPTST is derived from WSTATE in \$DET.

example: NPTST=3 IPTST(1)=1,3,5 might be used to include three singlets, S0,S1,S2 in a MCQDPT-type treatment, but skip over T1 and T2. You will have done an earlier CI or MCSCF run, in order to know that you need NPTST five or higher to capture the lowest three singlets, and that these singlets appear where they do. NSTATE in \$DET must be at least 5 in this example, to find enough roots.

=====

=====
\$MCQDPT group (relevant if SCFTYP=MCSCF and MPLEVL=2)

Controls 2nd order MCQDPT (multiconfiguration quasi-degenerate perturbation theory) runs, if requested by MPLEVL=2 in \$CONTRL. MCQDPT2 is implemented only for FORS (aka CASSCF) wavefunctions. The MCQDPT method is a multistate, as well as multireference perturbation theory. The implementation is a separate program, interfaced to GAMESS, with its own procedures for determination of the canonical MOs, CSF generation, integral transformation, CI in the reference CAS, etc. Therefore some of the input in this group repeats data given elsewhere, particularly for \$DET/\$DRT.

Analytic gradients are not available. Spin-orbit coupling may be treated as a perturbation, included at the same time as the energy perturbation. If spin-orbit calculations are performed, the input groups for each multiplicity are named \$MCQD1, \$MCQD2, ... rather than \$MCQDPT. Parallel calculation is enabled.

When applied to only one state, the theory is known as multi-reference Moller-Plesset (MRMP), but the term MCQDPT is used when this theory is used in its multi-state form. Please note that this perturbation theory is not the same thing as the CASPT2 theory, and should -NEVER- be called that. A more complete discussion may be found in the 'Further Information' chapter.

*** MCSCF reference wavefunction ***

NEL = total number of electrons, including core.
(default from \$DATA and ICHARG in \$CONTRL)

MULT = spin multiplicity (default from \$CONTRL)

NMOACT = Number of orbitals in FORS active space
(default is the active space in \$DET or \$DRT)

NMOFZC = number of frozen core orbitals, NOT correlated
in the perturbation calculation. (default is
number of chemical cores)

NMODOC = number of orbitals which are doubly occupied in
every MCSCF configuration, that is, not active

orbitals, which are to be included in the perturbation calculation. (The default is all valence orbitals between the chemical core and the active space)

NMOFZV = number of frozen virtuals, NOT occupied during the perturbation calculation. The default is to use all virtuals in the MP2. (default=0)

If the input file does not provide a \$DET or \$DRT, the user must give NMOFZC, NMODOC, and NMOACT correctly here.

ISTSYM = the state symmetry of the target state(s). This is given as an integer, note that only Abelian groups in \$DATA are supported:

```

ISTSYM= 1  2  3  4  5  6  7  8
C1      A
Ci      Ag  Au
Cs      A'  A''
C2      A  B
C2v     A1  A2  B1  B2
C2h     Ag  Bu  Bg  Au
D2      A  B1  B2  B3
D2h     Ag  B1g B2g B3g Au  B1u B2u B3u
(The default is inherited from $DET or $DRT)

```

NOSYM = 0 use CSF symmetry (see the ISTSYM keyword). off diagonal perturbations vanish if states are of different symmetry, so the most efficient computation is a separate run for every space symmetry. (default)

1 turn off CSF state symmetry so that all states are treated at once. ISTSYM is ignored. Presently this option does not seem to work!!

-1 Symmetry purify the orbitals. Since \$GUESS is not read by MCQDPT runs, this option can be used as a substitute for its PURIFY. After cleaning the orbitals, they are reorthogonalised within each irrep and within each group (core, double, active, virtual) separately. Since this occurs without MCSCF optimization if you have chosen to use RDVECS in \$MRMP, it is *your* responsibility to ensure that any purification of the orbitals is small enough that the CAS energies for the original CASSCF and the CAS-CI performed during the MCQDPT are the same!

*** perturbation specification ***

KSTATE= state is used (1) or not (0) in the MCQDPT2.
Maximum of 20 elements, including zeros.
For example, if you want the perturbation
correction to the second and the fourth roots,
KSTATE(1)=0,1,0,1
See also WSTATE. (default=1,0,0,0,0,0,0,...)

*** Intruder State Removal ***

EDSHFT = energy denominator shifts. (default=0.0,0.0)
See also REFWGT.

Intruder State Avoidance (ISA) calculations can be made by changing the energy denominators around poles (where the denominator is zero). Each denominator x is replaced by $x + \text{EDSHFT}/x$, so that far from the poles (when x is large) the effect of such change is small. EDSHFT is an array of two values, the first is used in spin-free MCQDPT, and the second is for spin-orbit MCQDPT. Both values are used if RUNTYP=TRNSTN, only the first is used otherwise. A suggested pair of values is 0.02,0.1, but experimentation with your system is recommended. Setting these values to zero is ordinary MCQDPT, whereas infinite collapses to the MCSCF reference.

Note that the energy denominators (which are ket-dependent in MCQDPT) are changed in a different way for each ket-vector, that is, for each row in MCQDPT Hamiltonian matrix. In other words, the zeroth order energies are not "universal", but state specific. This is strictly speaking an inconsistency in defining zeroth order energies that are usually chosen "universally".

In order to maintain continuity when studying a PES, one usually uses the same EDSHFT values for all points on PES. In order to study the potential surface for any extended range of geometries, it is recommended to use ISA, as it is quite likely that one or more regions of the PES will be unphysical due to intruder states.

For an example of how intruder states can appear at some points on the PES, see Figures 1,2,7 of

K.R.Glaesemann, M.S.Gordon, H.Nakano
Phys.Chem.Chem.Phys. 1, 967-975(1999)

and also

H.A.Witek, D.G.Fedorov, K.Hirao, A.Viel, P.-O.Widmark
J.Chem.Phys. 116, 8396-406(2002)

For a discussion of intruder state removal from MCQDPT, see
H.A.Witek, Y.-K.Cho, J.P.Finley, K.Hirao
J.Comput.Chem. 23, 957-965(2002)

REFWGT = a flag to request decomposition of the second order energy into internal, semi-internal, and external contributions, and to obtain the weight of the MCSCF reference in the 1st order wave function. This option significantly increases the run time! When you run in parallel, only the transformation steps will speed up, as the PT part of the reference weight calculation has not been adapted for speedups (default=.FALSE.)

The EDSHFT option does not apply if REFWGT is used. One purpose of using REFWGT is to try to understand the nature of the intruder states.

*** Canonical Fock orbitals ***

IFORB = 0 omit this step.
= 1 determine the canonical Fock orbitals. (default)
= 3 canonicalise the Fock orbitals averaged over all \$MCQDx input groups.

This option pertains only to RUNTYP=TRANSITN. It is primarily meant to include spin-orbit coupling perturbation into the energy perturbation, but could also be used in conjunction with OPERAT=DM to calculate only the second order energy perturbation. IFORB=3 means that WSTATE is used as follows: In each \$MCQDx group, the WSTATE weights are divided by the total number of states ($\sum(i) \text{IROOTS}(i)$), so the sum over all WSTATE values in all \$MCQDx groups is normalized to sum to 1. Thus there is no normalization to 1 within each \$MCQDx group.

This option might be used to speed up an atomic MCQDPT, e.g. if computing the 3-P ground state of carbon, one would want to average over all three spatial components of the P term, to be sure of spatial degeneracy, but then run the perturbation using symmetry, separately on the B1g+B2g+B3g

subspecies (within D2h) of a P term. It is very important to give weights appropriate for the symmetry, the input requires care.

WSTATE = weight of each CAS-CI state in computing the closed shell Fock matrix. You must enter 0.0 whenever the same element in KSTATE is 0. In most cases setting the WSTATEs for states to be included in the MCQDPT to equal weights is the best, and this is the default.

*** Miscellaneous options ***

ISELECT is an option to select only the important CSFs for inclusion into the CAS-CI reference states. Set to 1 to select, or 0 to avoid selection of CSFs (default = 0)

All CSFs in a preliminary complete active space CI whose CI coefficients exceed the square root of THRWGT are kept in a smaller CI to determine the zero-th order states. Note that the CSFs with smaller coefficients, while excluded from the reference states, are still used during the perturbation calculation, so most of their energy contribution is still retained. This can save appreciable computer time in cases with large active spaces.

THRWGT = weight threshold for retaining CSFs in selected configuration runs. In quantum mechanics, the weight of a CSF is the square of its CI coefficient. (default=1d-6)

THRGEN = threshold for one-, two-, and three-body density matrix elements in the perturbation calculation. The default gives about 5 decimal place accuracy in energies. Increase to 1.0D-12 if you wish to obtain higher accuracy, for example, in numerical gradients (default=1D-8). Tightening THRGRN and perhaps CI diagonalization should allow 7-8 decimal place agreement with the determinant code.

THRENE = threshold for the energy convergence in the

- Davidson's method CAS-CI. (default=-1.0D+00)
- THRCON = threshold for the vector convergence in the Davidson's method CAS-CI. (default=1.0D-06)
- MDI = dimension of small Hamiltonian diagonalized to prepare initial guess CI states. (default=50)
- MXBASE = maximum number of expansion vectors in the Davidson diagonalization subspace (e.g. MXXPAN). (default=50)
- NSOLUT = number of states to be solved for in the Davidson's method, this might need to exceed the number of states in the perturbation treatment in order to "capture" the correct roots.
- NSTOP = maximum number of iterations to permit in the Davidson's diagonalization.
- LPOUT = print option, 0 gives normal printout, while <0 gives debug print (e.g. -1, -5, -10, -100) In particular, LPOUT=-1 gives more detailed timing information. (default=0)

The next three parameters refer to parallel execution:

- DOORDO = a flag to select reordering of A0 integrals which speeds the integral transformations. This reduces disk writes, but increases disk reads, so you can try turning it off if your machine has slow writes. (default=.TRUE.)
- PARAIO = access 2e- integral file on every node, at the same time. This affects only runs with DOORDO true, and it may be useful to turn this off in the case of SMP nodes sharing a common disk drive. (default=.TRUE.)
- DELSCR = a flag to delete file 56 containing half-transformed integrals after it has been used. This reduces total disk requirements if this file is big. (default=.FALSE.)

Note that parallel execution will be more effective if you use distributed memory, MEMDDI in \$SYSTEM. Using AOINTS=DIST in \$TRANS is likely to be helpful in situations with relatively poor I/O rates compared to communication, e.g. SMP enclosures forced to share a single scratch disk system. See PROG.DOC for more information on parallel execution.

Finally, there are additional very specialized options, described in the source code routine MQREAD: IROT, LENGTH, MAXCSF, MAXERI, MAXROW, MXTRFR, THRERI, MAINCS, NSTATE

=====

=====

\$CASCI group (relevant to SCFTYP=RHF MPLEVL=2)

This group carries out the Improved Virtual Orbital - Complete Active Space CI method of Freed, Chaudhuri, and co-workers. IVO-CASCI starts with a RHF reference, and then generates IVOs, which are used in a CI computation within an active space chosen by the user. The input consists of this group, the \$MCQDPT group, and perhaps a \$IVOORB group, along with SCFTYP=RHF and MPLEVL=2. MULT in \$CONTRL applies to the SCF reference, while MULT in \$MCQDPT selects the spin of the IVO-CASCI state(s). Doublets are treated by using a cation RHF reference.

IVOCAS = a flag to turn on IVO-CASCI computation. This is usually the only input required (default=.FALSE.)

MOLIST = a flag to request complete control over the active space specification. The default uses the parameters in \$MCQDPT to select from the IVOs with the lowest energy. (default=.FALSE.)

DEGENR = a flag to indicate the HOMO is degenerate. The program should set this for you.

PRINT = a flag to print debugging info (default=.FALSE.)

The user should request IFORB=0 in \$MCQDPT to suppress its generation of canonical orbitals, so that the IVOs are used. A Huckel guess is usually fine. The \$MCQDPT should define the active orbitals taken from the IVO set by giving NMOFZC, NMODOC, and NMOACT, and the electronic state is specified by that group's MULT, NSTATE, and NSTSYM.

References:

- D.M.Potts, C.M.Taylor, R.K.Chaudhuri, K.F.Freed
J.Chem.Phys. 114, 2592-2600(2001)
R.K.Chaudhuri, K.F.Freed, S.A.Abrash, D.M.Potts
J.Mol.Spectrosc. 547, 83-96(2001)
R.K.Chaudhuri, K.F.Freed
J.Chem.Phys. 126, 114103/1-6(2007)

A simple example follows,

```

$contrl scftyp=rhf mplevl=2 runtyp=energy ispher=1 $end
$cascli IVOCAS=.true. $end
$mcqdpt mult=3 istsym=3 nstate=1 iforb=0
      nel=8 nmofzc=1 nmodoc=2 nmoact=2 $end
$basis  gbasis=ccd $end
$guess  guess=huckel $end
$data
Methylene...3-B-1 state...RHF/cc-pVDZ
Cnv  2

C   6.0   0.0   .0000000000   .0289123030
H   1.0   0.0   .9813851814   .4758735367
$end

```

The result for the 1st order energy will be -38.9156231594, which is a full CI within a two orbital space, generated by the IVO process, rather than a more expensive MCSCF run.

```

=====
$IVOORB group          (relevant if MOLIST=.T. in
$CASCI)

```

In case the IVOs are not generated in the desired order, this group can fully specify the orbital counts in each irreducible representation.

line 1: NIRREP - gives the total number of irreps

line 2: NDIM, NCORE, NDOC, NUNOCC, NSING - for this irrep, gives its total dimension, the number of core MOs in the CASCI, and 3 parameters which define the active orbitals: filled, empty, and singly occupied (0,1 only) in the reference. Repeat NIRREP times. A 6 active e- example is

```

$IVOORB
2
59 4 2 2 0
26 0 1 1 0
$END

```

The input groups \$CISORT, \$GUGEM, \$GUGDIA, \$GUGDM, \$GUGDM2, \$LAGRAN, and \$TRFDM2 pertain only to GUGA CI, chosen by either CITYP=GUGA or CISTEP=GUGA. The most important of these values may be given for determinant runs (using the same keyword spellings) in the \$DET group.

=====
\$CISORT group (relevant for GUGA -CI- or -MCSCF-)

This group provides further control over the sorting of the transformed molecular integrals into the order the GUGA program requires.

NDAR = Number of direct access records.
 (default = 2000)

LDAR = Length of direct access record (site dependent)

NBOXMX = Maximum number of boxes in the sort.
 (default = 200)

NWORD = Number of words of fast memory to use in this
 step. A value of 0 results in automatic use of
 all available memory. (default = 0)

NOMEM = 0 (set to one to force out of memory algorithm)

=====
\$GUGEM group (relevant for GUGA -CI- or -MCSCF-)

This group provides further control over the calculation of the energy (Hamiltonian) matrix.

CUTOFF = Cutoff criterion for the energy matrix.
 (default=1.0E-8)

NWORD = not used.

=====

=====

\$GUGDIA group (relevant for GUGA -CI- or -MCSCF-)

This group provides control over the Davidson method diagonalization step.

NSTATE = Number of CI states to be found, including the ground state. (default=1, ground state only.)
You can solve for any number of states, but only 100 can be saved for subsequent sections, such as state averaging. See IROOT in \$GUGDM/\$GUGDM2.

PRTTOL = Printout tolerance for CI coefficients
(default = 0.05)

MXXPAN = Maximum no. of expansion basis vectors used before the expansion basis is truncated.
(default=30)

ITERMX = Maximum number of iterations (default=50)

CVGTOL = Convergence criterion for Davidson eigenvector routine. This value is proportional to the accuracy of the coefficients of the eigenvector(s) found. The energy accuracy is proportional to its square. (default=1.0d-5, but 1E-6 if gradients, MPLEVL, CITYP, or FMO selected).

NWORD = Number of words of fast memory to use in this step. A value of zero results in the use of all available memory. (default = 0)

MAXHAM = specifies dimension of Hamiltonian to try to store in memory. The default is to use all remaining memory to store this matrix in memory, if it fits, to reduce disk I/O to a minimum.

MAXDIA = maximum dimension of Hamiltonian to send to an incore diagonalization. If the number of CSFs is bigger than MAXDIA, an iterative Davidson procedure is invoked. Default=100

NIMPRV = Maximum no. of eigenvectors to be improved every iteration. (default = nstate)

NSELECT = Determines initial guess to eigenvectors.
= 0 -> Unit vectors corresponding to the NSTATE
lowest diagonal elements and any diagonal
elements within SELTHR of them. (default)
< 0 -> First abs(NSELECT) unit vectors.
> 0 -> use NSELECT unit vectors corresponding to
the NSELECT lowest diagonal elements.

SELTHR = Guess selection threshold when NSELECT=0.
(default=0.01)

NEXTRA = Number of extra expansion basis vectors to be
included on the first iteration. NEXTRA is
decremented by one each iteration. This may be
useful in "capturing" vectors for higher states.
(default=5)
On AXP processors, enter as 0 to avoid core dumps.

KPRINT = Print flag bit vector used when
NPFLG(4)=1 in the \$CIINP group (default=8)
value 1 bit 0 print final eigenvalues
value 2 bit 1 print final tolerances
value 4 bit 2 print eigenvalues and tolerances
at each truncation
value 8 bit 3 print eigenvalues every iteration
value 16 bit 4 print tolerances every iteration

Inputs for a multireference Davidson correction, in case
the orbitals are from a MCSCF.

NREF = number of CSFs in the MCSCF (full CI) job.

EREF = the energy of the MCSCF reference.

=====

\$GUGDM group

(relevant for GUGA -CI-)

This group provides further control over formation of the one electron density matrix. See NSTATE in \$GUGDIA.

NFLGDM = Array controlling each state's density formation.

0 -> do not form density for this state.

1 -> form density and natural orbitals for this state, print and punch occ.num. and NOs.

2 -> same as 1, plus print density over MOs.

The default is NFLGDM(1)=1,0,0,...,0 meaning only ground state NOs are generated.

Note that forming the 1-particle density for a state is negligible compared to diagonalization time for that state.

IROOT = The root whose density matrix is saved on desk for later computation of properties. You may save only one state's density per run. By default, this is the ground state (default=1).

WSTATE = An array of up to 100 weights to be given to the 1 body density of each state. The averaged density will be used for property computations, as well as "state averaged natural orbitals". The default is to use NFLGDM/IROOT, unless WSTATE is given, when NFLGDM/IROOT are ignored.

It is not physically reasonable to average over any CI states that are not degenerate, but it may be useful to use WSTATE to produce a totally symmetric density when the states are degenerate.

IBLOCK = Density blocking switch. If nonzero, the off diagonal block of the density above row IBLOCK will be set to zero before the (now approximate) natural orbitals are found. One use for this is to keep the internal and external orbitals in a FOCI or SOCI calculation from mixing, where IBLOCK is the highest internal orbital. (default=0)

NWORD = Number of words of memory to use. Zero means use all available memory (default=0).

=====

\$GUGDM2 group (relevant for GUGA -CI- or -MCSCF-)

This group provides control over formation of the 2-particle density matrix.

WSTATE = An array of up to 100 weights to be given to the 2 body density of each state in forming the DM2. The default is to optimize a pure ground state. (Default=1.0,99*0.0)
A small amount of the ground state can help the convergence of excited states greatly.
Gradient runs are possible only with pure states.

IROOT = the MCSCF state whose energy will be used as the desired value. The default means to use the average (according to WSTATE) of all states as the FINAL energy, which of course is not a physically meaningful quantity. This is mostly useful for the numerical gradient of a specific state obtained with state averaged orbitals. (default=0).

Be sure to set NSTATE in \$GUGDIA appropriately!

CUTOFF = Cutoff criterion for the 2nd-order density. (default = 1.0E-9)

NWORD = Number of words of fast memory to use in sorting the DM2. The default uses all available memory. (default=0).

NOMEM = 0 uses in memory sort, if possible.
= 1 forces out of memory sort.

NDAR = Number of direct access records. (default=4000)

LDAR = Length of direct access record (site dependent)

NBOXMX = Maximum no. of boxes in the sort. (default=200)

=====

\$LAGRAN group (relevant for GUGA -CI- gradient)

This group provides further control over formation of the CI Lagrangian, a quantity which is necessary for the computation of CI gradients.

NOMEM = 0 form in core, if possible
 = 1 forces out of core formation

NWORD = 0 (0=use all available memory)

NDAR = 4000

LDAR = Length of each direct access record
 (default is NINTMX from \$INTGRL)

\$TRFDM2 group (relevant for GUGA -CI- gradient)

This group provides further control over the back transformation of the 2 body density to the A0 basis.

NOMEM = 0 transform and sort in core, if possible
= 1 transform in core, sort out of core, if poss.
= 2 transform out of core, sort out of core

NWORD = 0 (0=use all available memory)

CUTOFF= 1.0D-9, threshold for saving DM2 values

NDAR = 2000

LDAR = Length of each direct access record
(default is system dependent)

NBOXMX= 200

Usually neither \$LAGRAN or \$TRFDM2 group are given. Since these groups are normally used only for CI gradient runs, we list here the restrictions on the GUGA CI gradients:

- a) SCFTYP=RHF, only
- b) no FZV orbitals in \$CIDRT, all MOs must be used.
- c) the derivative integrals are computed in the 2nd derivative code, which is limited to spd basis sets.
- d) the code does not run in parallel.
- e) Use WSTATE in \$GUGDM2 to specify the state whose gradient is to be found. Use IROOT in \$GUGDM to specify the state whose other properties will be found. These must be the same state!
- f) excited states often have different symmetry than the ground state, so think about GROUP in \$CIDRT.
- g) the gradient can probably be found for any CI for which you have sufficient disk to do the CI itself. Time is probably about 2/3 additional.

See also \$CISGRD for CI singles gradients.

\$STRANST group (relevant for RUNTYP=TRANSITN)
(only for CITYP=GUGA or MPLEVL=2)

This group controls the evaluation of the radiative transition moment, or spin orbit coupling (SOC). An SOC calculation can be based on variational CI wavefunctions, using GUGA CSFs, or based on 2nd order perturbation theory using the MCQDPT multireference perturbation theory. These are termed SO-CI and SO-MCQDPT below. The orbitals are typically obtained by MCSCF computations, and since the CI or MCQDPT wavefunctions are based on those MCSCF states, the zero-th order states are referred to below as the CAS-CI states. SOC jobs prepare a model Hamiltonian in the CAS-CI basis, and diagonalize it to produce spin-mixed states, which are linear combinations of the CAS-CI states. If scalar relativistic corrections were included in the underlying spin-free wavefunctions, it is possible either to include or to neglect similar corrections to the spin-orbit integrals, see keyword NESOC in \$RELWFN.

An input file to perform SO-CI will contain
SCFTYP=NONE CITYP=GUGA MPLEVL=0 RUNTYP=TRANSITN
while a SO-MCQDPT calculation will have

SCFTYP=NONE CITYP=NONE MPLEVL=2 RUNTYP=TRANSITN
The SOC job will compute a Hamiltonian matrix as the sum of spin-free terms and spin-orbit terms, $H = H\text{-sf} + H\text{-so}$. For SO-CI, the matrix $H\text{-sf}$ is diagonal in the CAS-CI state basis, with the LS-coupled CAS-CI energies as the diagonal elements, and $H\text{-so}$ contains only off-diagonal couplings between these LS states,

$H\text{-sf} = \text{CAS-CI spin-free } E$

$H\text{-so} = \text{CAS SOC Hamiltonian (e.g. HS01, HS02P, HS02)}$

For SO-MCQDPT, the additional input PARMP defines these matrices differently. For PARMP=0, the spin-free term has diagonal and off-diagonal MCQDPT perturbations:

$H\text{-sf} = \text{CAS-CI spin-free } E + \text{2nd order spin-free MCQDPT}$

$H\text{-so} = \text{CAS SOC Hamiltonian}$

For PARMP not equal to 0, the spin orbit operator is also included into the perturbing Hamiltonian of the MCQDPT:

$H\text{-sf} = \text{CAS-CI spin-free } E + \text{2nd order spin-free MCQDPT}$

$H\text{-so} = \text{CAS SOC Hamiltonian} + \text{2nd order SO-MCQDPT}$

Pure transition moment calculations (OPERAT=DM) are presently limited to CI wavefunctions, so please use only

CITYP=GUGA MLEVEL=0. The transition moments computed by SO-MCQDPT runs (see TMOMNT flag) will form the transition density for the CAS-CI zeroth order states rather than the 1st order perturbed wavefunctions.

Please see REFS.DOC for additional information on what is actually a fairly complex input file to prepare.

OPERAT selects the type of transition being computed.

- = DM calculates radiative transition moment between states of same spin, using the dipole moment operator. (default)
- = HS01 one-electron Spin-Orbit Coupling (SOC)
- = HS02P partial two electron and full 1e- SOC, namely core-active 2e- contributions are computed, but active-active 2e- terms are ignored. This generally captures >90% of the full HS02 computation, but with spin-orbit matrix element time similar to the HS01 calculation.
- = HS02 one and two-electron SOC, this is the full Pauli-Breit operator.
- = HS02FF one and two-electron SOC, the form factor method gives the same result as HS02, but is more efficient in the case of small active spaces, small numbers of CAS-CI states, and large atomic basis sets. This final option applies only to SO-CI.

- PARMP = controls inclusion of the SOC terms in SO-MCQDPT, for OPERAT=HS01 (default=1) or for HS02P/HS02 (default=3) only.
- 0 - no SOC terms should be included in the MCQDPT corrections at 2nd order, but they will be included in the CAS states on which the MCQDPT (i.e. up to 1st order)
 - 1 - include the 1e- SOC perturbation in MCQDPT
 - 1 - defined under "3", read on...
 - 3 - full 1-electron and partial 2-electron in the form of the mean field perturbation (this is very similar to HS02P, but in the MCQDPT2 perturbation). Only doubly occupied orbitals (NMODOC) are used for the core 2e terms. If the option is set to -1, then all core orbitals (NMOFZC+NMODOC) are used. Neither

calculation includes extra diagrams including filled orbitals, so both are "partial".

PARMP=3 (or -1) has almost no extra cost compared to PARMP=1, but can only be used with OPERAT=HSO2 or HSO2P. The options -1 and 3 are not rigorously justified, contrary to HOS2P for a SO-CI, as 2e integrals with 2 core indices appear in the second order in two ways. There is a mean-field addition to 1e integrals, which is included when you choose PARMP=3 or -1. But, there are separate terms from additional diagrams that are not implemented, so that there is some imbalance in including the partial 2e correction. Nevertheless, it may be better to include such "partial" partial 2e contributions than not to. Note that at first order in the energy (the CAS-CI states) the N-electron terms are treated exactly as specified by OPERAT.

NFFBUF = sets buffer size for form factors in SO-MCQDPT. (applies only to OPERAT = HSO1, HSO2 or HSO2P). This is a very powerful option that speeds up SO-MCQDPT calculations by precomputing the total multiplicative factor in front of each diagram so that the latter is computed only once (this is in fact what happens in MCQDPT). It is not uncommon for this option to speed up calculations by a factor of 10. Since this option forces running the SO-CASCI part twice (due to the SO-MCQDPT Hamiltonian being non-Hermitian), it is possible that in rare cases NFFBUF=0 may perform similarly or better. The upper bound for NFFBUF is NACT**2, where NACT=NOCC-NFZC. Due to the sparseness of the coupling constants it is usually sufficient to set NFFBUF to 3*NACT. To use the older way of dynamically computing form factors and diagrams on the fly, set NFFBUF to 0. Default: 3*(NOCC-NFZC)

It is advisable to tighten up the convergence criteria in the \$MCQDx groups since SOC is a fairly small effect, and the spin-free energies should be accurately computed, for example THRCO=1e-8 THRCO=1e-10.

PARMP has a rather different meaning for OPERAT=HSO2FF:

It refers to the difference between ket and bra's Ms,

-1 do matrix elements for ms=-1 only

0 do matrix elements for ms=0 only

1 do matrix elements for ms=1 only

- 2 do matrix elements for all ms (0, 1, and -1), which is the default.
- 3 calculates form factors so they can be saved

* * * next defines the orbitals and wavefunctions * * *

NUMCI = For SO-CI, this parameter tells how many CI calculations to do, and therefore defines how many \$DRTx groups will be read in. For SO-MCQDPT, this parameter tells how many MCQDPT calculations to do, and therefore defines how many \$MCQDx groups will be read in. (default=1) IROOTS, IVEX, NSTATE, and ENGYST below will all have NUMCI values. NUMCI may not exceed 64.

You may wish to define one \$DRTx or \$MCQDx group for each spatial symmetry representation occurring within each spin multiplicity, as the use of symmetry during these separate calculations may make the entire job run much faster.

NUMVEC = the meaning is different depending on the run:

- a) spin-orbit CI (SO-CI),
Gives the number of different MO sets. This can be either 1 or 2, but 2 can be chosen only for FORS/CASSCF or FCI wavefunctions. (default=1) If you set NUMVEC=2 and you use symmetry in any of the \$DRTx groups, you may have to use ISTSYM in the \$DRT groups since the order of orbitals from the corresponding orbital transformation is unpredictable.
- b) spin-orbit perturbation (SO-MCQDPT),
The option to have different MOs for different states is not implemented, so your job will have only one \$VEC1 group, and IVEX will not normally be input. The absolute value of NUMVEC should be equal to the value of NUMCI above. If NUMVEC positive, the orbitals in the \$VEC1 will be used exactly as given, whereas if NUMVEC is a negative number, the orbitals will be canonicalized according to IFORB in \$MCQDx. Using NUMVEC=-NUMCI and IFORB=3 in all \$MCQDx to canonicalize over all states is recommended.

Note that \$GUESS is not read by this RUNTYP! Orbitals must be in \$VEC1 and possibly \$VEC2 input groups.

NFZC = For SO-CI, this is equal to NFZC in each \$DRTx group. When NUMVEC=2, this is also the number of identical core orbitals in the two vector sets. For SO-MCQDPT, this should be NMOFZC+NMODOC given in each of the \$MCQDx groups. The default is the number of AOs given in \$DATA, this is not very reasonable.

NOCC = the number of occupied orbitals. For SO-CI this should be NFZC+NDOC+NALP+NAOS+NBOS+NVAL, but add the external orbitals if the CAS-CI states are CI-SD or FOCI or SOCI type instead of CAS. For SO-MCQDPT enter NUMFZC+NUMDOC+NUMACT. The default is the number of AOs given in \$DATA, which is not usually correct.

Note: IROOTS, NSTATE, ENGYST, IVEX contain NUMCI values.

IROOTS = array containing the number of CAS-CI states to be used from each CI or MCQDPT calculation. The default is 1 for every calculation, which is probably not a correct choice for OPERAT=DM runs, but is quite reasonable for the HSO operators. The total number of states included in the SOC Hamiltonian is the summation of the NUMCI values of IROOTS times the multiplicity of each CI or MCQDPT. See also ETOL/UPPREN.

NSTATE = array containing the number of CAS-CI states to be found by diagonalising the spin-free Hamiltonians. Of these, the first IROOTS(i) states will be used to find transition moments or SOC. Obviously, enter NSTATE(i) >= IROOTS(i). The default for NSTATE(i) is IROOTS(i), but might be bigger if you are curious about the additional energies, or to help the Davidson diagonalizer. NSTATE is ignored by SO-MCQDPT runs, and you must ensure that your IROOTS input corresponds to the KSTATE option in \$MCQDx.

ETOL = energy tolerance for CI state elimination. This applies only to SO-CI and OPERAT=HSO1,2,2P. After each CI finds NSTATE(i) CI roots for each \$DRTx, the number of states kept in the run is

normally IROOTS(i), but ETOL applies the further constraint that the states kept be within ETOL of the lowest energy found for any of the \$DRTx. The default is 100.0 Hartree, so that IROOTS is the only limitation.

UPPREN = similar to ETOL, except it is an absolute energy, instead of an energy difference.

IVEX = Array of indices of \$VECx groups to be used for each CI calculation. The default for NUMVEC=2 is IVEX(1)=1,2,1,1,1,1,1..., and of course for NUMVEC=1, it is IVEX(1)=1,1,1,1,1... This applies only to CITYP=GUGA jobs.

ENGYST = energy values to replace the spin-free energies. This parameter applies to SO-CI only. A possible use for this is to use first or second order CI energies (FOCI or SOCI in \$DRT) on the diagonal of the Hamiltonian (obtained in some earlier runs) but to use only CAS wavefunctions to evaluate off diagonal HSO matrix elements. The CAS-CI is still conducted to get CI coeffs, needed to evaluate the off diagonal elements. Enter MXRT*NUMCI values as a square array, by the usual FORTRAN convention (that is, MXRT roots of \$DRT1, MXRT roots of \$DRT2 etc), in hartrees, with zeros added to fill each column to MXRT values. MXRT is the maximum value in the IROOTS array. (the default is the computed CAS-CI energies) See B.Schimmelpfennig, L.Maron, U.Wahlgren, C.Teichtel, H.Fagerli, O.Gropen Chem.Phys.Lett. 286, 261-266(1998).

* * * the next pertain only to spin-orbit runs * * *

RSTATE = sets the zero energy level
format: ndrt*1000+iroot for adiabatic state (root)
0000 sets zero energy to the lowest diabatic root
default: 1001 (1st root in \$DRT1 or \$MCQD1)

ZEFTYP specifies effective nuclear charges to use.
= TRUE uses true nuclear charge of each atom,

- except protons are removed if an ECP basis is being used (default).
- = 3-21G selects values optimized for the 3-21G basis, but these are probably appropriate for any all electron basis set. Rare gases, transition metals, and $Z > 54$ will use the true nuclear charges.
 - = SBKJC selects a set obtained for the SBKJC ECP basis set, specifically. It may not be sensible to use these for other ECP sets. Rare gases, lanthanides, and $Z > 86$ will use the true nuclear charges.

ZEFF = an array of effective nuclear charges, overriding the charges chosen in ZEFTYP.

Note that effective nuclear charges can be used for any HSO type OPERAT, but traditionally these are used mainly for HSO1 as an empirical correction to the omission of the $2e^-$ term, or to compensate for missing core orbitals in ECP runs.

ONECNT = uses a one-center approximation for SOC integrals:

- = 0 compute all SOC integrals without approximations
- = 1 compute only one-center $1e$ and $2e$ SOC integrals
- = 2 compute all $1e$, but only one-center $2e$ integrals

Numerical tests indicate the error of the one-center approximation (ONECNT=1) is usually on the order of a few wavenumbers for Li-Ne (a bit larger for F) and its errors appear to become negligible for anything heavier than Ne. ONECNT=1 appears to give a better balanced description than ONECNT=2. Very careful users can check how well the approximation works for their particular system by using ONECNT=0, then ONECNT=1, to compare the results. One important advantage of ONECNT=1/2 is that this removes the dependence of SOC $2e$ integrals upon the molecular geometry. This means the program needs to compute SOC $2e$ integrals only once for a given set of atoms and then they can be read by using SOC integral restart. RUNTYP=SURFACE automatically takes advantage of this fact.

JZ controls the calculation of J_z eigenvalues

- = 0 do not perform the calculation

= 1 do the calculation
By default, Jz is set to 1 for molecules that are recognised as linear (this includes atoms!).
Jz cannot be computed for nonlinear molecules.
The matrix of $Jz=Lz+Sz$ operator is constructed between spin-mixed states (eigenvalues of Hso).
Setting Jz to 1 can enforce otherwise avoided (by symmetry) calculations of SOC matrix elements.
JZ applies only to HSO1,2,2P.

TMOMNT = flag to control computation of the transition dipole moment between spin-mixed wavefunctions (that is, between eigenvectors of the Pauli-Breit Hamiltonian). Applies only to HSO1,2,2P. (default is .FALSE.)

SKIPDM = flag to omit(.TRUE.) or include(.FALSE.) dipole moment matrix elements during spin-orbit coupling. Usually it takes almost no addition effort to calculate $\langle R \rangle$ excluding some cases when the calculation of forbidden by symmetry spin-orbit coupling matrix elements $\langle Hso \rangle$ may have to be performed since $\langle R \rangle$ and $\langle Hso \rangle$ are computed simultaneously. Applies only to HSO1,2,2P. Since the lack of a MCQDPT density matrix means there are no MCQDPT dipole moments at present, SO-MCQDPT jobs will compute the dipole matrix elements for the CAS-CI states only. However, the dipole moments in the spin-mixed states will be computed with the MCQDPT mixing coefficients. (default is .TRUE.)

IPRHSO = controls output style for matrix elements (HSO*)
=-1 do not output individual matrix elements otherwise these are accumulative:
= 0 term-symbol like kind of labelling:
 labels contain full symmetry info (default)
= 1 all states are numbered consequently within each spin multiplicity (ye olde style)
= 2 output only nonzero ($\geq 1e-4$) matrix elements

PRTPRM = flag to provide detailed information about the composition of the spin-mixed states in terms of adiabatic states. This flag also provides similar information about Jz (if JZ set).

(default is .FALSE.)

LVAL = additional angular momentum symmetry values:
For the case of running an atom:
LVAL is an array of the L values ($L^2 = L(L+1)$)
for each \$MCQD/\$DRT group (L=0 is S, 1 is P, etc.)
For the case of running a linear molecule:
LVAL is an array that gives the $|L_z|$ values. Note
that real-valued wavefunctions (e.g. P_{i-x} , P_{i-y})
have L_z and $-L_z$ components mixed, so you should
input $|L_z|$ as 1 and 1 for both P_{i-x} and P_{i-y} .
This parameter should not be given for a nonlinear
polyatomic system.

Default: all set to -1 (that is, do not use these
additional symmetry labels. It is the user's
responsibility to ensure the values' correctness.

Note that for SO-MCQDPT useful options in \$MCQDPT
are NDIAOP and KSTATE. They enable efficient
separation of atomic/linear symmetry irreps).

It is acceptable to set only some values and leave
others as -1, if only some groups have definite
values. Note that normally L_z values are printed
at the end of the log file, so its easy to double
check the initial values for LVAL. For the case
of atoms LVAL drastically reduces the CPU time, as
it reduces a square matrix to tridiagonal form.
For the case of linear molecules the savings at
the spin-orbit level are somewhat less, but they
are usually quite significant at the preceding
spin-free MCQDPT step.

MCP2E = Model Core Potential SOC 2e contributions.
Note that MCP 1e contributions are handled as in
case of all-electron runs because MCP orbitals
contain all proper nodes).

- = 0 do not add the MCP 2e core-active contribution,
but add any other 2e- terms asked for by OPERAT.
- = 1 add this contribution, but no other 2e SOC term.
This is recommended, and the default.
- = 2 add this contribution and the 2e- contributions
requested by OPERAT, for any e- which are being
treated by quantum mechanics (not MCP cores).

Note that for MCP2E=0 and 2, HS02, HS01, HS02P values of OPERAT are supported for the explicit 2e- contributions. The recommended approach is to assume that MCP alone can capture all the 2e SOC, for this use MCP2E=1 OPERAT=HS02P. The entire 2e-contribution is achieved with MCP2E=2 OPERAT=HS02. If your MCP leaves out many core electrons as particles, MCP2E=2 OPERAT=HS02P can be tested to see if it adds a sizable amount to SOC, compared to MCP2E=1 OPERAT=HS02P).
 MCP2E=2 OPERAT=HS01 is an illegal combination.
 MCP2E=1 OPERAT=HS01 is illogical since the MCP 2e integrals are computed but not used anywhere.

The following table explains MCP2E and gives all useful combinations:

MCP2E/OPERAT	2e SOC contributions	SOC 2e ints
2 HS02	MCPcore-CIact + CIcore-CIact	MCP+basis + CIact-CIact
2 HS02P	MCPcore-CIact + CIcore-CIact	MCP+basis
1 HS02P	MCPcore-CIact	MCP

using the following orbital space definitions:
 MCPcore orbitals whose e- are replaced by MCP
 CIcore always doubly occupied
 CIact MOs allowed to have variable occupation

* * * expert mode HSO control options * * *

MODPAR = parallel options, which are independent bit options, 0=off, 1=on. Bit 1 refers only to HS02FF, bit 2 to HS01,2,2P. Enter a decimal value 0, 1, 2, 3 meaning binary 00, 01, 10, 11.

bit 1 = 0/1 (HS02FF) uses static/dynamic load balancing in parallel if available, otherwise use static load balancing. Dynamic algorithm is usually faster but may utilize memory less efficiently, and I/O can slow it down. Also, dynamical algorithm forces SAVDSK=.F. since its unique distribution of FFs among nodes implies no savings from precalculating form factors.

bit 2 = 0/1 (HS01,2,2P) duplicate/distribute SOC integrals in parallel. If set, 2e AO integrals and the four-index transformation are divided over

nodes (distributed), and SOC MO integrals are then summed over nodes.

The default is 3, meaning both bits are set on (11)

PHYSRC = flag to force the size of the physical record to be equal to the size of the sorting buffers. This option can have a dramatic effect on the efficiency. Usually, setting PHYSRC=.t. is helpful if the code complains that low memory enforces SLOWFF=.TRUE., or you set it yourself. For large active spaces and large memory (more precisely, if reclen is larger than the physical record size) PHYSRC=.TRUE. can slow the code down. Setting PHYSRC to .true. forces SLOWFF to be .false. See MODPAR. (default .FALSE.) (only with HS02FF)

RECLEN = specifies the size of the record on file 40, where form factors are stored. This parameter significantly affects performance. If not specified, RECLEN have to be guessed, and the guess will usually be either an overestimate or underestimate. If the former you waste disk space, if the latter the program aborts. Note that RECLEN will be different for each pair of multiplicities and you must specify the maximum for all pairs. The meaning of this number is how many non-zero form factors are present given four MO indices. You can decrease RECLEN if you are getting a message "predicted sorting buffer length is greater than needed..." Default depends on active space. (only HS02FF)

SAVDSK = flag to repeat the form factor calculation twice. This avoids wasting disk space as the actually required record size is found during the 1st run. (default=.FALSE.) (only with HS02FF)

SLOWFF = flag to choose a slower FF write-out method. By default .FALSE., but this is turned on if:
1) not enough memory for the fast way is available
2) the maximum usable memory is available, as when the buffer is as large as the maximum needed, then the "slow FF" algorithm is faster. Generally SLOWFF=.true. saves up to 50% or so of disk space. See PHYSRC. (only with HS02FF)

ACTION controls disk file DAFL30 reuse.
= NORMAL calculate the form factors in this run.
= SAVE calculate, and store the form factors on disk for future runs with the same active space characteristics.
= READ read the form factors from disk from an earlier run which used SAVE.
(default=NORMAL) (only with HSO2FF)
Note that currently in order to use ACTION = SAVE or READ you should specify MS= -1, 0, or 1

* * * some control tolerances * * *

NOSYM= -1 forces use of symmetry-contaminated orbitals symmetry analysis, otherwise the same as NOSYM=0
= 0 fully use symmetry
= 1 do not use point group symmetry, but still use other symmetries (Hermiticity, spin).
= 2 use no symmetry. Also, include all CSFs for HSO1, 2, 2P.
= 3 force the code to assume the symmetry specified in \$DATA is the same as in all \$DRT groups, but is otherwise identical to NOSYM=-1. This option saves CPU time and money(memory). Since the \$DRT works by mapping non-Abelian groups into their highest Abelian subgroup, do not use NOSYM=3 for non-Abelian groups.

SYMTOL = relative error for the matrix elements. This parameter has a great impact upon CPU time, and the default has been chosen to obtain nearly full accuracy while still getting good speedups.
(default=1.0E-4)

* * * the remaining parameters are not so important * * *

PRTCMO = flag to control printout of the corresponding orbitals. (default is .FALSE.)

HSOTOL = HSO matrix elements are considered zero if they are smaller than HSOTOL. This parameter is used only for print-out and statistics.
(default=1.0E-1 cm-1)

TOLZ = M0 coefficient zero tolerance (as for \$GUESS).
(default=1.0E-8)

TOLE = M0 coefficient equating tolerance (as for
\$GUESS). (default=1.0E-5)

=====

* * * * *
For information on RUNTYP=TRANSITN,
see the 'further information' section
* * * * *

Here is an alphabetical listing of all input group names:

ADDDDET, 318	EFRAG, 186	IEFPCM, 225	RADIAL, 159
ALPDR, 166	ELDENS, 153	INTGRL, 255	RAMAN, 165
	ELFLDG, 154	IRC, 113	RDF, 129
	ELG, 287	IVOORB, 340	RELWFN, 247
BASIS, 23	ELMOM, 151		REMDDET, 318
	ELPOT, 152	LAGRAN, 346	
CASCI, 339	EOMINP, 76	LIBE, 43	SCF, 44
CCINP, 72	EQGEOM, 112	LMOEDA, 173	SCFMI, 50
CCRES, 297	EWALD, 204	LOCAL, 141	SCRF, 239
CEDATA, 309			SODET, 319
CEEIS, 307	FFCALC, 175	MAKEFP, 205	STATPT, 89
CIDET, 300	FMM, 257	MASS, 102	STONE, 162
CIDRT, 320	FMO, 260	MCP, 243	SUBCOR, 296
CIGEN, 300	FMOEND, 284	MCQDPT, 332	SUBSCF, 296
CIINP, 298	FMOENM, 284	MCSCF, 323	SURF, 139
CIS, 65	FMOHYB, 282	MD, 125	SVP, 232
CISORT, 341	FMOPRP, 267	MEX, 122	SVPIRF, 237
CISVEC, 67	FMOXYZ, 277	MOFRZ, 88	SYSTEM, 20
CONTRL, 6	FORCE, 98	MOLGRF, 161	
COSGMS, 238	FRAGNAME, 192	MOPAC, 84	TDDFT, 61
CPHF, 102	FRGRPL, 202	MOROKM, 169	TDHF, 178
		MP2, 68	TDHFX, 181
DAMP, 208	GAMMA, 112	MP2RES, 297	TESCAV, 221
DAMPGS, 211	GCILST, 310	MRMP, 328	TRANS, 258
DANDC, 289	GDDI, 285		TRANST, 348
DATA, 29	GEN, 300	NEWCAV, 223	TRFDM2, 347
DATAL, 29	GLOBOP, 131	NMR, 167	TRUDGE, 95
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DCCORR, 294	GMCPT, 312	OPTFMO, 280	TRURST, 97
DET, 300	GRAD, 104	OPTRST, 284	TWOEI, 147
DETPT, 330	GRADEX, 136	ORMAS, 305	
DFT, 52	GRID, 155		VEC, 88
DIPDR, 105	GUESS, 85	PCM, 212	VEC1, 88
DISBS, 229	GUGDIA, 342	PCMCAV, 219	VEC2, 88
DISREP, 230	GUGDM, 344	PCMGRD, 224	VIB, 105
DM, 88	GUGDM2, 345	PCMITR, 226	VIB2, 105
DRC, 117	GUGEM, 341	PDC, 156	VIBSCF, 111
DRT, 320		PDET, 318	VSCF, 106
	HESS, 104	POINTS, 155	
ECP, 240	HLOWT, 112	PRTEFP, 206	ZMAT, 39
EFIELD, 253			